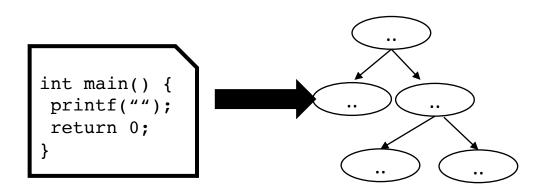
CSE110A: Compilers April 22, 2022

Topics:

- Symbol Tables in parsing
- Parsing actions
- Parser generators



- HW 2 is out!
 - due on May 2 at midnight
 - You had everything for part 1 and 2 after wednesday
 - You will have everything you need for part 3 after today
 - Plenty of chances for help. Get started early
- Midterm will be given on May 2
 - Take home midterm.
 - Assigned on Monday and due on Friday
 - No late midterms are accepted
- No class on Monday (use the time to work on homework)

- Expect HW 1 grades around May 2
 - You have 2 weeks to do the homework and we get 2 weeks to grade it

- HW 2 clarifications:
 - No skeleton for part 1 it is done completely in your report
 - Please read the piazza for questions about the grammar and other hints

- An assignment statement, which is ID followed by = followed by an expression.

An assignment statement is followed by a semi colon. The language is a subset of C. Anything that C-simple accepts should also be accepted by C (with the same meaning).

- Some more homework examples:
 - Variable declarations vs. assignment statements
 - for statements
 - block statements

Is the following grammar backtrack free?
$A \rightarrow B a$
$B \rightarrow d a b$
C b
$C \rightarrow c B$
A c

	First sets		
$A \rightarrow B$ a	{}		
$B \rightarrow d a b$	{}		
C b	{}		
$C \to c \; B$	{}		
A c	{}		

	First sets
$A \rightarrow B$ a	{d,c}
$B \rightarrow d a b$	{d}
C b	{d,c}
$C\toc\;B$	{c}
A c	{d,c}

no! in both B and C we do not have disjoint first sets

Is the following grammar backtrack free?
$A \rightarrow B a$
$B \rightarrow d a b$
C b
$C \rightarrow c B$
D
$D \rightarrow d B$

	First sets
$A \rightarrow B a$	{}
$B \rightarrow d a b$	{}
C b	{}
$C \to c \; B$	{}
D	{}
$D \rightarrow d B$	{}

First sets $A \rightarrow B a \qquad \{c,d\}$ $B \rightarrow d a b \qquad \{d\}$ $|C b \qquad \{c,d\}$ $C \rightarrow c B \qquad \{c\}$ $|D \qquad \{d\}$ $D \rightarrow d B \qquad \{d\}$

No, because for production B the first sets are not disjoint

in a recursive descent parser, you make a function for each or what?

 \bigcirc production option

 \bigcirc CFG

 \bigcirc non-terminal

 \bigcirc terminal

How do we parse an Expr?

How do we parse an Expr? We parse a Unit followed by an Expr2

We can just write exactly that!

```
def parse_Expr(self):
    self.parse_Unit();
    self.parse_Expr2();
    return
```

How do we parse an Expr2?

1:	Expr	::= Unit Expr2
2:	Expr2	::= Op Unit Expr2
3:		<i>""</i>
4:	Unit	::= '(' Expr ')'
5:		ID
6:	Op	::= '+'
7 :		/*/

How do we parse an Expr2?

