

CSE211: Compiler Design

Oct. 13, 2023

- **Topic:** Symbol tables and parsing with derivatives

- **Questions:**

- What is “scope”
- *How do you parse a regular expression?*
- *How do you parse a context free grammar?*

- $\delta_c(re)$, where re is:

- $re_{rhs} \cdot re_{lhs}$

$$\delta_c(re_{rhs}) \cdot re_{lhs} \mid$$

$$\text{if } \varepsilon \text{ in } re_{rhs} \text{ then } \delta_c(re_{lhs}) \text{ else } \{\}$$

Announcements

- Homework 1 is out!
 - Please partner up if you haven't. If you don't have a partner you can make a private post on Piazza. Please do that in the next few days.
 - Failing to find a partner by Monday or else it will be a 20% deduction AND you will have to do the homework assignment by yourself.
 - Please record your partners in the shared spreadsheet. If you do not record, I will assume that you don't have a partner and you will get the deduction.
 - Please help keep up with organization
 - Use Piazza to find a partner

Announcements

- Homework 1 is out!
 - Where we are at now:
 - The homework has you using PLY to parse 2 languages
 - A calculator language
 - A regular expression language
 - You should be able to parse both languages now
 - By the end of today you should be able to do all of part 1
 - By the end of Monday you should be able to do all of part 2

Review

Review

- What is a parser generator?
- How do you use a parser generator?
- What features do parser generators have that can make your life easier?
 - As a compiler writer?
 - As a compiler user?

New material

First topic of today: Scope

- What is scope?
- Can it be determined at compile time? Can it be determined at runtime?
- C vs. Python
- Anyone have any interesting scoping rules they know of?

One consideration: Scope

- Lexical scope example

```
int x = 0;
int y = 0;
{
    int y = 0;
    x+=1;
    y+=1;
}
x+=1;
y+=1;
```

What are the final values in x and y?

How to track scope during parsing?

- Symbol table
- Global object, accessible (and mutable) by all production actions
- two methods:
 - **lookup(id)** : lookup an id in the symbol table.
Returns None if the id is not in the symbol table.
 - **insert(id,info)** : insert a new id (or overwrite an existing id) into the symbol table along with a set of information about the id.

What information might we store about an id?

a very simple programming language

VARIABLE_NAME = “[a-z]+”

INCREMENT = “\+\+”

TYPE = “int”

LB = “{”

RB = “}”

SEMI = “;”

```
int x;  
x++;  
int y;  
y++;
```

statements are either a declaration or an increment

a very simple programming language

VARIABLE_NAME = “[a-z]+”

INCREMENT = “\+\+”

TYPE = “int”

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    x++;  
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```
int x;  
{  
    int y;  
    x++;  
    y++;  
}  
y++;
```

statements are either a declaration or an increment

How to track scope?

- `SymbolTable ST;`

```
declare_variable: TYPE VARIABLE_NAME SEMI  
{ }
```

Say we are matched string:
`int x;`

lookup(id) : lookup an id in the symbol table. Returns None if the id is not in the symbol table.

insert(id,info) : insert a new id (or overwrite an existing id) into the symbol table along with a set of information about the id.

How to track scope?

- `SymbolTable ST;`

```
declare_variable: TYPE VARIABLE_NAME SEMI  
{ ST.insert (C[1], C[0]) }
```

Say we are matched string:
`int x;`

In this example we are storing a type

How to track scope?

- `SymbolTable ST;`

Say we are matched string:
`x++;`

```
variable_inc: VARIABLE_NAME INCREMENT SEMI  
{ }
```

lookup(id) : lookup an id in the symbol table. Returns None if the id is not in the symbol table.

insert(id,info) : insert a new id (or overwrite an existing id) into the symbol table along with a set of information about the id.

How to track scope?

- `SymbolTable ST;`

```
variable_inc: VARIABLE_NAME INCREMENT SEMI
{if not ST.lookup(x) :
    raise SymbolTableException;
else:
    ... // continue}
```

Say we are matched string:
`x++;`

How to track scope?

- `SymbolTable ST;`

`statement : variable_inc
 | declare_variable`

`statement_list : statement statement_list
 | statement`

adding in scope

How to track scope?

- `SymbolTable ST;`

```
statement : variable_inc  
          | declare_variable  
          | LB statement_list RB
```

