

CSE211: Compiler Design

Oct. 6, 2022

- **Topic:**

- Symbol tables
- parsing with derivatives

- **Questions:**

- What is “scope”
- *How do you parse a regular expression?*
- *How do you parse a context free grammar?*

- $\delta_c(re)$, where re is:

- $re_{\underline{rhs}} \cdot re_{\underline{lhs}}$

$$\delta_c(re_{\underline{rhs}}) \cdot re_{\underline{lhs}} \mid$$
$$\text{if } \varepsilon \text{ in } re_{\underline{rhs}} \text{ then } \delta_c(re_{\underline{lhs}}) \text{ else } \{\}$$

Logistics

- Homework 1 is released
- Please find a partner ASAP
 - Someone will need to join as a third person. It is not fair to that team to have someone join late
 - Because of this, if you do not find a partner by the end of the day, I'll assign a partner.
 - But please try to self organize
 - Jeremy set up a class discord
 - I will not moderate the discord
 - Don't cheat and be nice to each other

Logistics

- Pair programming assignment:
 - Different from a group project
 - **Any work on the assignment must be done together!**
 - **Help each other with understanding!**

Logistics

- Office hours moved to Friday again this week so that you have a chance to get started on the HW
- Sign up sheet will be released at 11 AM on Friday
 - Look for a canvas announcement

Logistics

- Next week:
 - I will be in Chicago for PACT
 - Tuesdays lecture will be asynchronous
 - Office hours will move to Friday again.
- The week after:
 - I will be in Phoenix for the Khronos Group F2F
 - Thursdays lecture will be asynchronous
 - Office hours will be on Tuesday after class
- **That should be all my travel for the quarter**

I'll send out an announcement to remind you

Review

- What is a parser generator?
- How do you use a parser generator?
- What features do parser generators have that can make your life easier?
 - As a compiler writer?
 - As a compiler user?

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First topic of today: Scope

- What is scope?
- Can it be determined at compile time? Can it be determined at runtime?
- C vs. Python
- Anyone have any interesting scoping rules they know of?

One consideration: Scope

- Lexical scope example

```
int x = 0;
int y = 0;
{
    int y = 0;
    x+=1;
    y+=1;
}
x+=1;
y+=1;
```

What are the final values in x and y?

How to track scope?

- Symbol table
- Global object, accessible (and mutable) by all production actions
- two methods:
 - **lookup(id)** : lookup an id in the symbol table.
Returns None if the id is not in the symbol table.
 - **insert(id,info)** : insert a new id (or overwrite an existing id) into the symbol table along with a set of information about the id.

What information might we store about an id?

a very simple programming language

VARIABLE_NAME = “[a-z]+”

INCREMENT = “\+\+”

TYPE = “int”

LB = “{”

RB = “}”

SEMI = “;”

```
int x;  
x++;  
int y;  
y++;
```

statements are either a declaration or an increment

a very simple programming language

VARIABLE_NAME = “[a-z]+”

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```
int x;  
{  
    int y;  
    x++;  
    y++;  
}  
y++;
```

statements are either a declaration or an increment

How to track scope?

- `SymbolTable ST;`

```
declare_variable: TYPE VARIABLE_NAME SEMI  
{ }
```

Say we are matched string:
`int x;`

lookup(id) : lookup an id in the symbol table. Returns None if the id is not in the symbol table.

insert(id,info) : insert a new id (or overwrite an existing id) into the symbol table along with a set of information about the id.

How to track scope?

- `SymbolTable ST;`

```
declare_variable: TYPE VARIABLE_NAME SEMI  
{ST.insert(C[1],C[0])}
```

Say we are matched string:
`int x;`

In this example we are storing a type

How to track scope?

- `SymbolTable ST;`

Say we are matched string:
`x++;`

```
variable_inc: VARIABLE_NAME INCREMENT SEMI  
{ }
```

lookup(id) : lookup an id in the symbol table. Returns None if the id is not in the symbol table.

insert(id,info) : insert a new id (or overwrite an existing id) into the symbol table along with a set of information about the id.

How to track scope?

- `SymbolTable ST;`

```
variable_inc: VARIABLE_NAME INCREMENT SEMI
{if not ST.lookup(x):
    raise SymbolTableException;
else:
    ... // continue}
```

Say we are matched string:
`x++;`

How to track scope?

- `SymbolTable ST;`

`statement : variable_inc
 | declare_variable`

`statement_list : statement statement_list
 | statement`

How to track scope?

- `SymbolTable ST;`

`statement : variable_inc
 | declare_variable`

`statement_list : statement statement_list
 | statement`

adding in scope

How to track scope?

- `SymbolTable ST;`

```
statement : variable_inc  
          | declare_variable  
          | LBAR statement_list RBAR
```

