## CSE113: Parallel Programming Jan. 19, 2022

### • Topics:

- Mutual exclusion examples
- Multiple mutexes
- Mutex properties
- Atomic operation properties

-	
	mutex request
	mutex acquire
	account += 1
mutex request	mutex release
mutex acquire	
account -= 1	
mutex release	
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## Announcements

- Hope everyone had a nice holiday weekend!
- Homework 1 is due on Friday!
  - Due at midnight, but instructor support ends at 5 PM
  - Ask questions on Piazza, come to office/tutoring hours
    - Reese has 1.5 hours today
    - I have 2 hours tomorrow
    - Tim has 1 hour tomorrow
    - Sanya has 1 hour on Friday
- Homework 2 is assigned on Friday

## Announcements

- Homework 1 notes:
  - No assigned speedup required. You should get a noticeable speedup from ILP
    - AMD processors are being a little strange on part 2
    - Please note the processor in your write-up
  - You can start to share results now. Everyone's results will be slightly different
  - Sometimes you cannot account for small differences
    - We should be running the code for more iterations

# Today's Quiz

- Didn't save 🐵 I will update it after class. It will be ready by 3:00 PM
- Due by midnight the next day, or on Fridays, it is due before the next class
- From what I hear, the plan is to go back in person as planned

A data conflict is when two threads access the same memory location.

True	25 respondents	45 <sup>%</sup>	
False	31 respondents	55 <sup>%</sup>	

How many interleavings are possible with 3 threads, each them executing 1 event?

How many extra arguments are required to turn a function into an SPMD function?

Write a few sentences about how you can remove data-conflicts from your program. We have mentioned a few ways in class, but feel free to mention other ways you can think of!

## Review

## SPMD programming model

```
void increment_array(int *a, int a_size, int tid, int num_threads) {
   for (int i = tid; i < a_size; i+=num_threads) {
        a[i]++;
    }
}</pre>
```

# SPMD programming model











# Reasoning about parallel programs

### **Programs to events:**





How many possible interleavings? Combinatorics question:

if Thread 0 has N events if Thread 1 has M events

 $\frac{(N+M)!}{N!M!}$ 

j = 0
check(j < HOURS)
tylers\_account += 1
j++ (j == 1)
check(j < HOURS)</pre>

time

#### Concurrent execution

time

in our example there are 252 possible interleavings!







<pre>T1_load = *tylers_account</pre>
T1_load+= 1
<pre>*tylers_account = T1_load</pre>

tylers\_account has -1 at the end of this interleaving!

concurrent execution

T0\_load = \*tylers\_account

T1\_load = \*tylers\_account

T0\_load -= 1 T1 load+= 1 \*tylers\_account = T1\_load

\*tylers\_account = T0\_load

## How to reason about our programs

- We don't want data conflicts
  - Requires reasoning about the compiler and machine. Not portable and extremely error prone
  - Technically undefined in C++ and Java
- View simpler versions of the program
  - e.g. one loop iteration
- High-level properties
  - Final value in the account after execution

### Mutex events





concurrent execution



#### concurrent execution

mutex request



at this point, thread 0 holds the mutex. another thread cannot acquire the mutex until thread 0 releases the mutex also called the **critical section.** 

concurrent execution

mutex request mutex acquire



### Allowed to request

concurrent execution

mutex acquire

mutex request

mutex request





concurrent execution

mutex request

mutex acquire mutex request

est mutex acquire

### disallowed!



#### Thread 0 has released the mutex

concurrent execution

mutex request

mutex acquire mutex request

tylers\_account -= 1 mutex release



Thread 1 can take the mutex and enter the critical section

#### concurrent execution

mutex request

mutex acquire mutex request

tylers\_account -= 1 | mute

mutex release mutex acquire



A mutex restricts the number of allowed interleavings Critical section are mutually exclusive: i.e. they cannot interleave

Thread 1 can take the mutex and enter the critical section

concurrent execution

mutex request

mutex acquire mutex request

tylers\_account -= 1

mutex release mutex acquire

tylers\_account += 1

mutex release



It means we don't have to think about 3 address code

Thread 1 can take the mutex and enter the critical section

concurrent execution

mutex request m

mutex acquire mutex request

tylers\_account -= 1 mu

mutex release mutex acquire

tylers\_account += 1

mutex release

### Make sure to unlock your mutex!



time	mutex request	mutex request
	mutex acquire	mutex acquire
	tylers_account -= 1	<pre>tylers_account += 1</pre>
	<pre>printf("warning!\n");</pre>	mutex release
Ļ		

concurrent execution

Thread 1 is stuck!

time

mutex request

mutex acquire

tylers\_account -= 1 mutex request

printf("warning!\n")

## On to the lecture!

## Lecture schedule

- Mutex performance considerations
- Multiple mutexes

## Mutex Performance

- What about timing?
  - Overhead of acquiring/releasing mutex
  - Cache flushing (heavier weight than coherence)
  - Reduces parallelism

## Mutex Performance

- What about timing?
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### in a parallel system without the mutex


- What about timing?
  - Overhead of acquiring/releasing mutex
  - Cache flushing (heavier weight than coherence)
  - Reduces parallelism

in a parallel system with the mutex



Long periods of waiting in the threads

## Code example

try to keep mutual exclusion sections small!