```
statement_list : statement statement_list  
              | statement
```

How to track scope?

- `SymbolTable ST;`

statement : **LB** statement_list **RB**

start a new scope S

remove the scope S

How to track scope?

- Symbol table
- **four** methods:
 - **lookup(id)** : lookup an id in the symbol table.
Returns None if the id is not in the symbol table.
 - **insert(id,info)** : insert a new id into the symbol table along with a set of information about the id.
 - **push_scope()** : push a new scope to the symbol table
 - **pop_scope()** : pop a scope from the symbol table

How to track scope?

- `SymbolTable ST;`

statement : **LB** statement_list **RB**

start a new scope S

remove the scope S

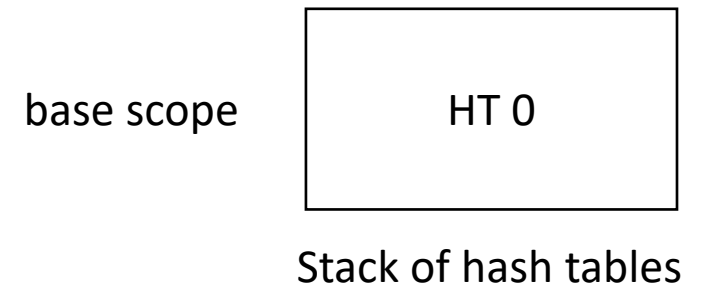
Think about how to solve with production rules

How to implement a symbol table?

- Thoughts? What data structures are good at mapping strings?
- Symbol table
- **four** methods:
 - **lookup(id)** : lookup an id in the symbol table.
Returns None if the id is not in the symbol table.
 - **insert(id,info)** : insert a new id into the symbol table along with a set of information about the id.
 - **push_scope()** : push a new scope to the symbol table
 - **pop_scope()** : pop a scope from the symbol table

How to implement a symbol table?

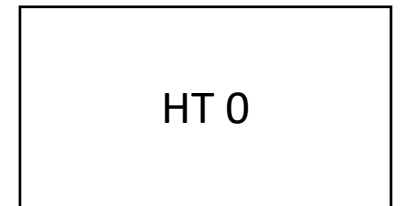
- Many ways to implement:
- A good way is a stack of hash tables:



How to implement a symbol table?

- Many ways to implement:
- A good way is a stack of hash tables:

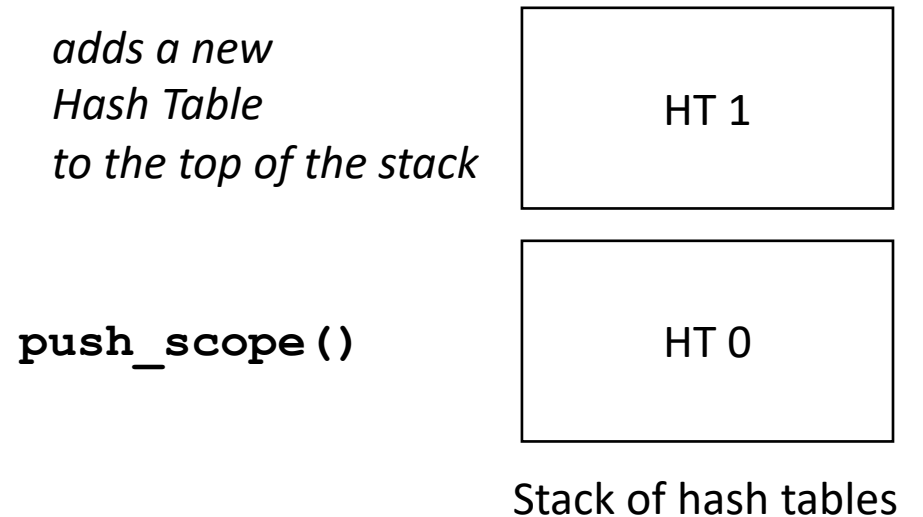
`push_scope ()`