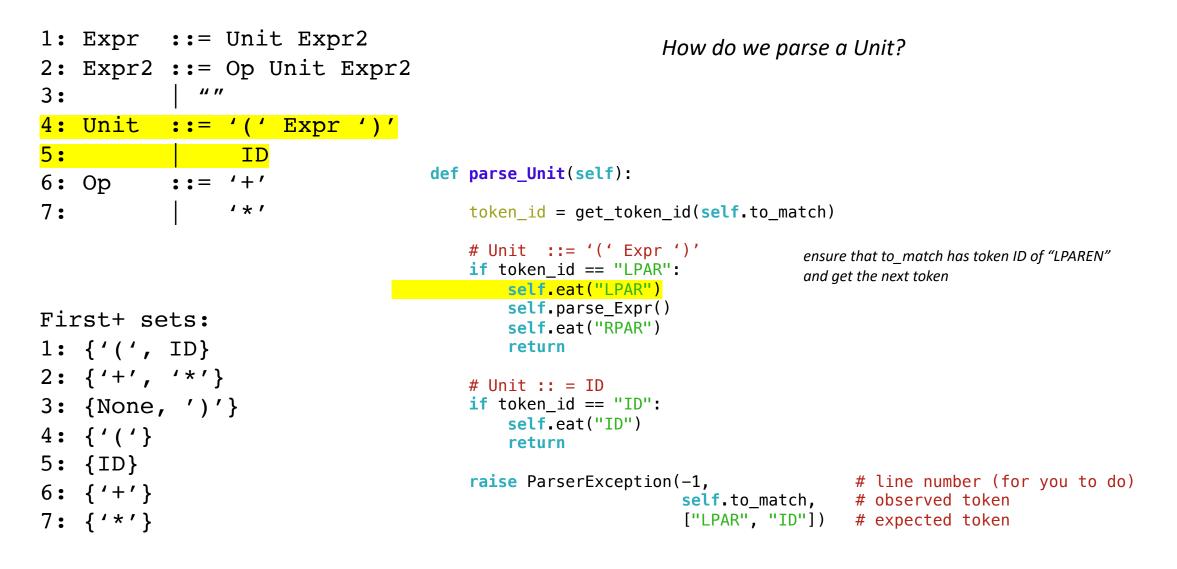
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'('}
5: {ID}
6: {'+'}
7: {'*'}

```
1: Expr ::= Unit Expr2
                                                          How do we parse an Expr2?
2: Expr2 ::= Op Unit Expr2
              11 11
3:
4: Unit ::= '(' Expr ')'
5:
                   ID
6: Op
            ::= '+'
                              def parse Expr2(self):
7:
                 1 * 1
                                  token id = get token id(self.to match)
                                  # Expr2 ::= Op Unit Expr2
                                  if token id in ["PLUS", "MULT"]:
                                      self.parse Op()
First+ sets:
                                      self.parse Unit()
                                      self.parse_Expr2()
1: { '(', ID}
                                      return
2: { '+', '*' }
                                      # Expr2 ::= ""
3: {None, ')'}
                                  if token id in [None, "RPAR"]:
4: { ' ( ' }
                                      return
5: {ID}
                                  raise ParserException(-1,
                                                                               # line number (for you to do)
6: \{'+'\}
                                                                               # observed token
                                                      self.to match,
                                                      ["PLUS", "MULT", "RPAR"])
7: { '*' }
                                                                               # expected token
```

How do we parse a Unit?

First+ sets:
1: { '(', ID}
2: { '+', '*'}
3: {None, ')'}
4: { '('}
5: {ID}
6: { '+'}
7: { '*'}

```
1: Expr ::= Unit Expr2
                                                          How do we parse a Unit?
2: Expr2 ::= Op Unit Expr2
3:
               11 11
4: Unit ::= '(' Expr ')'
5:
                   ID
                                     def parse Unit(self):
            ::= '+'
6: Op
7:
                 1 * 1
                                         token id = get token id(self.to match)
                                         # Unit ::= '(' Expr ')'
                                         if token id == "LPAR":
                                             self.eat("LPAR")
                                             self.parse Expr()
First+ sets:
                                             self.eat("RPAR")
                                             return
1: { '(', ID}
2: { '+', '*' }
                                         # Unit :: = ID
                                         if token id == "ID":
3: {None, ')'}
                                             self.eat("ID")
4: { ' ( ' }
                                             return
5: {ID}
                                         raise ParserException(-1,
                                                                             # line number (for you to do)
6: { '+' }
                                                                             # observed token
                                                             self.to match,
7: { '*' }
                                                             ["LPAR", "ID"])
                                                                             # expected token
```



How do we parse an Op?

First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'('}
5: {ID}
6: {'+'}
7: {'*'}

```
1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
3:
              11 11
4: Unit ::= '(' Expr ')'
5:
                 ID
           ::= '+'
6: Op
                                def parse_0p(self):
                1 * 1
7:
                                    # Op ::= '+'
                                    if token id == "PLUS":
First+ sets:
                                        self.eat("PLUS")
                                        return
1: { '(', ID}
2: { '+', '*' }
                                    # Op ::= '*'
                                    if token id == "MULT":
3: {None, ')'}
                                        self.eat("MULT")
4: { ' ( ' }
                                        return
5: {ID}
6: { '+' }
7: { '*' }
```

```
How do we parse an Op?
token id = get token id(self.to match)
raise ParserException(-1, # line number (for you to do)
                    self.to_match, # observed token
                    ["MULT", "PLUS"]) # expected token
```

parsing An LL(1) grammar has a runtime proportional to:

 \bigcirc The number of non-terminals

 \bigcirc The length of the input string

 \bigcirc The number of tokens in the input string

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parsing An LL(1) grammar has a runtime proportional to:

○ The number of non-terminals

 \bigcirc The length of the input string

 \bigcirc The number of tokens in the input string

 \bigcirc How many times a backtrack might occur

Likely plays a small role, but typically the number of non-terminals is much smaller than the input string

parsing An LL(1) grammar has a runtime proportional to:

 \bigcirc The number of non-terminals

○ The length of the input string

 \bigcirc The number of tokens in the input string

parsing An LL(1) grammar has a runtime proportional to:

 \bigcirc The number of non-terminals

○ The length of the input string

 \bigcirc The number of tokens in the input string

 \bigcirc How many times a backtrack might occur

Good answer, but potentially the input string is one giant ID. Then the parser simply needs to match one token.

parsing An LL(1) grammar has a runtime proportional to:

 \bigcirc The number of non-terminals

 \bigcirc The length of the input string

○ The number of tokens in the input string

parsing An LL(1) grammar has a runtime proportional to:

 \bigcirc The number of non-terminals

 \bigcirc The length of the input string

The number of tokens in the input string

 \bigcirc How many times a backtrack might occur

The parser needs to match every single token once. This is the correct answer

parsing An LL(1) grammar has a runtime proportional to:

 \bigcirc The number of non-terminals

 \bigcirc The length of the input string

 $\bigcirc\,$ The number of tokens in the input string

O How many times a backtrack might occur

parsing An LL(1) grammar has a runtime proportional to:

 \bigcirc The number of non-terminals

 \bigcirc The length of the input string

 $\bigcirc\,$ The number of tokens in the input string

O How many times a backtrack might occur

Backtracking is not required for LL(1) grammar

Review

Do we need backtracking?

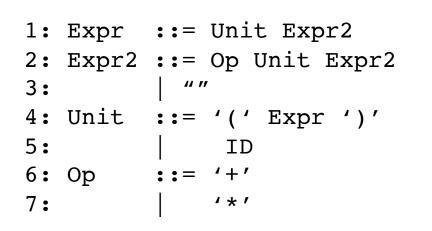
The First+ set is the combination of First and Follow sets

```
First+ sets:
1: Expr ::= Unit Expr2
                               1: {'(', ID}
                          2: { '+', '*' }
2: Expr2 ::= Op Unit Expr2
                               3: {None, ')'}
3:
          11 11
4: Unit ::= '(' Expr ')' 4: {'('}
5:
                             5: {ID}
              ID
6: Op ::= '+'
                               6: { '+' }
                               7: { '*' }
             1 * 1
7:
```

For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

Do we need backtracking?

The First+ set is the combination of First and Follow sets





For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

Sometimes the grammar needs to be refactored

1: Factor ::= ID 2: | ID '[' Args ']' 3: | ID '(' Args ')'

Firet

		I II SC
1: Factor ::=	ID	1: {ID}
2:	ID '[' Args ']'	2: {ID}
3:	ID '(' Args ')'	3: {ID}
• • •		• • •

1:	Factor	::=	ID				
2:			ID	'['	Args	']′	
3:			ID	'('	Args	')′	
• • •	•						

Fiı	rst
1:	{ID}
<mark>2:</mark>	{ID}
<mark>3:</mark>	{ID}
	•

We cannot select the next rule based on a single look ahead token!

T 1 - - - - 1

• • •		• • •
3:	ID '(' Args ')'	3: {ID}
2:	ID '[' Args ']'	2: {ID}
1: Factor ::	= ID	1: {ID}
		First

We can refactor

 1: Factor
 ::= ID Option_args
 1: {

 2: Option_args
 ::= '[' Args ']'
 2: {

 3:
 | '(' Args ')'
 3: {

 4:
 | ""
 4: {

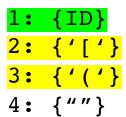
First
1: {ID}
2: {'['}
3: {'(')
4: {""}

		First
1: Factor ::=	ID	1: {ID}
2:	ID '[' Args ']'	2: {ID}
3:	ID '(' Args ')'	3: {ID}
• • •		• • •

We can refactor

1:	Factor	::=	ID (Optior	n_args
2:	Option_args	::=	'['	Args	']′
3:			'('	Args	')′
4:			<i>II 11</i>		

First



// We will need to compute the follow set

Firet

				LTPC
1: Factor ::=	ID			1: {ID}
2:	ID '['	Args	']′	2: {ID}
3:	ID '('	Args	')′	3: {ID}

It is not always possible to rewrite grammars into a predictive form, but many programming languages can be.