Code example with overhead

Try to keep mutual exclusion sections small! Protect only data conflicts!

Code example with overhead



Long periods of waiting in the threads

Try to keep mutual exclusion sections small! Protect only data conflicts!

Code example with overhead



overlap the overhead (i.e. computation without any data conflicts)

### Mutex alternatives?

Other ways to implement accounts?

Atomic Read-modify-write (RMWs): primitive instructions that implement a read event, modify event, and write event indivisibly, i.e. it cannot be interleaved.

```
atomic_fetch_add(atomic_int * addr, int value) {
    int tmp = *addr; // read
    tmp += value; // modify
    *addr = tmp; // write
}
```

other operations: max, min, etc.

```
Tyler's coffee addiction:
```

```
m.lock();
tylers_account -= 1;
m.unlock();
```

Tyler's employer

```
m.lock();
tylers_account += 1;
m.unlock();
```

time

```
Tyler's coffee addiction:
```

```
m.lock();
tylers_account -= 1;
m.unlock();
```

Tyler's employer

```
m.lock();
tylers_account += 1;
m.unlock();
```

time

Tyler's coffee addiction:

Tyler's employer

tylers\_account -= 1;

tylers\_account += 1;

time

*Tyler's coffee addiction:* 

atomic\_fetch\_add(&tylers\_account, -1);

Tyler's employer

atomic\_fetch\_add(&tylers\_account, 1);

time

*Tyler's coffee addiction:* 

atomic\_fetch\_add(&tylers\_account, -1);

Tyler's employer

atomic\_fetch\_add(&tylers\_account, 1);

atomic\_fetch\_add(&tylers\_account, -1);

time

time

atomic\_fetch\_add(&tylers\_account, 1);

Tyler's coffee addiction:

atomic\_fetch\_add(&tylers\_account, -1);

Tyler's employer

atomic\_fetch\_add(&tylers\_account, 1);

atomic\_fetch\_add(&tylers\_account, -1);

time

time

atomic\_fetch\_add(&tylers\_account, 1);

Two indivisible events. Either the coffee or the employer comes first either way, account is 0 afterwards.

Tyler's coffee addiction:

atomic\_fetch\_add(&tylers\_account, -1);

Tyler's employer

atomic\_fetch\_add(&tylers\_account, 1);

atomic\_fetch\_add(&tylers\_account, -1);

time

atomic\_fetch\_add(&tylers\_account, 1);

Code example

#### Atomic RMWs

Pros? Cons?

#### Atomic RMWs

Pros? Cons?

Not all architectures support RMWs (although more common with C++11)

Limits critical section (what if account needs additional updating?)

atomic types need to propagate through the entire application

Lets say I have two accounts:

- Business account
- Personal account
- Need to protect both of them using a mutex
  - Easy, we can just the same mutex
  - Show implementation

Lets say I have two accounts:

- Business account
- Personal account
- No reason individual accounts can't be accessed in parallel

Lets say I have two accounts:

- Business account
- Personal account
- No reason individual accounts can't be accessed in parallel



Long periods of waiting in the threads

Mutexes are objects. We can create multiple versions of them to protect different shared data.

MutexP for personal account MutexB for business account



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MutexP for personal account MutexB for business account

Critical sections across different mutexes can overlap

**Code example** 

# Managing multiple mutexes

Consider this increasingly elaborate scheme

My accounts start being audited by two agents:

- UCSC
- IRS
- They need to examine the accounts at the same time. They need to acquire both locks

# Managing multiple mutexes

Consider this increasingly elaborate scheme

My accounts start being audited by two agents:

- UCSC
- IRS
- Code example

• Our program deadlocked! What happened?



UCSC

mutexP request











• Our program deadlocked! What happened?

IRS has the personal mutex and won't release it until it acquires the business mutex. UCSC has the business mutex and won't release it until it acquires the personal mutex.

This is called a deadlock!



