CSE113: Parallel Programming April 27, 2021

- Topic: Concurrent Objects
 - Motivation
 - Bank Account Example
 - Specification
 - Sequentially consistent
 - Linearizability



https://www.youtube.com/watch?v=aByz-mxOXJM

- Homework was due
 - we are going to start grading, I will keep you posted about ETA for grades
- New homework posted
 - Benchmarking questions; don't share timing until next week
 - Bonus questions for those looking for extra
- Office hours are as advertised this week

- Midterm assigned on Thursday
 - It will provided both as a MS word document and PDF
 - Your submission should be a PDF
 - My suggestion:
 - complete using a combination of a word processor and some problems using pencil/paper.
 - Make sure to give yourself time to juggle both homework and midterm!

- Poll, mid class break:
 - Do we want a 5 minute break in the middle of class?

- Speaking of polls:
 - There seems to be some cases where students are only logging in for the attendance points.
 - Please don't do this.
 - It is a small portion of your grade. You get 2 excused absences in the quarter
 - If we continue to see inconsistent patterns we will move to a more accurately attendance mechanism.

Quiz

- If you aren't planning on staying for the whole lecture, don't submit the quiz.
- Don't submit the quiz if you are not listening to the lecture live.

Quiz

• Discuss answers

Lecture schedule

- Concurrent object motivation
- Concurrent object example with bank account
- Concurrent object specifications
 - sequential specification
 - concurrent specification sequential consistency

Lecture schedule

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- Programming basics cover a set of primitives:
 - types: ints, floats, bools
 - functions: call stacks, recursion

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 - types: ints, floats, bools
 - functions: call stacks, recursion

simple example: We can understand this!

```
//Fibonacci Series using Recursion
    #include<stdio.h>
   int fib(int n)
      if (n <= 1)
          return n;
C
       return fib(n-1) + fib(n-2);
    int main ()
     int n = 9;
      printf("%d", fib(n));
      getchar();
      return 0;
```

https://www.geeksforgeeks.org/c-program-for-n-th-fibonacci-number/

• How does it look moving into a more complicated setting?

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 - Hello world Android app:

```
@Override
protected void onCreate(Bundle savedInstanceState) {
    super.onCreate(savedInstanceState);
    setContentView(R.layout.activity_main);
    Log.d("MainActivity", "Hello World");
}
```

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what the heck is a bundle?

- How does it look moving into a more complicated setting?
 - Hello world Android app:





- How does it look moving into a more complicated setting?
 - Hello world Android app:
- These are objects!

- Objects are user-specified abstractions:
 - A collection of data (state) and methods (behavior) representing something more complicated than primitive types can express.

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 - Writing a video game? objects for enemies and players
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- Objects allow programmer productivity:
 - Modular
 - Encapsulation
 - Compossible

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- Objects allow programmer productivity:
 - Modular
 - Encapsulation
 - Compossible
- We would like objects in the concurrent setting!

- Note:
 - The foundations in this lecture are general, and can be widely applied to many different types of objects
 - We will focus on "container" objects, lists, sets, queues, stacks.
 - These are:
 - Practical used in many applications
 - Well-specified their sequential behavior is agreed on
 - Interesting implementations great for us to study!

• Shopping list: Going shopping with roommates



eggs carrots tortillas **Best case:** 2x as fast (so we can get back to CSE113 homework)



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What can go wrong?



• Shopping list: Going shopping with roommates



Best case: 2x as fast (so we can get back to CSE113 homework)

What can go wrong?

We end up with duplicates



Consider two people splitting the work.

eggs carrots tortillas

• Shopping list: Going shopping with roommates



eggs carrots tortillas

Best case:

2x as fast (so we can get back to CSE113 homework)

What can go wrong?

We end up with duplicates

We end up missing an item



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eggs carrots tortillas **Best case:** 2x as fast (so we can get back to CSE113 homework)

What can go wrong?

We end up with duplicates

We end up missing an item

If my roommate decides to go surfing, then I could get stranded!



• Shopping list: Going shopping with roommates

What kind of object is the list?

eggs carrots tortillas

Best case:

2x as fast (so we can get back to CSE113 homework)

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• Physically shopping with roommates is a nice conceptual example, but the example also occurs in automated systems

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- Lets ground this even more in a shared memory system.
- Shopping cart examples mostly occur in a distributed system setting where there are many different concerns
 - Consider taking a class from Prof. Kuper or Prof. Alvaro!

printf("hello world\n");

how do we envision printf to work?

```
printf("h");
printf("e");
printf("l");
printf("l");
printf("o");
```

terminal:		
\$./a.out		

printf("hello world\n");

How does it actually work?



printf("hello world\n");

How does it actually work?