We can refactor

1:	Factor	::=	ID	Optior	n_args
2:	Option_args	::=	'['	Args	']′
3:			'('	Args	')′
4:					

Fiı	rst
1:	{ID}
<mark>2:</mark>	{ ' [' }
3:	{ ' (' }
4:	{ " " }

// We will need to compute the follow set

New material

- What is scope?
- Can it be determined at compile time? Can it be determined at runtime?
- C vs. Python
- Anyone have any interesting scoping rules they know of?

• Lexical scope example

```
int x = 0;
int y = 0;
{
    int y = 0;
    x+=1;
    y+=1;
}
x+=1;
y+=1;
```

What are the final values in x and y?



- We can catch certain variable scope errors at parse time
 - e.g. if a variable was declared in the current scope or not

• Lexical scope example

This program should parse and execute

What about this one?

• Lexical scope example

This program should parse and execute

What about this one?

- Symbol table object
- two methods:
 - lookup(id) : lookup an id in the symbol table. Returns None if the id is not in the symbol table.
 - insert(id, info) : insert a new id (or overwrite an existing id) into the symbol table along with a set of information about the id.

a very simple programming language

ID = [a-z]+ INCREMENT = "\+\+" TYPE = "int" LBRAC = "{" RBRAC = "}" SEMI = ";" int x; x++; int y; y++;

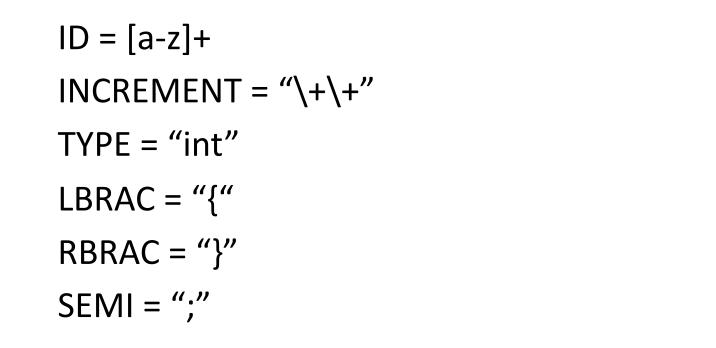
statements are either a declaration or an increment

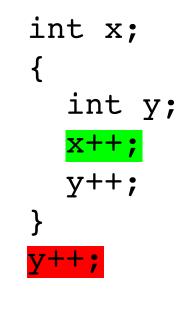
a very simple programming language

ID = [a-z]+	int x;
$INCREMENT = " \setminus + \setminus + "$	{ int y;
TYPE = "int"	x++;
LBRAC = "{"	у++;
RBRAC = "}"	} y++;
SEMI = ";"	

statements are either a declaration or an increment

a very simple programming language





error!

statements are either a declaration or an increment

• SymbolTable ST;

Say we are matched the statement: int x;

declare_statement ::= TYPE ID SEMI { }

lookup(id) : lookup an id in the symbol table. Returns None if the id is not in the symbol table.

insert(id,info) : insert a new id (or overwrite an existing id) into the symbol table along with a set of information about the id.

• SymbolTable ST;

Say we are matched the statement: int x;

```
declare_statement ::= TYPE ID SEMI
{
   self.eat(TYPE)
   variable_name = self.to_match[1] # lexeme value
   self.eat(ID)
   ST.insert(variable_name,None)
   self.eat(SEMI)
}
```

• SymbolTable ST;

Say we are matched string: x++;

inc_statement ::= ID INCREMENT SEMI { }

lookup(id) : lookup an id in the symbol table. Returns None if the id is not in the symbol table.

insert(id,info) : insert a new id (or overwrite an existing id) into the symbol table along with a set of information about the id.