Stack of hash tables

How to implement a symbol table?

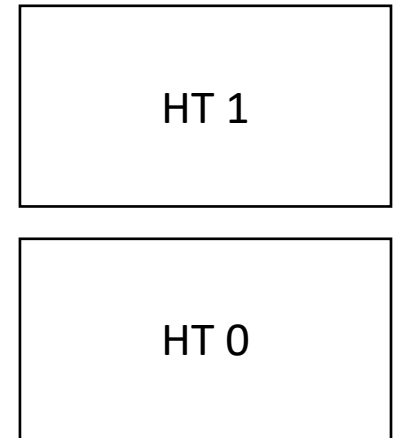
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How to implement a symbol table?

- Many ways to implement:
- A good way is a stack of hash tables:

`insert(id, data)`

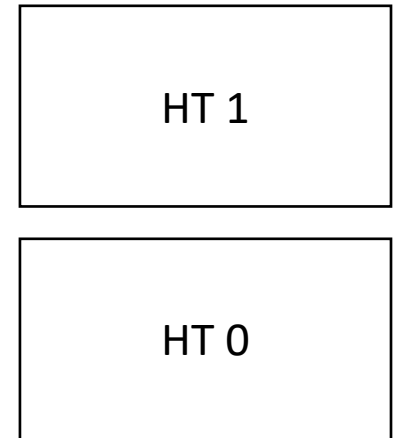


Stack of hash tables

How to implement a symbol table?

- Many ways to implement:
- A good way is a stack of hash tables:

`insert (id -> data)` at
top hash table



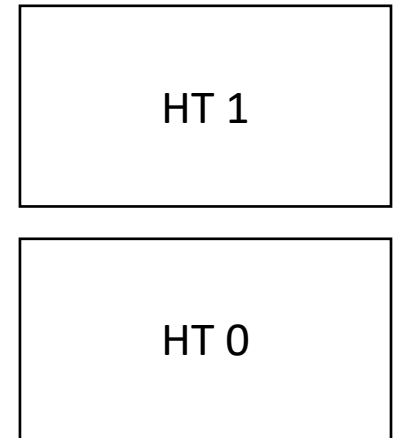
`insert (id, data)`

Stack of hash tables

How to implement a symbol table?

- Many ways to implement:
- A good way is a stack of hash tables:

`lookup(id)`



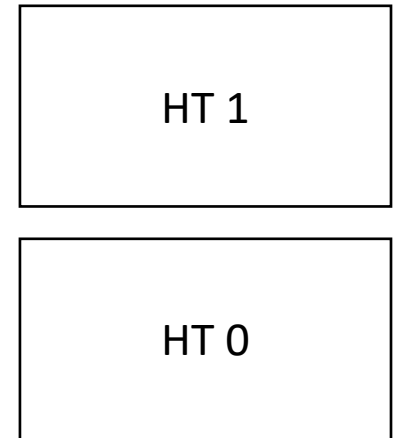
Stack of hash tables

How to implement a symbol table?

- Many ways to implement:
- A good way is a stack of hash tables:

`lookup(id)`

check here
first



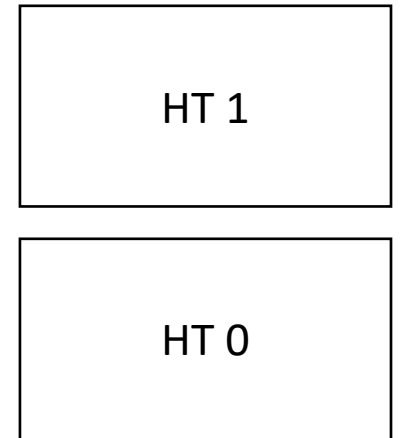
Stack of hash tables

How to implement a symbol table?

- Many ways to implement:
- A good way is a stack of hash tables:

`lookup(id)`

then check
here

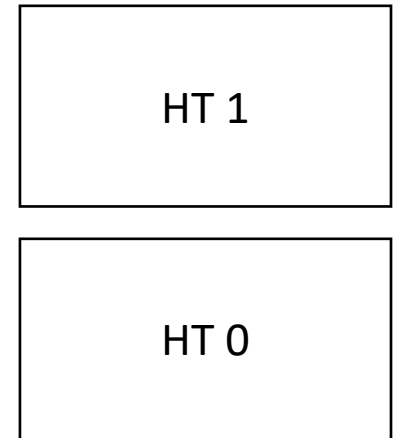


Stack of hash tables

How to implement a symbol table?

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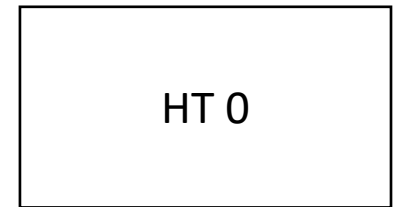
`pop_scope ()`



Stack of hash tables

How to implement a symbol table?

- Many ways to implement:
- A good way is a stack of hash tables:

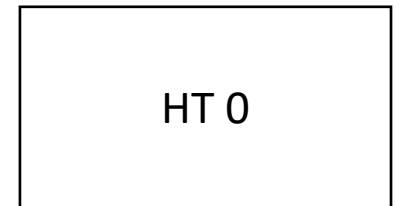
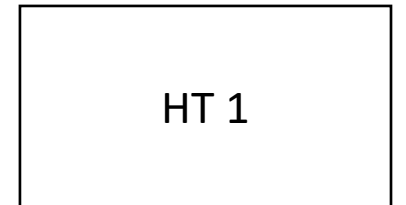


Stack of hash tables

How to implement a symbol table?

- Example