You can force a flush with: fflush(stdout)

printf("hello world\n");

Show example

How does it actually work?

printf("h");
printf("e");
printf("l");
printf("l");
printf("o");

concurrent queue



terminal:

\$./a.out

./a.out

terminal display

You can force a flush with: fflush(stdout)

• Graphics programming



loop: update data (data transfer) graphics computation (kernel)

Nintendo: breath of the Wild

• Graphics programming

Vulkan/OpenCL CommandQueue



Nintendo: breath of the Wild
• Graphics programming

Vulkan/OpenCL CommandQueue



GPU driver concurrently reads from the queue



loop:

update data (data transfer) graphics computation (kernel)

• Graphics programming

Vulkan/OpenCL CommandQueue



GPU driver concurrently reads from the queue

this concurrent queue enables an efficient graphics pipeline



Nintendo: breath of the Wild

• Graphics programming

Vulkan/OpenCL CommandQueue

Single writer, single reader Like in Printf



Nintendo: breath of the Wild

• Graphics programming

Multiple producers

Each process:

loop:

update data (data transfer)

graphics computation (kernel)

Intro to concurrent objects

- Prior examples have been infrastructural:
 - things happening behind the scenes, drivers, OS, etc.
- They also exist in standalone applications

• Quadtree/Octree

Quadtree/Octree

recursively divide the scene giving more detail to "interesting" areas

https://medium.datadriveninvestor.com/partitioning-2d-spaces-an-introduction-to-quadtrees-d95728856613

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Octree example

- From GTC 2012 (almost 10 years ago)
 - Simulation of 2 galaxies colliding
 - 280K stars

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global variables:

int tylers_account = 0;

```
Tyler's coffee addiction:
for (int i = 0; i < HOURS; i++) {
   tylers_account -= 1;
}</pre>
```

```
for (int j = 0; j < HOURS; j++) {
    tylers_account += 1;
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Tyler's employer

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We might decide to wrap my bank account in an object

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class bank account {
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bank_account tylers_account;

```
Tyler's coffee addiction:
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for (int i = 0; i < HOURS; i++) {
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what happens if we run these concurrently?

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for (int j = 0; j < HOURS; j++) {
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```

what happens if we run these concurrently?

Example

C++ will not magically make your objects concurrent! We might decide to wrap my bank account in an object

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First solution: The client (user of the object) can use locks. We might decide to wrap my bank account in an object

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 private:
    int balance;
};
```

global variables:

```
bank_account tylers_account;
mutex m;
```

Tyler's coffee addiction:

```
for (int i = 0; i < HOURS; i++) {
    m.lock();
    tylers_account.buy_coffee();
    m.unlock();
}</pre>
```

Tyler's employer

```
for (int j = 0; j < HOURS; j++) {
    m.lock();
    tylers_account.get_paid();
    m.unlock();
}</pre>
```

what if you have multiple objects?

First solution: The client (user of the object) can use locks. We might decide to wrap my bank account in an object

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class bank account {
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```

First solution:

use locks.

client has to

manage locks

The client (user

of the object) can

global variables:

bank_account tylers_account;

```
Tyler's coffee addiction:
```

```
for (int i = 0; i < HOURS; i++) {
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Tyler's employer

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for (int j = 0; j < HOURS; j++) {
   tylers_account.get_paid();
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we can encapsulate a mutex in the object.

The API stays the same!

```
class bank_account {
 public:
    bank account() {
      balance = 0;
    void buy coffee() {
      m.lock();
      balance -= 1;
      m.unlock();
    void get paid() {
      m.lock();
      balance += 1;
      m.unlock();
```

```
private:
    int balance;
    mutex m;
};
```

Thread safe objects

- An object is thread-safe if you can call it concurrently
- Otherwise you must provide your own locks!

Lock free programming

- An object is "lock free" if it does not use a lock in its underlying implementation.
- We can make a lock free bank account

```
atomic_fetch_add(atomic_int * addr, int value) {
    int tmp = *addr; // read
    tmp += value; // modify
    *addr = tmp; // write
}
```

Recall atomic RMWs cannot interleave

Buying coffee

```
atomic_fetch_add(&account, -1);
```

<u>Getting paid</u>

atomic_fetch_add(&account, 1);

time

time

Recall atomic RMWs cannot interleave

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atomic_fetch_add(&account, -1);
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atomic_fetch_add(&account, 1);