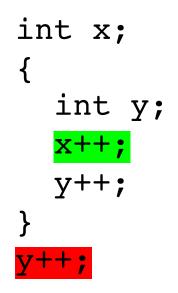
```
• SymbolTable ST;
```

Say we are matched string: x++;

```
inc_statement ::= ID INCREMENT SEMI
{
    variable_name = self.to_match[1] # lexeme value
    if ST.lookup(variable_name) is None:
        raise SymbolTableException(variable_name)
    self.eat(ID)
    self.eat(ID)
    self.eat(SEMI)
```

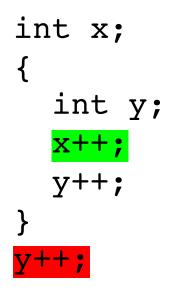
• SymbolTable ST;

statement : LBRAC statement_list RBRAC



• SymbolTable ST;

statement : LBRAC statement_list RBRAC



start a new scope S

remove the scope S

- Symbol table
- four methods:
 - lookup(id) : lookup an id in the symbol table. Returns None if the id is not in the symbol table.
 - insert(id, info) : insert a new id into the symbol table along with a set of information about the id.
 - push_scope() : push a new scope to the symbol table
 - pop_scope() : pop a scope from the symbol table

• SymbolTable ST;

statement : LBRAC statement_list RBRAC

You will be adding the functions to push and pop scopes in your homework

- Thoughts? What data structures are good at mapping strings?
- Symbol table
- four methods:
 - lookup(id) : lookup an id in the symbol table. Returns None if the id is not in the symbol table.
 - insert(id, info) : insert a new id into the symbol table along with a set of information about the id.
 - push_scope() : push a new scope to the symbol table
 - **pop_scope()** : pop a scope from the symbol table

- Many ways to implement:
- A good way is a stack of hash tables:

base scope

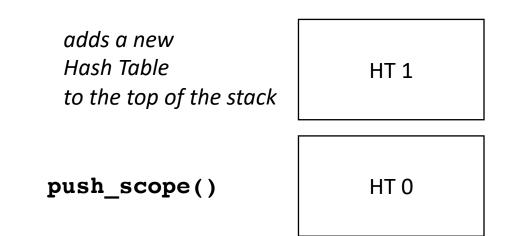
HT 0

- Many ways to implement:
- A good way is a stack of hash tables:

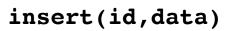
push_scope()

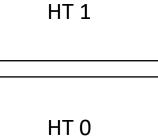
HT 0

- Many ways to implement:
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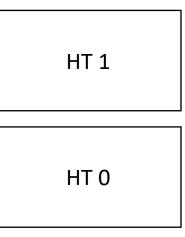
- Many ways to implement:
- A good way is a stack of hash tables:





- Many ways to implement:
- A good way is a stack of hash tables:

insert (id -> data) at top hash table



Stack of hash tables

insert(id,data)

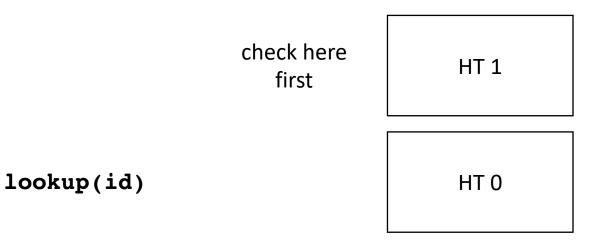
- Many ways to implement:
- A good way is a stack of hash tables:

HT 1

HT 0

lookup(id)

- Many ways to implement:
- A good way is a stack of hash tables:



- Many ways to implement:
- A good way is a stack of hash tables:

HT 1

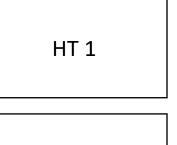
lookup(id)

HT 0

then check

here

- Many ways to implement:
- A good way is a stack of hash tables:



pop_scope()

HT 0

- Many ways to implement:
- A good way is a stack of hash tables:

HT 0

• Example

HT 0

Parser actions

Parser actions

• Like token actions: perform an action each time a production option is matched. Useful for: tracking state

Parser actions

- Like token actions: perform an action each time a production option is matched.
- Typically performed after the entire production action is matched
- Useful for:
 - tracking state

Example

• SymbolTable ST;

Say we are matched the statement: int x;

```
declare_statement ::= TYPE ID SEMI
{
   self.eat(TYPE)
   variable_name = self.to_match[1] # lexeme value
   self.eat(ID)
   ST.insert(variable_name,None)
   self.eat(SEMI)
```

If we wrote our own recursive descent parser we can implement our own actions inlined

Example

• SymbolTable ST;

```
$1 $2 $3
declare_statement ::= TYPE ID SEMI
{
   ST.insert($2, None);
}
```