```
statement_list : statement statement_list  
              | statement
```

How to track scope?

- `SymbolTable ST;`

statement : **LBAR** statement_list **RBAR**

start a new scope S

remove the scope S

How to track scope?

- Symbol table
- **four** methods:
 - **lookup(id)** : lookup an id in the symbol table.
Returns None if the id is not in the symbol table.
 - **insert(id,info)** : insert a new id into the symbol table along with a set of information about the id.
 - **push_scope()** : push a new scope to the symbol table
 - **pop_scope()** : pop a scope from the symbol table

How to track scope?

- `SymbolTable ST;`

statement : **LBAR** statement_list **RBAR**

start a new scope S

remove the scope S

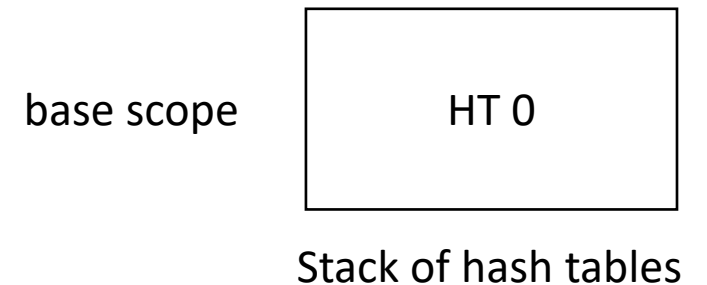
Think about how to solve with production rules

How to implement a symbol table?

- Thoughts? What data structures are good at mapping strings?
- Symbol table
- **four** methods:
 - **lookup(id)** : lookup an id in the symbol table.
Returns None if the id is not in the symbol table.
 - **insert(id, info)** : insert a new id into the symbol table along with a set of information about the id.
 - **push_scope()** : push a new scope to the symbol table
 - **pop_scope()** : pop a scope from the symbol table

How to implement a symbol table?

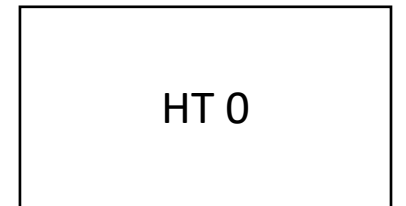
- Many ways to implement:
- A good way is a stack of hash tables:



How to implement a symbol table?

- Many ways to implement:
- A good way is a stack of hash tables:

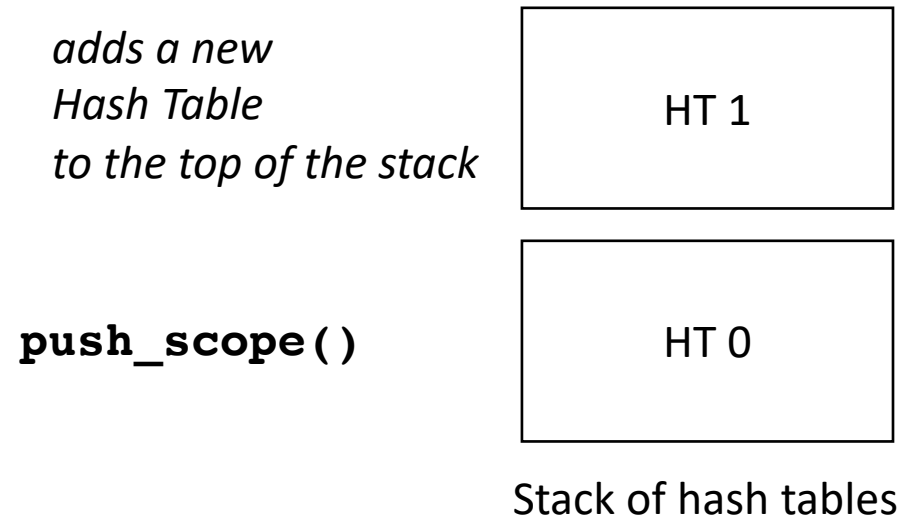
push_scope()



Stack of hash tables

How to implement a symbol table?

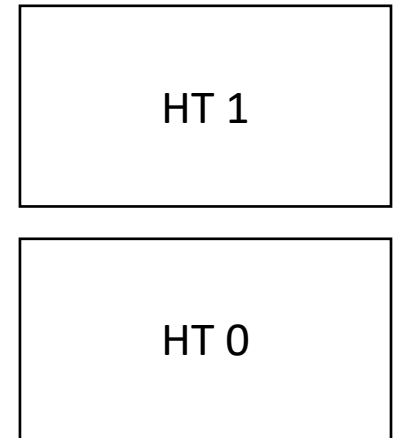
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How to implement a symbol table?

- Many ways to implement:
- A good way is a stack of hash tables:

`insert(id, data)`



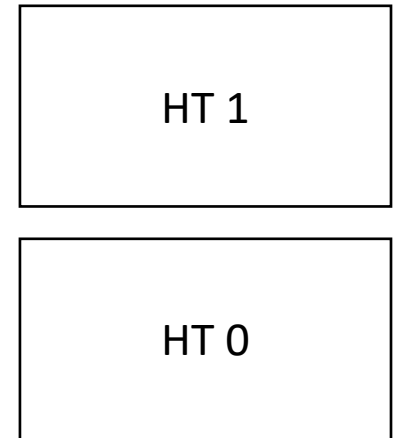
Stack of hash tables

How to implement a symbol table?

- Many ways to implement:
- A good way is a stack of hash tables:

`insert(id, data)`

`insert(id -> data)` at
top hash table

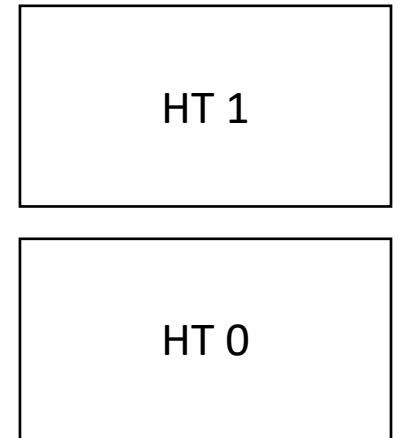


Stack of hash tables

How to implement a symbol table?

- Many ways to implement:
- A good way is a stack of hash tables:

lookup(id)



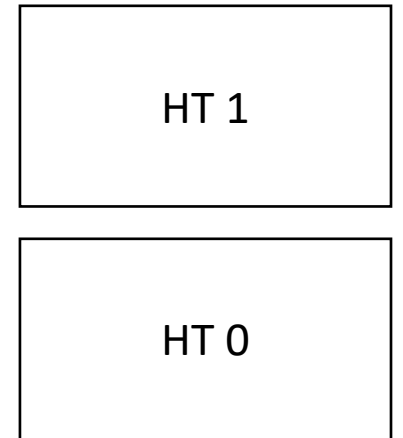
Stack of hash tables

How to implement a symbol table?

- Many ways to implement:
- A good way is a stack of hash tables:

lookup(id)

check here
first



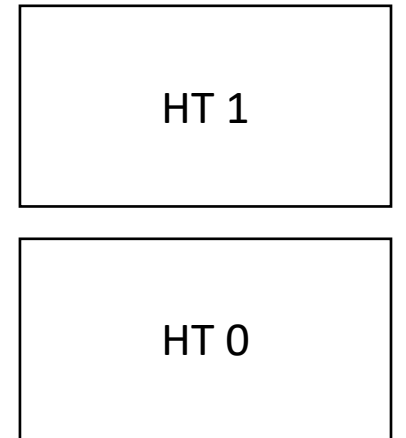
Stack of hash tables

How to implement a symbol table?

- Many ways to implement:
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lookup(id)

then check
here

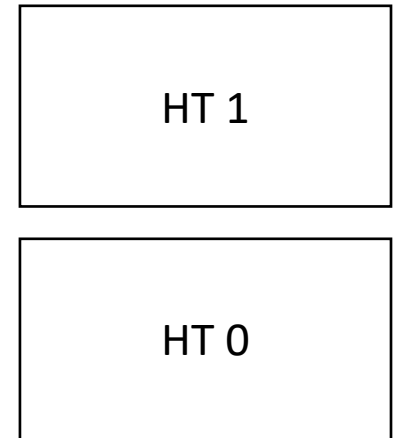


Stack of hash tables

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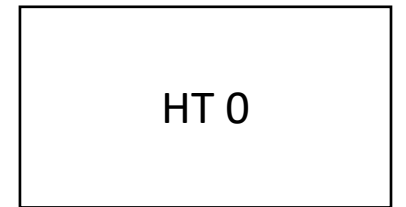
pop_scope ()



Stack of hash tables

How to implement a symbol table?

- Many ways to implement:
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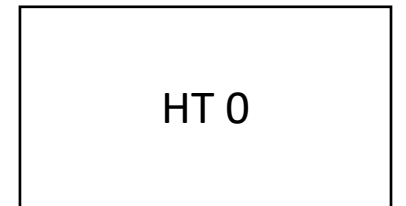


Stack of hash tables

How to implement a symbol table?

- Example