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class bank account {
  public:
    bank account() {
      balance = 0;
    void buy coffee() {
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    void get_paid() {
      atomic_fetch_add(&account, 1);
  private:
    atomic int balance;
};
```

How does it perform

How does it perform

- Noticeably better!
 - Mutexes reduce parallelism
 - Mutexes require many RMW operations
- Straight forward to do with the bank account, we will apply this to more objects
 - This performance matters in frameworks!

3 dimensions for concurrent objects

• Correctness:

• How should concurrent objects behave

• Progress:

- What do we expect from the OS scheduler?
- Under what conditions can concurrent objects deadlock

• Performance:

• How to make things fast fast fast!

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Lets think about a Queue

What is a queue?

We consider 2 API functions:

- enq(value v) enqueues the value v
- deq() returns the value at the front of the queue

```
Queue<int> q;
q.enq(6);
int t = q.deq();
```

```
Queue<int> q;
q.enq(6);
q.enq(7);
int t = q.deq();
```

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q.enq(6);
q.enq(7);
int t = q.deq();
int t1 = q.deq();
```
Lets think about a Queue

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- enq(value v) enqueues the value v
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We consider 2 API functions:

- enq(value v) enqueues the value v
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Queue<int> q; int t = q.deq();

Let's say: None

Lets think about a Queue

This is called a sequential specification:

The sequential specification is nice! We want to base our concurrent specification on the sequential specification!

We will have to deal with the non-determinism of concurrency

Queue<int> q; q.enq(6); q.enq(7); int t = q.deq();

<u>Global variable:</u> CQueue<int> q;

Lets call our concurrent queue "CQueue"

<u>Thread 0:</u> q.enq(6); q.enq(7); int t = q.deq();

<u>Global variable:</u> CQueue<int> q;

<u>Thread O:</u> q.enq(6); q.enq(7);

what can be stored in t after this concurrent program?

<u>Global variable:</u> CQueue<int> q;

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what can be stored in t after this concurrent program? Can t be 256?

<u>Global variable:</u> CQueue<int> q;

<u>Thread O:</u> q.enq(6); q.enq(7);

what can be stored in t after this concurrent program? Can t be 256? it should be one of {None, 6, 7}

<u>Thread O:</u> q.enq(6); q.enq(7);

Construct a sequential timeline of API calls Any sequence is valid: <u>Thread 1:</u>
int t = q.deq();

```
Global variable:
CQueue<int> q;
```

<u>Thread 0:</u>
q.enq(6);
q.enq(7);

Construct a sequential timeline of API calls Any sequence is valid: <u>Thread 1:</u>
int t = q.deq();



```
<u>Global variable:</u>
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<u>Thread 0:</u> q.enq(6); q.enq(7);

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t is 6



q.enq(7);

Construct a sequential timeline of API calls Any sequence is valid: <u>Thread 1:</u>
int t = q.deq();



t is 6

t is 6

t is None



Construct a sequential timeline of API calls Any sequence is valid:

Thread 1: int t = q.deq();



t is 6

<u>Thread O:</u> q.enq(6); q.enq(7);

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Global variable:
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<u>Thread O:</u> q.enq(6); q.enq(7);

Construct a sequential timeline of API calls Any sequence is valid: <u>Thread 1:</u>
int t = q.deq();

The events of Thread 0 don't appear in the same order of the program!

This should not be allowed!



- Valid executions correspond a sequentialization of object method
- The sequentialization must respect per-thread "program order", the order in which the object method calls occur in the thread
- Events across threads can interleave in any way possible

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How many possible interleavings? Combinatorics question:

if Thread 0 has N events if Thread 1 has M events

 $\frac{(N+M)!}{N!M!}$

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Reminder that N and M are events, not instructions

How many possible interleavings? Combinatorics question:

if Thread 0 has N events if Thread 1 has M events

> (N+M)!N!M!

Reminder that N and M are events, not instructions

If N and M execute 150 events each, there are more possible executions than particles in the observable universe! *Tyler's employer* for (int j = 0; j < HOURS; j++) {</pre> tylers account += 1; j = 0check(j < HOURS)</pre> tylers account += 1 time j++ (j == 1) check(j < HOURS)</pre> tylers account += 1 j++ (j == 2) check(j < HOURS)tylers_account += 1

}

Don't think about all possible interleavings!

- Higher-level reasoning:
 - I get paid 100 times and buy 100 coffees, I should break even
 - If you enqueue 100 elements to a queue, you should be able to dequeue 100 elements
- Reason about a specific outcome
 - Find an interleaving that allows the outcome
 - Find a counter example

Reasoning about concurrent objects

To show that an outcome is possible, simply construct the sequential sequence