```
int x = 0;
int y = 0;
{
    y++;
    int y = 0;
    x++;
    y++;
}
{
    {
        y++;
    }
}
x++;
y++;
```



Stack of hash tables

Moving on

- Parsing with derivatives!

Matching RE's with Derivatives

- A simple regular expression matcher implementation
 - Given an RE AST, you can check matches with very few lines of code
- Think recursively!

Language Derivatives

- A language is a (potentially infinite) set of strings $\{s_1, s_2, s_3, s_4, \dots\}$
- A language is regular if it can be captured using a regular expression
- Examples of regular languages:
 - $\{“a”\}, \{“+”\}, \{“+”, “-”, “*”, “\”\}$
 - $\{“1”, “1+1”, “1+1+1”\}$
 - $\{“”\}$, also called $\{\varepsilon\}$
 - $\{\}$

Subtle distinction between $\{\}$ and $\{\varepsilon\}$

Language Derivatives

- The Derivative of language L with respect to character c (noted $\delta_c(L)$) is:

for all s in L , if s begins with c , then $s[1:]$ is in $\delta_c(L)$

- We'll go over some examples in the next slides

Language Derivatives Examples

- $L = \{“a”\}$
- $\delta_a(L) = \{“”\}$
- $\delta_b(L) = \{\}$

Language Derivatives Examples

- $L = \{ "+", "-", "*", "/" \}$

- $\delta_+(L) = \{ "" \}$

- $\delta_\wedge(L) = \{ \}$

- $\delta_*(L) = \{ "" \}$

Language Derivatives Examples

- $L = \{“1”, “1+1”, “1+1+1”, “1+1+1+1”, \dots\}$
- $\delta_+(L) = \{\}$
- $\delta_1(L) = \{“”, “+1”, “+1+1” \dots\}$
- $\delta_{1+}(L) = L$

Language Derivatives Examples

- $L = \{“aaa”, “ab”, “ba”, “bba”\}$

- $\delta_a(L) = \{?\}$

- $\delta_{aa}(L) = \{?\}$

- $\delta_b(L) = \{?\}$

- $\delta_{ba}(L) = \{?\}$

Regular Expressions

Recall we defined regular expressions recursively:

The three base cases: a character literal

- The RE for a character “a” is given by “a”. It matches only the character “a”
- The RE for the empty string is given by “” or ε
- The RE for the empty set is given by $\{\}$

Regular Expressions

three recursive definitions

- The concatenation of two REs x and y is given by $x.y$ and matches the strings of RE x **concatenated** with the strings of RE y
- The union of two REs x and y is given by $x|y$ and matches the strings of RE x **or** the strings of RE y
- The Kleene star of an RE x is given by x^* and matches the strings of RE x **repeated** 0 or more times

Regular expressions recursive definition

re =

| {}

| ""

| c (single character)

| re_{lhs} | re_{rhs}

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

Regular expressions recursive definition

re =

| {}

| ""

| c (single character)

| re_{lhs} | re_{rhs}

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

$re = a.b$

=

$re_{lhs} \cdot re_{rhs}$

"a"

"b"

parse tree for a regular expression

input: a.b | c*

Operator	Name	Productions
	union	: union PIPE concat concat
.	concat	: concat CONCAT starred starred
*	starred	: starred STAR unit
	unit	: CHAR ""