```
int x = 0;
int y = 0;
{
    int y = 0;
    x++;
    y++;
}
x++;
y++;
```



Stack of hash tables

Moving on

- Parsing with derivatives!

Parsing RE's with Derivatives

- A simple regular expression matcher implementation
 - Given an RE AST, you can check matches with very few lines of code
- Think recursively!

Language Derivatives

- A language is a (potentially infinite) set of strings $\{s_1, s_2, s_3, s_4, \dots\}$
- A language is regular if it can be captured using a regular expression
- Examples of regular languages:
 - $\{“a”\}, \{“+”\}, \{“+”, “-”, “*”, “\”\}$
 - $\{“1”, “1+1”, “1+1+1”\}$
 - $\{“”\}$, also called $\{\varepsilon\}$
 - $\{\}$

Subtle distinction between $\{\}$ and $\{\varepsilon\}$

Language Derivatives

- The Derivative of language L with respect to character c (noted $\delta_c(L)$) is:

for all s in L , if s begins with c , then $s[1:]$ is in $\delta_c(L)$

- We'll go over some examples in the next slides

Language Derivatives Examples

- $L = \{“a”\}$

- $\delta_a(L) = ?$

- $\delta_b(L) = ?$

Language Derivatives Examples

- $L = \{ "+", "-", "*", "/" \}$

- $\delta_+(L) = ?$

- $\delta_\wedge(L) = ?$

- $\delta_*(L) = ?$

Language Derivatives Examples

- $L = \{“1”, “1+1”, “1+1+1”, “1+1+1+1”, \dots\}$
- $\delta_+(L) = \{?\}$
- $\delta_1(L) = \{?\}$
- $\delta_{1+}(L) = \{?\}$

Language Derivatives Examples

- $L = \{“aaa”, “ab”, “ba”, “bba”\}$

- $\delta_a(L) = \{?\}$

- $\delta_{aa}(L) = \{?\}$

- $\delta_b(L) = \{?\}$

- $\delta_{ba}(L) = \{?\}$

Regular Expressions

Recall we defined regular expressions recursively:

The three base cases: a character literal

- The RE for a character “a” is given by “a”. It matches only the character “a”
- The RE for the empty string is given by “” or ε
- The RE for the empty set is given by $\{\}$

Regular Expressions

three recursive definitions

- The concatenation of two REs x and y is given by $x.y$ and matches the strings of RE x **concatenated** with the strings of RE y
- The union of two REs x and y is given by $x|y$ and matches the strings of RE x **or** the strings of RE y
- The Kleene star of an RE x is given by x^* and matches the strings of RE x **repeated** 0 or more times

Regular expressions recursive definition

re =

| {}

| ""

| c (single character)

| re_{lhs} | re_{rhs}

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

Regular expressions recursive definition

re =

| {}

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| c (single character)

| re_{lhs} | re_{rhs}

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

$re = a.b$

=

$re_{lhs} \cdot re_{rhs}$

"a"

"b"

parse tree for a regular expression

input: a.b |c*

Operator	Name	Productions
	union	: union PIPE concat concat
.	concat	: concat CONCAT starred starred