```
Global variable:
CQueue<int> q;
```

<u>Thread O:</u> q.enq(6); q.enq(7); <u>Thread 1:</u> int t0 = q.deq(); int t1 = q.deq();

<u>Thread O:</u> q.enq(6); q.enq(7);

int t0 = q.deq();

<u>Thread 1:</u> int t0 = q.deq(); int t1 = q.deq();

<u>Thread O:</u> q.enq(6); q.enq(7);

int t0 = q.deq();
 q.enq(6);

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<u>Thread O:</u> q.enq(6); q.enq(7);



<u>Thread 1:</u> int t0 = q.deq(); int t1 = q.deq();

Can t0 == 0 and t1 == 6?

Valid execution!

Are there others?

<u>Thread O:</u> q.enq(6); q.enq(7); Lets do another!

<u>Threa</u> a	<u> 1:</u>	
int t	:0 =	q.deq();
int t	:1 =	q.deq();

```
Global variable:
CQueue<int> q;
```

<u>Thread O:</u> q.enq(6); q.enq(7); <u>Thread 1:</u> int t0 = q.deq(); int t1 = q.deq();











Found one! Are there others?

Reasoning about concurrent objects

To show that an outcome is possible, simply construct the sequential sequence

To show that an outcome is *impossible* show that the outcome would require time travel!
Reasoning about concurrent objects

To show that an outcome is possible, simply construct the sequential sequence

To show that an outcome is *impossible* show that the outcome would require time travel!





```
Global variable:
CQueue<int> q;
```

<u>Thread O:</u> q.enq(6); q.enq(7); <u>Thread 1:</u> int t0 = q.deq(); int t1 = q.deq();

<u>Global variable:</u> CQueue<int> q;

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Can t0 == 0 and t1 == 7?

Time travel in our specifications should not be allowed!

What does that cycle mean?

- Justify your current state with something you will do in the future:
 - I have my phone right now because I will give it to myself tomorrow
 - Causality cycles: The past influences the future, the future can't influence the past





Lets do one more examples

Global variable:
CQueue<int> q;

<u>Thread 0:</u> q.enq(7); int t0 = q.dec();

Is it possible for t0 == 6 but the queue to contain 7 after the program? <u>Thread 1:</u> q.enq(6);



<u>Thread 0:</u> q.enq(7); int t0 = q.dec();

Is it possible for t0 == 6 but the queue to contain 7 after the program? <u>Thread 1:</u> q.enq(6);

q.enq(6);



<u>Thread 0:</u> q.enq(7); int t0 = q.dec();

Is it possible for t0 == 6 but the queue to contain 7 after the program?



<u>Thread 1:</u> q.enq(6);





Is it possible for t0 == 6 but the queue to contain 7 after the program?



<u>Thread 1:</u> q.enq(6);



<u>Thread 0:</u> q.enq(7); int t0 = q.dec();

Is it possible for t0 == 6 but the queue to contain 7 after the program?



<u>Thread 1:</u> q.enq(6);



time travel! not allowed!

Do we have our specification?

- Is sequential consistency a good enough specification for concurrent objects?
- It's a good first step, but relative timing (happens-before) interacts strangely with concrete time.
- We will need something stronger.

• Add in real time:

each method as a start, and end time stamp

Thread 0



• Add in real time:

This timeline seems strange...





real time line

• Add in real time:

Thread 0

q.enq(6)

This execution is allowed in sequential consistency!

SC doesn't care about real time, only if it can construct its virtual sequential timeline



real time line

• Add in real time:

This execution is allowed in sequential consistency!

SC doesn't care about real time, only if it can construct its virtual sequential timeline

q.enq(6)

Thread 0

Thread 1

real time line

q

• Add in real time:

q.enq(6)

Thread 0

Thread 1

This execution is allowed in sequential consistency!

SC doesn't care about real time, only if it can construct its virtual sequential timeline



real time line

• Add in real time:

2 objects now: p and q



• Add in real time:



• Add in real time:



• Add in real time:



• Add in real time:



• Add in real time:



• Add in real time:

Now consider them all together



```
Global variable:
CQueue<int> p,q;
```





<u>Thread 1:</u>
q.enq(1)
p.enq(12)
q.deq()==2

















<u>Thread 1:</u>
q.enq(1)
p.enq(12)
q.deq()==2





q.enq(1);

where to put this?

<u>Thread 1:</u>
q.enq(1)
p.enq(12)
q.deq()==2





What does this mean?

- Even if objects in isolation are sequentially consistent
- Programs composed of multiple objects might not be!
- We would like to be able to use more than 1 object in our programs!

Next week

- A strong specification: Linearizability
 - Strictly stronger than sequential consistency
 - Reasoning about sequential consistency is still incredibly valuable
- Progress properties of concurrent objects
- Start looking at how to implement a linked list