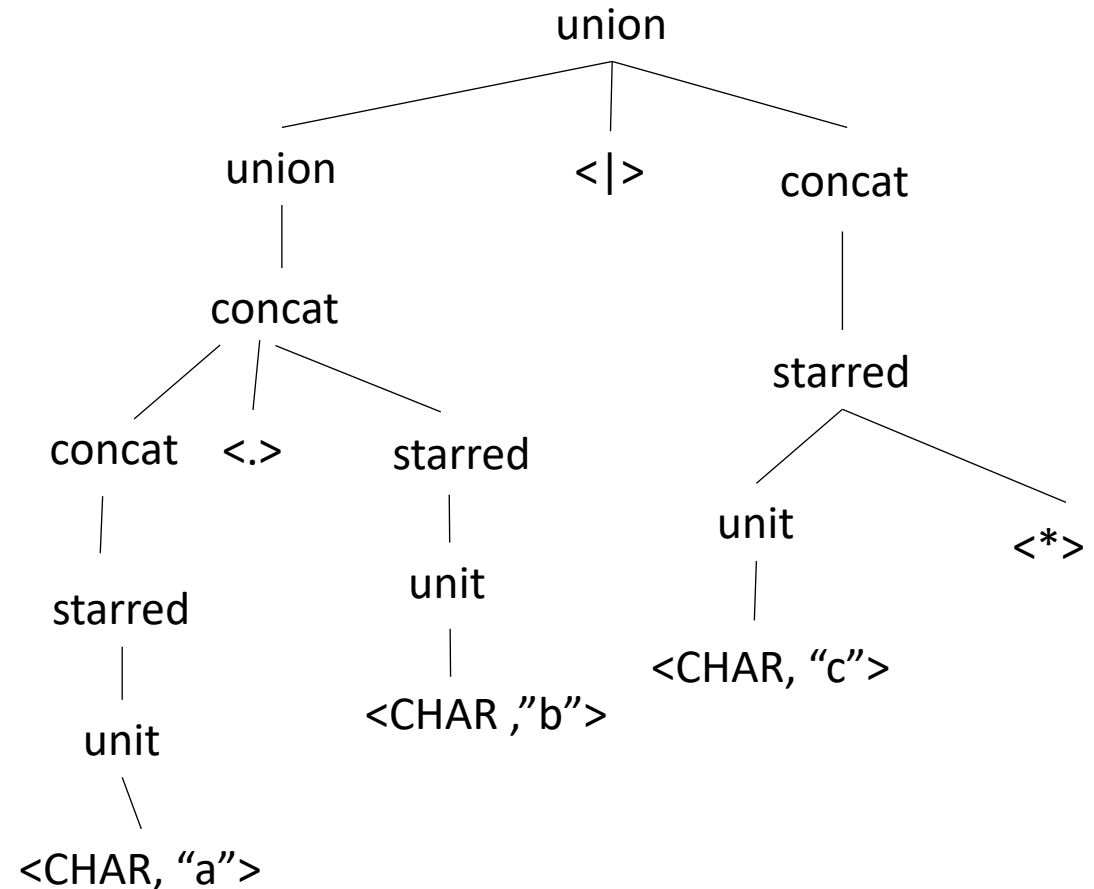
Excluding special cases for {}

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input: a.b | c*

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	union	: union PIPE concat concat
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*	starred	: starred STAR unit
	unit	: CHAR ""

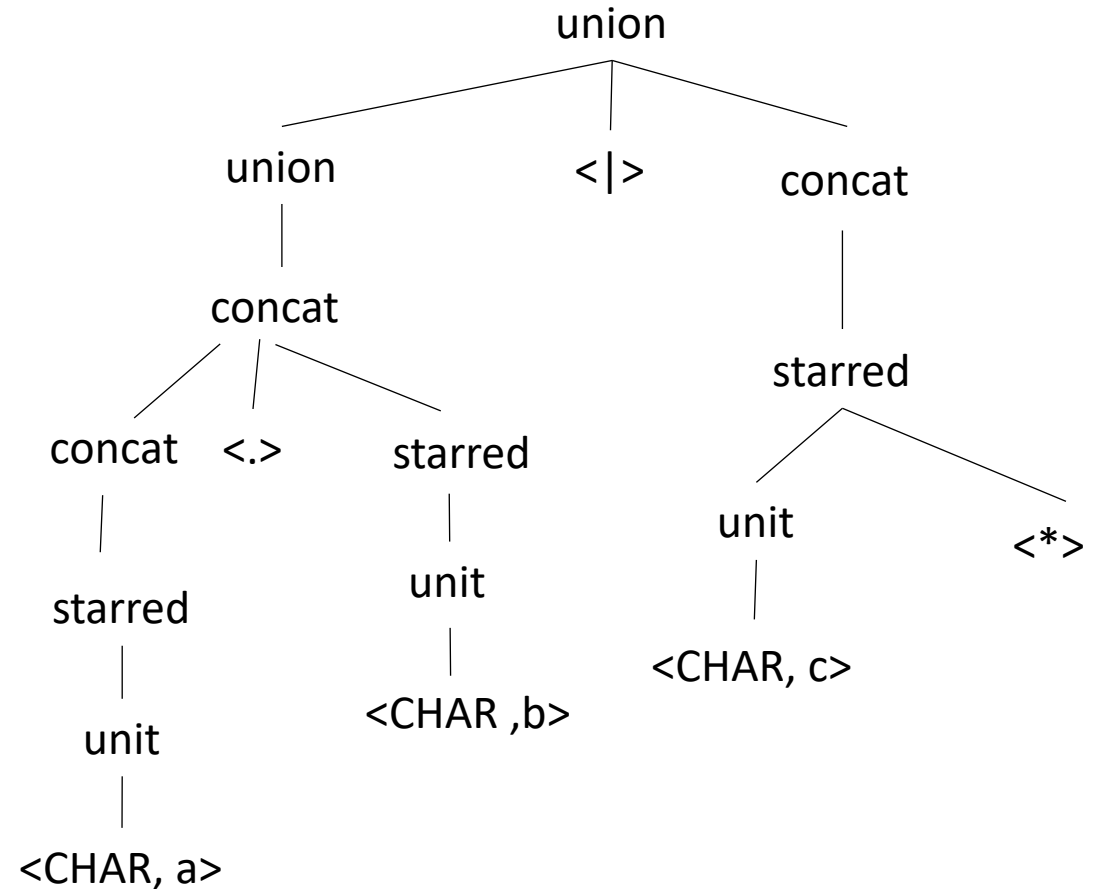
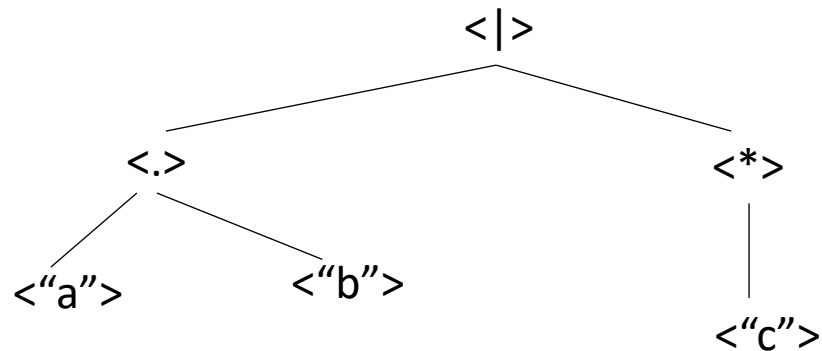
Excluding special cases for {}



parse tree for a regular expression

input: a.b | c*

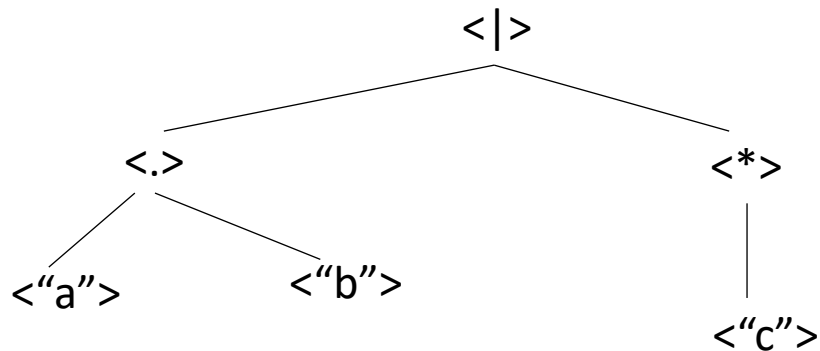
abstract syntax tree



parse tree for a regular expression

input: a.b | c*

abstract syntax tree

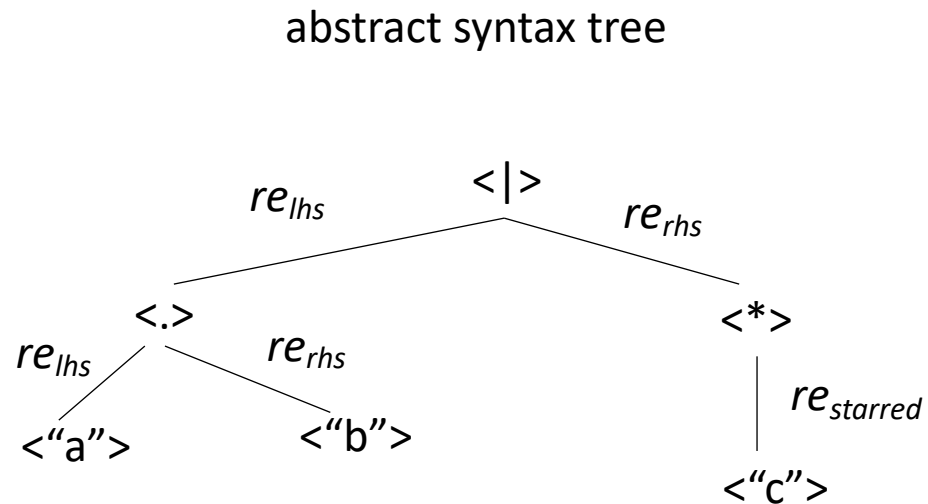


• re =

| {}
| ""
| a (single character)
| re_{lhs} | re_{rhs}
| re_{lhs} · re_{rhs}
| re_{starred} *

parse tree for a regular expression

input: a.b | c*

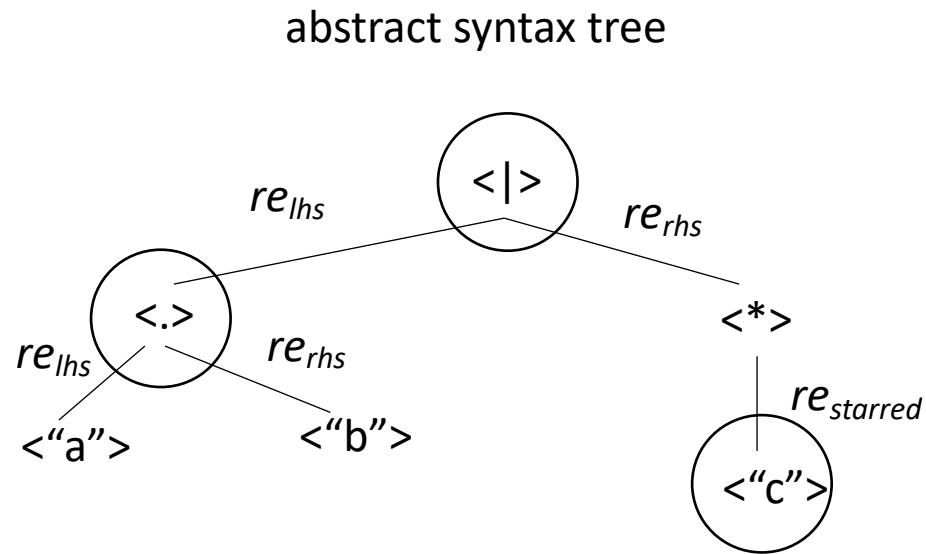


• re =

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parse tree for a regular expression

input: `a.b | c*`



each node is
also a regular expression!

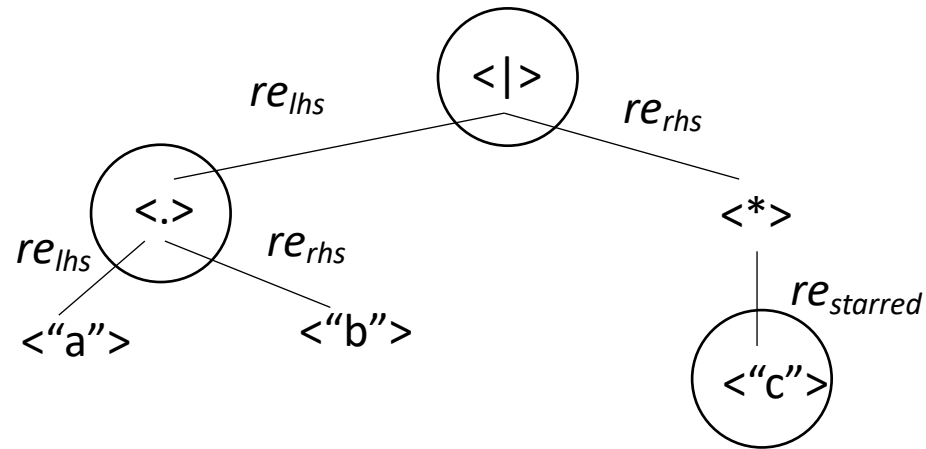
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parse tree for a regular expression

input: `a.b | c*`

abstract syntax tree



each node is
also a regular expression!

- *In your homework you will need to generate an RE AST using production rules*
- *given a regular expression AST, how check if a string is in the language?*
- *parsing with derivatives!*

Regular expressions are closed under derivatives

- Given a regular language L , any derivative of L is also a regular language.
- *Let's try some!*

Regular expressions are closed under derivatives

- $re = a$

- $L = \{“a”\}$

- $\delta_a(L) = \{“”\}$

- $\delta_a(re) = “”$

- $\delta_b(re) = \{\}$

Regular expressions are closed under derivatives

- $re = a \mid b$

- $L = \{“a”, “b”\}$

- $\delta_a(re) = “”$

- $\delta_b(re) = “”$

Regular expressions are closed under derivatives

- $re = a.a \mid a.b$

- $L = \{“aa”, “ab”\}$

- $\delta_a(re) = a \mid b$

- $\delta_b(re) = \{\}$

Regular expressions are closed under derivatives

- $re = (a.b.c)^*$
- $L = \{ "", "abc", "abcabc", \dots \}$
- $\delta_a(L) = \{ "bc", "bcabc", "bcabc", \dots \}$
- $\delta_a(re) = b.c.(a.b.c)^*$

What is a method for computing the derivative?

Consider the base cases

- $\delta_c(re)$ = match re with:
 - $\{\}$
return $\{\}$
 - $""$
return $\{\}$
 - a (single character)
if $a == c$ then return ϵ
else return $\{\}$

- re =

- $\{\}$
- ϵ
- a (single character)
- $re_{lhs} \mid re_{rhs}$
- $re_{lhs} \cdot re_{rhs}$
- $re_{starred}^*$

Derivative Recursive Cases

Consider the recursive cases:

- $\delta_c(re) = \text{match } re \text{ with:}$

- re_{lhs} / re_{rhs}

return ??

- $re_{starred}^*$

return ??

- $re_{lhs} \cdot re_{rhs}$

return ??

- $re =$

- | $\{ \}$

- | ϵ

- | a (single character)