*	starred	: starred STAR unit
	unit	: CHAR ""

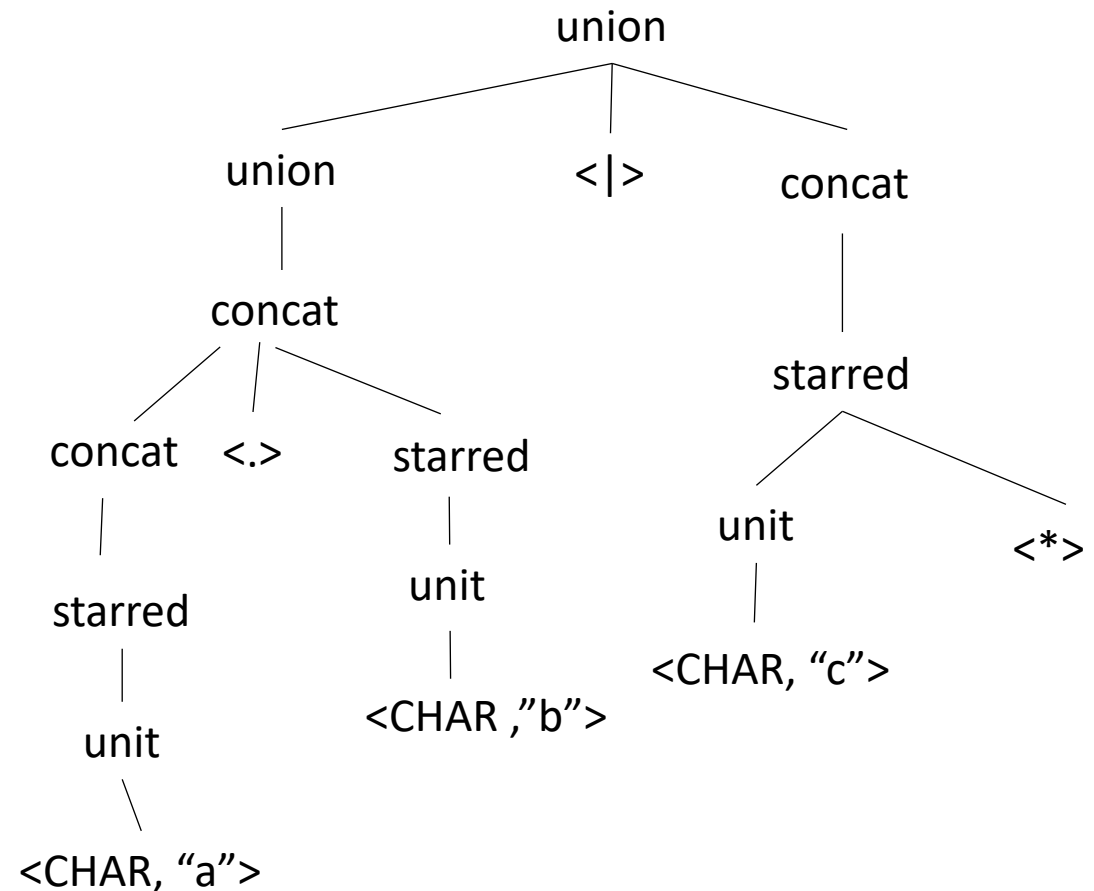
Excluding special cases for {}

parse tree for a regular expression

input: a.b |c*

Operator	Name	Productions
	union	: union PIPE concat concat
.	concat	: concat CONCAT starred starred
*	starred	: starred STAR unit
	unit	: CHAR ""

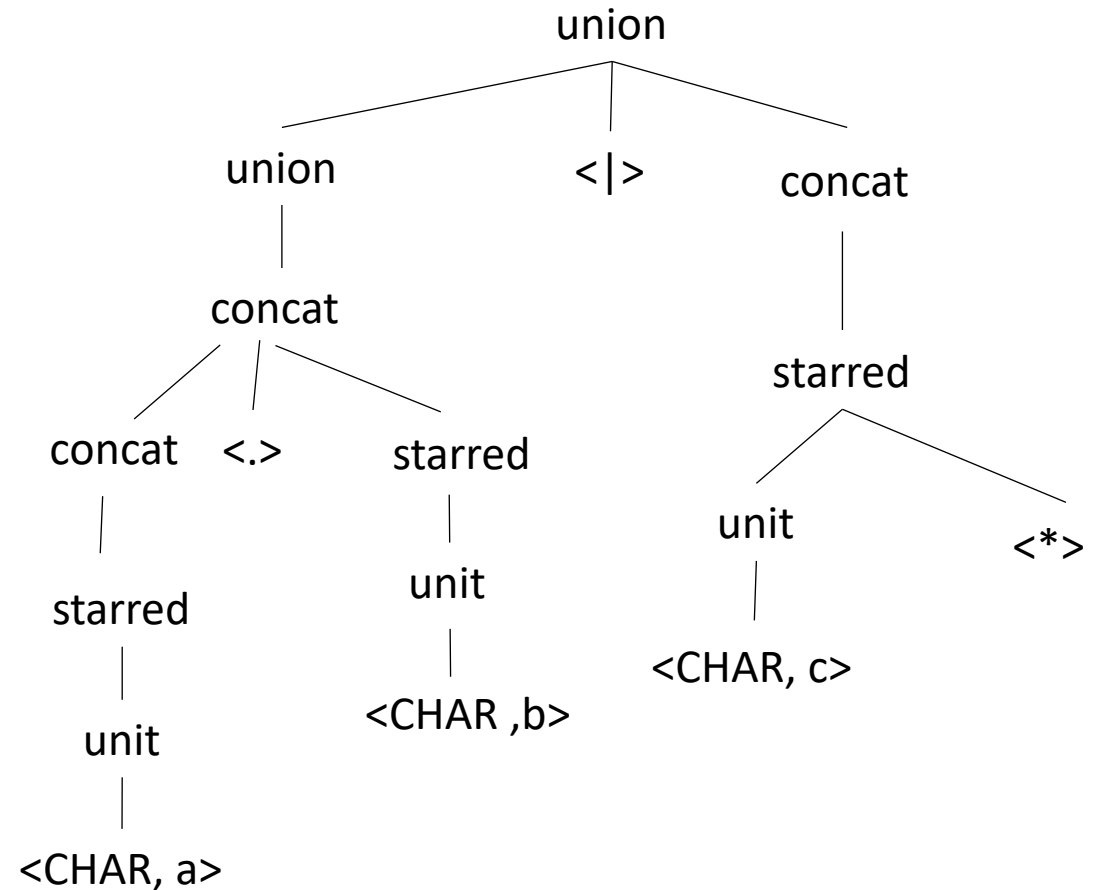
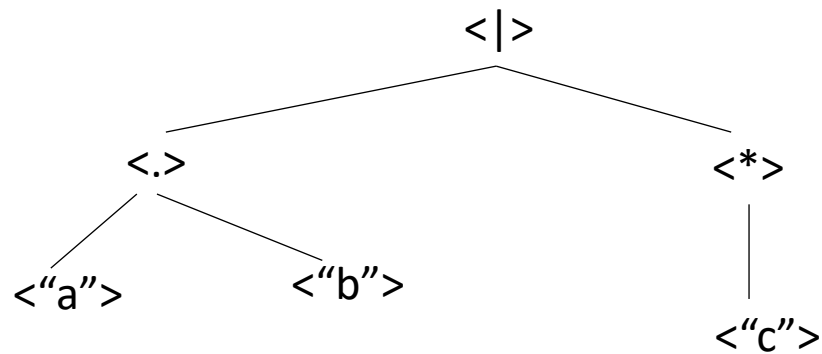
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parse tree for a regular expression

input: a.b | c*

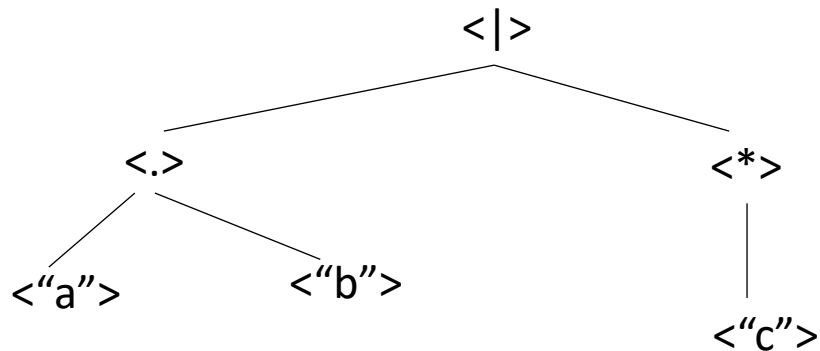
abstract syntax tree



parse tree for a regular expression

input: a.b | c*

abstract syntax tree

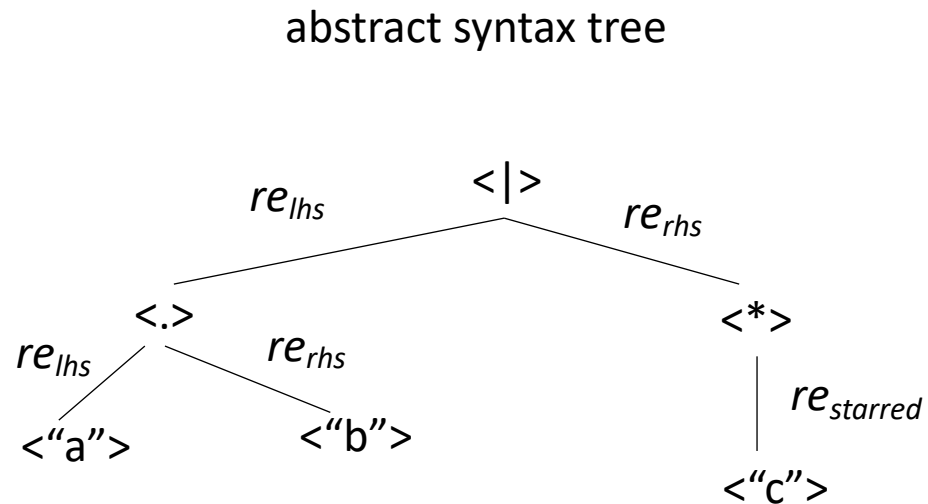


• re =

| {}
| ""
| a (single character)
| re_{lhs} | re_{rhs}
| re_{lhs} · re_{rhs}
| re_{starred} *

parse tree for a regular expression

input: a.b | c*

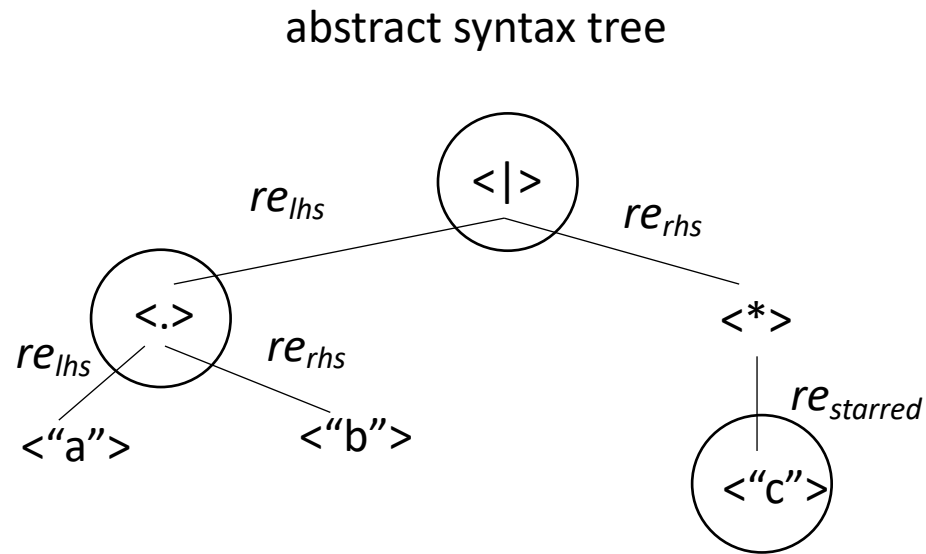


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parse tree for a regular expression

input: a.b | c*



each node is
also a regular expression!

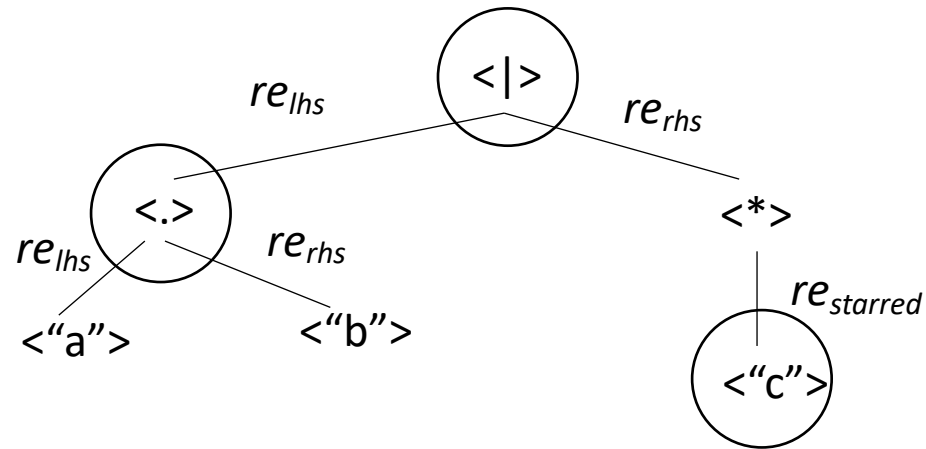
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parse tree for a regular expression

input: a.b | c*

abstract syntax tree



each node is
also a regular expression!

- *In your homework you will need to generate an RE AST using production rules*
- *Question: given a regular expression AST, how check if a string is in the language?*
- *parsing with derivatives!*

Regular expressions are closed under derivatives

- Given a regular language L , any derivative of L is also a regular language.
- *Let's try some!*

Regular expressions are closed under derivatives

- $re = a$

- $L = ?$

- $\delta_a(L) = ?$

- $\delta_a(re) = ?$

- $\delta_b(re) = ?$

Regular expressions are closed under derivatives

- $re = a \mid b$

- $L = ?$

- $\delta_a(re) = ?$

- $\delta_b(re) = ?$

Regular expressions are closed under derivatives

- $re = a.a \mid a.b$

- $L = ?$

- $\delta_a(re) = ?$

- $\delta_b(re) = ?$

Regular expressions are closed under derivatives

- $re = (a.b.c)^*$

- $L = ?$

- $\delta_a(re) = ?$

What is a method for computing the derivative?

Consider the base cases

- $\delta_c(re) = \text{match } re \text{ with:}$
 - $\{\}$
return $\{\}$
 - $""$
return $\{\}$
 - a (single character)
if $a == c$ then return $\{\epsilon\}$
else return $\{\}$

- $re =$

- $\{\}$
- ϵ
- a (single character)
- $re_{lhs} \mid re_{rhs}$
- $re_{lhs} \cdot re_{rhs}$
- $re_{starred}^*$

Derivative Recursive Cases

Consider the recursive cases:

- $\delta_c(re) = \text{match } re \text{ with:}$

- re_{lhs} / re_{rhs}

return ??

- $re_{starred}^*$

return ??

- $re_{lhs} \cdot re_{rhs}$

return ??

- $re =$

- | $\{ \}$

- | ϵ

- | a (single character)

- | re_{lhs} / re_{rhs}

- | $re_{lhs} \cdot re_{rhs}$