- Our program deadlocked! What happened?
- Fix: Acquire mutexes in the same order
- Proof sketch by contradiction
  - Thread 0 is holding mutex X waiting for mutex Y
  - Thread 1 is holding mutex Y waiting for mutex X

Assume the order that you acquire mutexes is X then Y Thread 1 cannot hold mutex Y without holding mutex X. Thread 1 cannot hold mutex X because thread 0 is holding mutex X Thus the deadlock cannot occur

- Our program deadlocked! What happened?
- Fix: Acquire mutexes in the same order

#### **Double check with testing**

- Proof sketch by contradiction
  - Thread 0 is holding mutex X waiting for mutex Y
  - Thread 1 is holding mutex Y waiting for mutex X

Assume the order that you acquire mutexes is X then Y Thread 1 cannot hold mutex Y without holding mutex X. Thread 1 cannot hold mutex X because thread 0 is holding mutex X Thus the deadlock cannot occur
### Programming with mutexes can be HARD!

make sure all data conflicts are protected with a mutex

keep critical sections small

balance between having many mutexes (provides performance) but gives the potential for deadlocks

#### Towards Implementations

Three properties

• **Mutual exclusion** - Only 1 thread can hold the mutex at a time. Critical sections cannot interleave

> Other threads are allowed to request, but not acquire until the thread that has acquired the mutex releases it.

concurrent execution

mutex request

mutex acquire mutex request

uest mutex acquire

#### disallowed!

time

Three properties

• **Mutual exclusion** - Only 1 thread can hold the mutex at a time. Critical sections cannot interleave

> Other threads are allowed to request, but not acquire until the thread that has acquired the mutex releases it.

concurrent execution



Three properties

• **Deadlock Freedom** - If a thread has requested the mutex, and no thread currently holds the mutex, the mutex must be acquired by one of the requesting threads

concurrent execution

mutex request mutex request

time

Three properties

 Deadlock Freedom - If a thread has requested the mutex, and no thread currently holds the mutex, the mutex must be acquired by one of the requesting threads

> Program cannot hang here Either thread 0 or thread 1 must acquire the mutex

concurrent execution

mutex request mutex request

Three properties

 Deadlock Freedom - If a thread has requested the mutex, and no thread currently holds the mutex, the mutex must be acquired by one of the requesting threads

> Program cannot hang here Either thread 0 or thread 1 must acquire the mutex

concurrent execution

mutex request mutex request mutex acquire

allowed

Three properties

• **Deadlock Freedom** - If a thread has requested the mutex, and no thread currently holds the mutex, the mutex must be acquired by one of the requesting threads

Program cannot hang here Either thread 0 or thread 1 must acquire the mutex

concurrent execution

mutex request mutex request mutex acquire

also allowed

Three properties

• Starvation Freedom (*Optional*) - A thread that requests the mutex must eventually obtain the mutex.

Thread 1 (yellow) requests the mutex but never gets it

concurrent execution



Three properties

• Starvation Freedom (*Optional*) - A thread that requests the mutex must eventually obtain the mutex.

Thread 1 (yellow) requests the mutex but never gets it

concurrent execution



Difficult to provide in practice and timing variations usually provide this property naturally

Recap: three properties

- Mutual Exclusion: Two threads cannot be in the critical section at the same time
- **Deadlock Freedom**: If a thread has requested the mutex, and no thread currently holds the mutex, the mutex must be acquired by one of the requesting threads
- Starvation Freedom (*optional*): A thread that requests the mutex must eventually obtain the mutex.

# Building blocks

- Memory reads and memory writes
  - later: read-modify-writes
- We need to guarantee that our reads and writes actually go to memory.
  - And other properties we will see soon
- To do this, we will use C++ atomic operations

# A historical perspective

- Adding concurrency support to a programming language is hard!
- The memory model defines how threads can safely share memory
- Java tried to do this,

#### wikipedia

The original Java memory model, developed in 1995, was widely perceived as broken, preventing many runtime optimizations and not providing strong enough guarantees for code safety. It was updated through the Java Community Process, as Java Specification Request 133 (JSR-133), which took effect in 2004, for Tiger (Java 5.0).<sup>[1][2]</sup>

Brian Goetz (2019)

It is worth noting that broken techniques like double-checked locking are still broken under the new memory model, a

# A historical perspective

- How is C++?
- Has issues (imprecise, not modular)
  - but at least considered safe
  - Specification makes it difficult to reason about all programs
  - Open problem!
- Luckily mutexes (and their implementations) avoid the problematic areas of the language!