- | re_{lhs} / re_{rhs}

- | $re_{lhs} \cdot re_{rhs}$

- | $re_{starred}^*$

Regular expressions are closed under derivatives

- $re = a.a \mid a.b$

- $L = \{“aa”, “ab”\}$

- $\delta_a(re) = \{a, b\} = a \mid b$

- $\delta_b(re) = \{\}$

Derivative Recursive Cases

Consider the recursive cases:

- $\delta_c(re) = \text{match } re \text{ with:}$

- re_{lhs} / re_{rhs}

return ??

- $re_{starred}^*$

return ??

- $re_{lhs} \cdot re_{rhs}$

return ??

- $re =$

- | $\{ \}$

- | ϵ

- | a (single character)

- | re_{lhs} / re_{rhs}

- | $re_{lhs} \cdot re_{rhs}$

- | $re_{starred}^*$

Derivative Recursive Cases

Consider the recursive cases:

- $\delta_c(re) = \text{match } re \text{ with:}$

- $re_{lhs} \mid re_{rhs}$

return $\delta_c(re_{lhs}) \mid \delta_c(re_{rhs})$

- $re_{starred}^*$

return ??

- $re_{lhs} \cdot re_{rhs}$

return ??

- re =

| { }

| ϵ

| a (single character)

| $re_{lhs} \mid re_{rhs}$

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

Regular expressions are closed under derivatives

- $re = (a.b.c)^*$
- $L = \{ "", "abc", "abcabc", "abcabcabc" \dots \}$
- $\delta_a(re) = \{ "bc", "bcabc", "bcabcabc", \dots \}$

Derivative Recursive Cases

Consider the recursive cases:

- $\delta_c(re) =$ match re with:

- $re_{lhs} \mid re_{rhs}$

return $\delta_c(re_{lhs}) \mid \delta_c(re_{rhs})$

- $re_{starred}^*$

return ??

- $re_{lhs} \cdot re_{rhs}$

return ??

- re =

- | { }

- | ϵ

- | a (single character)

- | $re_{lhs} \mid re_{rhs}$

- | $re_{lhs} \cdot re_{rhs}$

- | $re_{starred}^*$

Derivative Recursive Cases

Consider the recursive cases:

- $\delta_c(re) = \text{match } re \text{ with:}$

- $re_{lhs} \mid re_{rhs}$

return $\delta_c(re_{lhs}) \mid \delta_c(re_{rhs})$

- $re_{starred}^*$

return $\delta_c(re_{starred}) \cdot re_{starred}^*$

- $re_{lhs} \cdot re_{rhs}$

return ??

- re =

| { }

| ϵ

| a (single character)

| $re_{lhs} \mid re_{rhs}$

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

Some properties/optimizations

How do certain regular expressions combine?

- $a \mid "" = a$

- $a \mid \{\} = a$

- $a \cdot "" = a$

- $a \cdot \{\} = \{\}$

- $""^* = ""$

- $\{\}^* = \{\}$

Derivative Recursive Cases

Let's look at concatenation:

- $\delta_c(re) = \text{match } re \text{ with:}$

- $re_{lhs} \cdot re_{rhs}$

return ??

Example:

$re = a.b$

$\delta_a(re) = ?$

Derivative Recursive Cases

Let's look at concatenation:

- $\delta_c(re) = \text{match } re \text{ with:}$

- $re_{lhs} \cdot re_{rhs}$

- return $\delta_c(re_{lhs}) \cdot re_{rhs}$

Example:

$re = a.b$

$\delta_a(re) = b$

Derivative Recursive Cases

Let's look at concatenation:

- $\delta_c(re) =$ match re with:

- $re_{lhs} \cdot re_{rhs}$

return $\delta_c(re_{lhs}) \cdot re_{rhs}$

Example:

$re = a.b$

$\delta_a(re) = b$

Derivative Recursive Cases

Let's look at concatenation:

- $\delta_c(re) = \text{match } re \text{ with:}$

- $re_{lhs} \cdot re_{rhs}$

return $\delta_c(re_{lhs}) \cdot re_{rhs}$

What about?

Example:

$re = c^*.a.b$

$\delta_a(re) = ?$

Derivative Recursive Cases

Let's look at concatenation:

- $\delta_c(re) = \text{match } re \text{ with:}$

- $re_{lhs} \cdot re_{rhs}$

return $\delta_c(re_{lhs}) \cdot re_{rhs} \mid$

$\text{if } \epsilon \text{ in } re_{lhs} \text{ then } \delta_c(re_{rhs}) \text{ else } \{\}$

Example:

$re = c^*.a.b$

$\delta_a(re) = ?$

Derivative Recursive Cases

Consider the recursive cases:

- $\delta_c(re)$ = match re with:

- $re_{lhs} \mid re_{rhs}$

return $\delta_c(re_{lhs}) \mid \delta_c(re_{rhs})$

- $re_{starred}^*$

return $\delta_c(re_{starred}) \cdot re_{starred}^*$

- $re_{lhs} \cdot re_{rhs}$

return $\delta_c(re_{lhs}) \cdot re_{rhs} \mid$

if "" in re_{lhs} *then* $\delta_c(re_{rhs})$ *else* {}

- re =

| {}

| ϵ

| a (single character)

| $re_{lhs} \mid re_{rhs}$

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

Nullable operator

- $\text{NULL}(re) =$

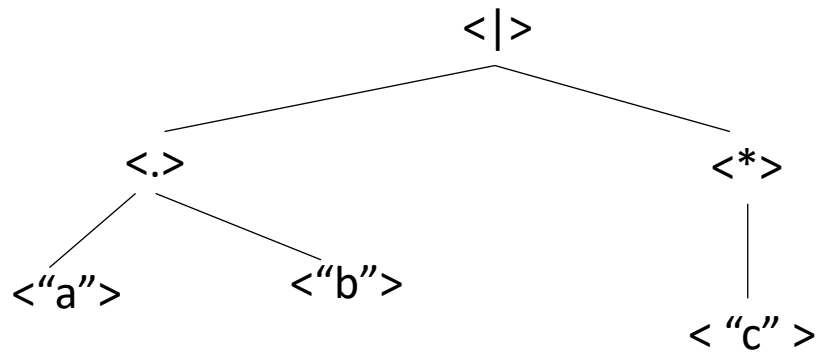
*if $\epsilon \in re$ then: ϵ
else: $\{\}$*

Nullable operator

- $\text{NULL}(re) =$

if "" $\in re$ then: ""
else: {}

implement over a RE abstract syntax tree



- $re =$

| {}
| ""
| a (single character)
| $re_{lhs} | re_{rhs}$
| $re_{lhs} \cdot re_{rhs}$
| $re_{starred}^*$

What is a method for computing NULL?

Consider the base cases

- $\text{NULL}(re) = \text{match } re \text{ with:}$

- $\{\}$
return $\{\}$

- $""$
return $""$

- a (single character)
return $\{\}$

- $re =$

- $\{\}$
- $""$
- a (single character)
- $re_{lhs} \mid re_{rhs}$
- $re_{lhs} \cdot re_{rhs}$
- $re_{starred}^*$

What is a method for computing NULL?

Consider the recursive cases:

- $\text{NULL}(re) = \text{match } re \text{ with:}$

- re_{lhs} / re_{rhs}

return ??

- $re_{starred}^*$

return ??

- $re_{lhs} \cdot re_{rhs}$

return ??

- $re =$

- | $\{\}$

- | ϵ

- | a (single character)

- | re_{lhs} / re_{rhs}

- | $re_{lhs} \cdot re_{rhs}$

- | $re_{starred}^*$

What is a method for computing NULL?

Consider the recursive cases:

- $\text{NULL}(re) = \text{match } re \text{ with:}$

- $re_{lhs} \mid re_{rhs}$

return $\text{NULL}(re_{lhs}) \mid \text{NULL}(re_{rhs})$

- $re_{starred}^*$

return ""

- $re_{lhs} \cdot re_{rhs}$

return $\text{NULL}(re_{lhs}) \cdot \text{NULL}(re_{rhs})$

- $re =$

| {}

| ϵ

| a (single character)

| $re_{lhs} \mid re_{rhs}$

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

Derivative Recursive Cases

Consider the recursive cases:

- $\delta_c(re)$ = match re with:

- $re_{lhs} \mid re_{rhs}$

return $\delta_c(re_{lhs}) \mid \delta_c(re_{rhs})$

- $re_{starred}^*$

return $\delta_c(re_{starred}) \cdot re_{starred}^*$

- $re_{lhs} \cdot re_{rhs}$

return $\delta_c(re_{lhs}) \cdot re_{rhs} \mid$