- | $re_{starred}^*$

Regular expressions are closed under derivatives

- $re = a.a \mid a.b$

- $L = \{“aa”, “ab”\}$

- $\delta_a(re) = \{a, b\} = a \mid b$

- $\delta_b(re) = \{\}$

Derivative Recursive Cases

Consider the recursive cases:

- $\delta_c(re) = \text{match } re \text{ with:}$

- re_{lhs} / re_{rhs}

return ??

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Consider the recursive cases:

- $\delta_c(re) = \text{match } re \text{ with:}$

- $re_{lhs} \mid re_{rhs}$

return $\delta_c(re_{lhs}) \mid \delta_c(re_{rhs})$

- $re_{starred}^*$

return ??

- $re_{lhs} \cdot re_{rhs}$

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- | $re_{starred}^*$

Regular expressions are closed under derivatives

- $re = (a.b.c)^*$
- $L = \{ "", "abc", "abcabc", "abcabcabc" \dots \}$
- $\delta_a(re) = \{ "bc", "bcabc", "bcabcabc", \dots \} = b.c.(a.b.c)^*$

How do certain regular expressions combine?

- $a \mid "" = ?$

- $a \mid \{\} = ?$

- $a \cdot "" = ?$

- $a \cdot \{\} = ?$

- $""^* = ?$

- $\{\}^* = ?$

Derivative Recursive Cases

Consider the recursive cases:

- $\delta_c(re) =$ match re with:

- $re_{lhs} \mid re_{rhs}$

return $\delta_c(re_{lhs}) \mid \delta_c(re_{rhs})$

- $re_{starred}^*$

return ??

- $re_{lhs} \cdot re_{rhs}$

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Derivative Recursive Cases

Consider the recursive cases:

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return $\delta_c(re_{lhs}) \mid \delta_c(re_{rhs})$

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return $\delta_c(re_{starred}) \cdot re_{starred}^*$

- $re_{lhs} \cdot re_{rhs}$

return ??

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| $re_{lhs} \mid re_{rhs}$

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Regular expressions are closed under derivatives

- $re = (a.b.c)^*$
- $L = \{ "", "abc", "abcabc", "abcabcabc" \dots \}$
- $\delta_a(re) = \{ "bc", "bcabc", "bcabcabc", \dots \} = b.c.(a.b.c)^*$

Derivative Recursive Cases

Let's look at concatenation:

- $\delta_c(re) = \text{match } re \text{ with:}$

- $re_{lhs} \cdot re_{rhs}$

return ??

Example:

$re = a.b$

$\delta_a(re) = b$

Derivative Recursive Cases

Let's look at concatenation:

- $\delta_c(re) =$ match re with:

- $re_{lhs} \cdot re_{rhs}$

return $\delta_c(re_{lhs}) \cdot re_{rhs}$

Example:

$re = a.b$

$\delta_a(re) = b$

Derivative Recursive Cases

Let's look at concatenation:

- $\delta_c(re) = \text{match } re \text{ with:}$

- $re_{lhs} \cdot re_{rhs}$

return $\delta_c(re_{lhs}) \cdot re_{rhs}$

What about?

Example:

$re = c^*.a.b$

$\delta_a(re) = ?$

Derivative Recursive Cases

Let's look at concatenation:

- $\delta_c(re) = \text{match } re \text{ with:}$

- $re_{lhs} \cdot re_{rhs}$

return $\delta_c(re_{lhs}) \cdot re_{rhs} \mid$