Say we are matched the statement: int x;

Parser actions would be written like this

result of each symbol. For a terminal it will be the value

always some way to refer to symbol value, e.g. an array

{print \$1

What does this print?

```
{print $1; return "expr"}
{return "expr"}
{...}
```

Each production rule needs to return something

building a calculator

1:	Expr	::=	Expr	'+'	Unit	{ }
2:			Expr	′_′	Unit	{ }
3:			Unit			{ }
4:	Unit	::=	'(']	Expr	') ′	{ }
5:			NUM			{ }

building a calculator

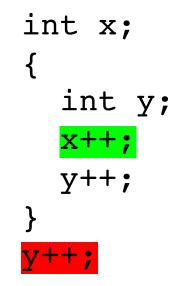
{return \$1 + \$3}
{return \$1 - \$3}
{return \$1}
{return \$1}
{return \$2}
{return \$1}

Shortcomings of parser actions

Difficult to perform actions in the middle of a production

• SymbolTable ST;

statement : LBRAC statement_list RBRAC



start a new scope S

remove the scope S

Parser generators

- You provide the CFG, along with some hints, you get a parser back
- They typically use bottom-up parsers
 - Algorithm is more complicated
 - Able to handle more types of grammars naturally
 - Able to naturally encode precedence and associativity
- Examples of tools:
 - Yacc, Antrl, PLY

These slides follow the calculator example from the PLY documentation

import ply.lex as lex

tokens = ["NUM", "MULT", "PLUS", "MINUS", "DIV", "LPAR", "RPAR"]

t_NUM = '[0-9]+'
t_MULT = '*'
t_PLUS = '\+'
t_MINUS = '-'
t_DIV = '/'
t_LPAR = '\('
t_RPAR = '\)'
t_ignore = ' '
Error handling rule
def t_error(t):
 print("Illegal character '%s'" % t.value[0])
 exit(1)

lexer = lex.lex()

Set up the lexer

• Import the library

import ply.yacc as yacc

• Simple rule

```
def p_expr_num(p):
    "expr : NUM"
    p[0] = int(p[1])
```

functions are given prefixed by p_

production rules are the doc string

return values are stored in p[0] children values are in p[1], p[2], etc.

• Try it out

• Next rule

```
def p_expr_plus(p):
    "expr : expr PLUS expr"
    p[0] = p[1] + p[3]
```

• Try it again

• Set associativity (and precedence)

```
precedence = (
    ('left', 'PLUS'),
)
```

• Next rules

```
def p_expr_minus(p):
    "expr : expr MINUS expr"
    p[0] = p[1] - p[3]
```

```
def p_expr_mult(p):
    "expr : expr MULT expr"
    p[0] = p[1] * p[3]
```

```
def p_expr_div(p):
    "expr : expr DIV expr"
    p[0] = p[1] / p[3]
```

```
precedence = [
    ('left', 'PLUS', 'MINUS'),
    ('left', 'MULT', 'DIV'),
]
```

• Last rule for expressions

```
def p_expr_par(p):
    "expr : LPAR expr RPAR"
    p[0] = p[2]
```

• An extra we can easily implement

```
def p_expr_div(p):
    "expr : expr DIV expr"
    if p[3] == 0:
        print("divide by 0 error:")
        print("cannot divide: " + str(p[1]) + " by 0")
        exit(1)
        p[0] = p[1] / p[3]
```

• Combining rules:

```
def p_expr_plus(p):
    "expr : expr PLUS expr"
    p[0] = p[1] + p[3]
```

```
def p_expr_minus(p):
    "expr : expr MINUS expr"
    p[0] = p[1] - p[3]
```

```
def p_expr_mult(p):
    "expr : expr MULT expr"
    p[0] = p[1] * p[3]
```

```
def p_expr_bin(p):
    .....
    expr : expr PLUS expr
         | expr MINUS expr
          expr MULT expr
    111111
    if p[2] == '+':
        p[0] = p[1] + p[3]
    elif p[2] == '-':
        p[0] = p[1] - p[3]
    elif p[2] == '*':
        p[0] = p[1] * p[3]
    else:
        assert(False)
```

- Other useful options
 - Error recovery
 - Error reporting (it is better in our top down parsers)
- Question: how would we do a calculator implementation in our Csimple grammar? It is not left recursive so it is not as natural...

See you on Wednesday!

- Work on HW 2
- Starting the next module: intermediate representations