$if \ \varepsilon \ in \ re_{lhs} \ then \ \delta_c(re_{rhs}) \ else \ \{\}$

- re =

| $\{\}$

| ε

| a (single character)

| $re_{lhs} \mid re_{rhs}$

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

Derivative Recursive Cases

Consider the recursive cases:

• $\delta_c(re)$ = match re with:

• $re_{lhs} \mid re_{rhs}$

return $\delta_c(re_{lhs}) \mid \delta_c(re_{rhs})$

• $re_{starred}^*$

return $\delta_c(re_{starred}) \cdot re_{starred}^*$

• $re_{lhs} \cdot re_{rhs}$

return $\delta_c(re_{lhs}) \cdot re_{rhs} \mid$
 $NULL(re_{lhs}) \cdot \delta_c(re_{rhs})$

• re =

| {}

| ϵ

| a (single character)

| $re_{lhs} \mid re_{rhs}$

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

Parsing REs with derivative

given a function δ_c to compute the derivative of an RE, the NULL function, an RE re , and a string $s = c_1 . c_2 . c_3 \dots$ (concat of characters)

Can we check if re matches s ?

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Can we check if re matches s ?

$$L(re) = \{.. s ..\}$$

Parsing REs with derivative

given a function δ_c to compute the derivative of an RE, the NULL function, an RE re , and a string $s = c_1 \cdot c_2 \cdot c_3 \dots$ (concat of characters)

Can we check if re matches s ?

$$L(re) = \{.. s ..\} \left| \begin{array}{l} \delta_{c_1}(re) \\ \\ L(\delta_{c_1}(re)) = \{.. s[1:] ..\} \end{array} \right.$$

Parsing REs with derivative

given a function δ_c to compute the derivative of an RE, the NULL function, an RE re , and a string $s = c_1 \cdot c_2 \cdot c_3 \dots$ (concat of characters)

Can we check if re matches s ?

$L(re) = \{.. s ..\}$	$\delta_{c_1}(re)$	$\delta_{c_2}(\delta_{c_1}(re)) = \delta_{c_1, c_2}(re)$
	$L(\delta_{c_1}(re)) = \{.. s[1:] ..\}$	$L(\delta_{c_1, c_2}(re)) = \{.. s[2:] ..\}$

Parsing REs with derivative

given a function δ_c to compute the derivative of an RE, the NULL function, an RE re , and a string $s = c_1 . c_2 . c_3 \dots$ (concat of characters)

Can we check if re matches s ?

	$\delta_{c_1}(re)$	$\delta_{c_2}(\delta_{c_1}(re)) = \delta_{c_1, c_2}(re)$	$\delta_s(re)$
$L(re) = \{.. s ..\}$			
	$L(\delta_{c_1}(re)) = \{.. s[1:] ..\}$	$L(\delta_{c_1, c_2}(re)) = \{.. s[2:] ..\}$	$L(\delta_s(re)) = \{.. \epsilon ..\}$

Parsing REs with derivative

given a function δ_c to compute the derivative of an RE, the NULL function, an RE re , and a string $s = c_1 \cdot c_2 \cdot c_3 \dots$ (concat of characters)

Can we check if re matches s ?

	$\delta_{c_1}(re)$	$\delta_{c_2}(\delta_{c_1}(re)) = \delta_{c_1, c_2}(re)$	$\delta_s(re)$	<div style="border: 1px solid black; padding: 5px; text-align: center;">If this is true, Then re matches s</div>
$L(re) = \{.. s ..\}$	$L(\delta_{c_1}(re)) = \{.. s[1:] ..\}$	$L(\delta_{c_1, c_2}(re)) = \{.. s[2:] ..\}$	$L(\delta_s(re)) = \{.. "" ..\}$	$NULL(\delta_s(re)) == ""$