$\text{if } \epsilon \text{ in } re_{lhs} \text{ then } \delta_c(re_{rhs}) \text{ else } \{\}$

Example:

$re = c^*.a.b$

$\delta_a(re) = ?$

Derivative Recursive Cases

Consider the recursive cases:

- $\delta_c(re)$ = match re with:

- $re_{lhs} \mid re_{rhs}$

return $\delta_c(re_{lhs}) \mid \delta_c(re_{rhs})$

- $re_{starred}^*$

return $\delta_c(re_{starred}) \cdot re_{starred}^*$

- $re_{lhs} \cdot re_{rhs}$

return $\delta_c(re_{lhs}) \cdot re_{rhs} \mid$

if "" in re_{lhs} *then* $\delta_c(re_{rhs})$ *else* {}

- re =

| {}

| ϵ

| a (single character)

| $re_{lhs} \mid re_{rhs}$

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

Nullable operator

- $\text{NULL}(re) =$

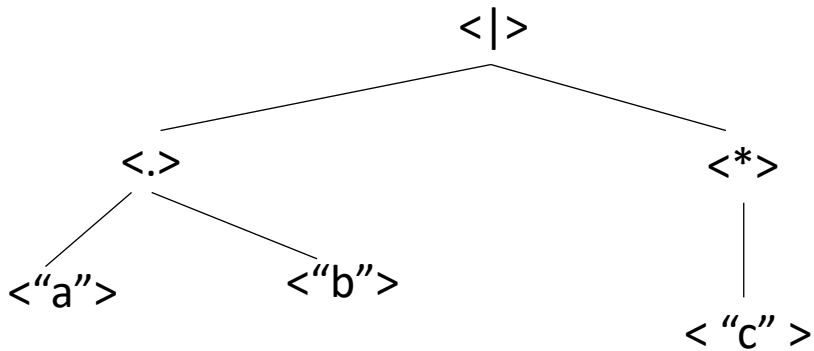
*if $\epsilon \in re$ then: ϵ
else: $\{\}$*

Nullable operator

- $\text{NULL}(re) =$

if "" $\in re$ then: ""
else: {}

implement over a RE abstract syntax tree



- $re =$

| {}
| ""
| a (single character)
| $re_{lhs} | re_{rhs}$
| $re_{lhs} \cdot re_{rhs}$
| $re_{starred}^*$

What is a method for computing NULL?

Consider the base cases

- $\text{NULL}(re) = \text{match } re \text{ with:}$

- $\{\}$
return $\{\}$

- $""$
return $""$

- a (single character)
return $\{\}$

- $re =$

- $\{\}$
- $""$
- a (single character)
- $re_{lhs} \mid re_{rhs}$
- $re_{lhs} \cdot re_{rhs}$
- $re_{starred}^*$

What is a method for computing NULL?

Consider the recursive cases:

- $\text{NULL}(re) = \text{match } re \text{ with:}$

- re_{lhs} / re_{rhs}

return ??

- $re_{starred}^*$

return ??

- $re_{lhs} \cdot re_{rhs}$

return ??

- $re =$

- | $\{\}$

- | ϵ

- | a (single character)

- | re_{lhs} / re_{rhs}

- | $re_{lhs} \cdot re_{rhs}$

- | $re_{starred}^*$

What is a method for computing NULL?

Consider the recursive cases:

- $\text{NULL}(re) = \text{match } re \text{ with:}$

- $re_{lhs} \mid re_{rhs}$

return $\text{NULL}(re_{lhs}) \mid \text{NULL}(re_{rhs})$

- $re_{starred}^*$

return ""

- $re_{lhs} \cdot re_{rhs}$

return $\text{NULL}(re_{lhs}) \cdot \text{NULL}(re_{rhs})$

- $re =$

| $\{\}$

| ϵ

| a (single character)

| $re_{lhs} \mid re_{rhs}$

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

Derivative Recursive Cases

Consider the recursive cases:

- $\delta_c(re) = \text{match } re \text{ with:}$

- $re_{lhs} \mid re_{rhs}$

return $\delta_c(re_{lhs}) \mid \delta_c(re_{rhs})$

- $re_{starred}^*$

return $\delta_c(re_{starred}) \cdot re_{starred}^*$

- $re_{lhs} \cdot re_{rhs}$

return $\delta_c(re_{lhs}) \cdot re_{rhs} \mid$

$\text{if } \varepsilon \text{ in } re_{lhs} \text{ then } \delta_c(re_{rhs}) \text{ else } \{\}$

- $re =$

| $\{\}$

| ε

| a (single character)

| $re_{lhs} \mid re_{rhs}$

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

Derivative Recursive Cases