# Our primitive instructions

- Types: atomic\_int
- Interface (C++ provides overloaded operators):
  - load
  - store
- Properties:
  - loads and stores will always go to memory.
  - compiler memory fence
  - hardware memory fence

- loads and stores will always go to memory
- Compiler example, performance difference

- loads and stores will always go to memory
- Compiler example, performance difference
- Compiler makes reasoning about parallel code hard, but big performance improvements:
  - O(2048) vs. O(1)

- Compiler Fence
- Compiler can be aggressive with memory operations:
  - For non-atomic memory locations, the following optimizations are valid

- Compiler Fence
- Compiler can be aggressive with memory operations:
  - For non-atomic memory locations, the following optimizations are valid

a[i] = 0; a[i] = 1;

can be optimized to:

a[i] = 1;

- Compiler Fence
- Compiler can be aggressive with memory operations:
  - For non-atomic memory locations, the following optimizations are valid

a[i] = 0;x = a[i];a[i] = 1;

can be optimized to:

a[i] = 1;

can be optimized to:

- Compiler Fence
- Compiler can be aggressive with memory operations:
  - For non-atomic memory locations, the following optimizations are valid

a[i] = 0; a[i] = 1;	x = a[i]; x2 = a[i];	a[i] = 6; x = a[i];
can be optimized to:	can be optimized to:	can be optimized to:
a[i] = 1;	x = a[i]; x2 = x;	x = 6;

- Compiler Fence
- Compiler can be aggressive with memory operations:
  - For non-atomic memory locations, the following optimizations are valid
- And many others... especially when you consider mixing with other optimizations
  - Very difficult to understand when/where memory accesses will actually occur in your code

• Compiler Fence

Compiler cannot keep personal\_account in a register past the mutex



mutexP request | mutexP acquire | Peronal\_account -= 1

• Compiler Fence

what can go wrong if the compiler doesn't write values to memory?

		-						
-	mutevP request			mutexP acquire	Personal	account	+= 1 L	mutexP release
	muteri request				TOTOUNAT	account	· +	

mutexP release

• Compiler Fence

what can go wrong if the compiler doesn't write values to memory?

initially personal\_account is 0



• Compiler Fence

what can go wrong if the compiler doesn't write values to memory?



• Compiler Fence

what can go wrong if the compiler doesn't write values to memory?



loads 0 writes 1

• Compiler Fence

what can go wrong if the compiler doesn't write values to memory?



• Also provides a memory barrier





C1	mutovD request	mutexP acquire	Personal account	+= 1	mutexP release
	mutexp request			·	





C1	mutovD request	mutexP acquire	Personal account	- += 1	mutexP release
	mutexp request			- · _	



CO mutexP request mutexP acquire Peronal_account -= 1 mutexP release	
--	--

C1	mutov D request	mutexP acquire	Personal	account	+= 1	mutexP release
CT	inutexp request	•	rerbonar_	_uoooune	• -	





C1		mutexP acquire	Personal account $+= 1$	mutexP releas
CT.	mutexp request	•		





C1	mutov D request	mutexP acquire	Personal account	+= 1	mutexP release
CT.	mutexp request			· - [	



C0	mutexP request mutexP acquire	Peronal_account -= 1 mutexP release	→

C1	mutov Proquest	mutexP acquire	Personal account $+= 1$	mutexP release
CT.	mutexp request			
				1



C0		

C1	mutovD request	mutexP acquire	Personal ac	$r_{count} += 1$	mutexP release
CT	IndiexF lequest		rerbonar_ac		


C0	. mutexP request _ mutexP acquire _	Peronal_account	-= 1	mutexP release				>
C1	mutexP request				mutexP acquire	Personal account +=	1	mutexP release



C0	. mutexP request _ mutexP acquire _	Peronal_account	-= 1	mutexP release				
C1	mutexP request				mutexP acquire	Personal	account += 1	mutexP release



CO	mutexP requestmut	exP acquire	Peronal_a	ccount	-= 1	mutexP release					<b>→</b>	
C1	- mutexP request						mutexP acquire	Personal	account	+= 1	mutexP release	

mutexP request



C1	mutovD roques	mutexP acquire	Personal account	+= 1	mutexP release
CT				· (	

mutexP request

C0

mutexP acquire



C1	mutov <sup>D</sup> request	mutexP acquire	Personal accoun	+ += 1	mutexP release
	mutexp request				

mutexP request



C1 _		mutexP acquire	Personal account $+= 1$	mutexP release
<u>U</u>	muteri request	•		

C0



C0



different architectures have different memory barriers

Intel X86 naturally manages caches in order

ARM and PowerPC let cache values flow out-of-order GPUs let caches flow out-of-order

RISC-V has two models: more like x86: easier to program more like ARM: faster and more energy efficient

For mutexes, atomics will naturally handle the memory fences for us!

## Atomics

- What do those fences (compiler and memory) give us?
- Atomics were designed so that we can implement things like mutexes!



## Atomics

- What do those fences (compiler and memory) give us?
- Atomics were designed so that we can implement things like mutexes!



C1 memory operations have **not** yet been performed and cache is invalidated

## Thanks!

- Next time:
  - Work on a simple mutex implementation using atomics
- Work on your homework and use office hours, piazza and tutors
- Do the quiz!