Consider the recursive cases:

- $\delta_c(re) = \text{match } re \text{ with:}$

- $re_{lhs} \mid re_{rhs}$

return $\delta_c(re_{lhs}) \mid \delta_c(re_{rhs})$

- $re_{starred}^*$

return $\delta_c(re_{starred}) \cdot re_{starred}^*$

- $re_{lhs} \cdot re_{rhs}$

return $\delta_c(re_{lhs}) \cdot re_{rhs} \mid$

$NULL(re_{lhs}) \cdot \delta_c(re_{rhs})$

- $re =$

| $\{\}$

| ϵ

| a (single character)

| $re_{lhs} \mid re_{rhs}$

| $re_{lhs} \cdot re_{rhs}$

| $re_{starred}^*$

Parsing REs with derivative

given a function δ_c to compute the derivative of an RE, the NULL function, an RE re , and a string $s = c_1 . c_2 . c_3 \dots$ (concat of characters)

Can we check if re matches s ?

Parsing REs with derivative

given a function δ_c to compute the derivative of an RE, the NULL function, an RE re , and a string $s = c_1 . c_2 . c_3 \dots$ (concat of characters)

Can we check if re matches s ?

$$L(re) = \{.. s ..\}$$

Parsing REs with derivative

given a function δ_c to compute the derivative of an RE, the NULL function, an RE re , and a string $s = c_1 . c_2 . c_3 \dots$ (concat of characters)

Can we check if re matches s ?

$$L(re) = \{.. s ..\} \left| \begin{array}{l} \delta_{c_1}(re) \\ \\ L(\delta_{c_1}(re)) = \{.. s[1:] ..\} \end{array} \right.$$

Parsing REs with derivative

given a function δ_c to compute the derivative of an RE, the NULL function, an RE re , and a string $s = c_1 \cdot c_2 \cdot c_3 \dots$ (concat of characters)

Can we check if re matches s ?

$L(re) = \{.. s ..\}$	$\delta_{c_1}(re)$	$\delta_{c_2}(\delta_{c_1}(re)) = \delta_{c_1, c_2}(re)$
	$L(\delta_{c_1}(re)) = \{.. s[1:] ..\}$	$L(\delta_{c_1, c_2}(re)) = \{.. s[2:] ..\}$

Parsing REs with derivative

given a function δ_c to compute the derivative of an RE, the NULL function, an RE re , and a string $s = c_1 \cdot c_2 \cdot c_3 \dots$ (concat of characters)

Can we check if re matches s ?

	$\delta_{c_1}(re)$	$\delta_{c_2}(\delta_{c_1}(re)) = \delta_{c_1, c_2}(re)$	$\delta_s(re)$
$L(re) = \{.. s ..\}$			
	$L(\delta_{c_1}(re)) = \{.. s[1:] ..\}$	$L(\delta_{c_1, c_2}(re)) = \{.. s[2:] ..\}$	$L(\delta_s(re)) = \{.. \varepsilon ..\}$

Parsing REs with derivative

given a function δ_c to compute the derivative of an RE, the NULL function, an RE re , and a string $s = c_1 \cdot c_2 \cdot c_3 \dots$ (concat of characters)

Can we check if re matches s ?

$L(re) = \{.. s ..\}$	$\delta_{c_1}(re)$	$\delta_{c_2}(\delta_{c_1}(re)) = \delta_{c_1, c_2}(re)$	$\delta_s(re)$	If this is true, Then re matches s
	$L(\delta_{c_1}(re)) = \{.. s[1:] ..\}$	$L(\delta_{c_1, c_2}(re)) = \{.. s[2:] ..\}$	$L(\delta_s(re)) = \{.. "" ..\}$	

Have a good weekend!

Take a look at part 2 of the homework, you will be implementing a parsing with derivative matcher.

Next week we start module 2!