### CSE110A: Compilers

April 20, 2022

#### **Topics**:

- Top down parsing
  - Lookahead sets
  - Recursive descent parsers
- Symbol Tables

```
int main() {
  printf("");
  return 0;
}
```

#### Announcements

- HW 2 is out!
  - due on May 2 at midnight
  - you will have what you need for all of part 1 after today
  - you should have what you need for part 2 after today
  - you should have what you need for part 3 on Friday
- Plenty of time for help for HW 2
  - Conceptually and implementation-wise it is bigger than HW 1. I suggest you get started on it early
- Midterm will be given on May 2
  - Take home midterm.
  - Assigned on Monday and due on Friday
  - No late midterms are accepted

### Quiz

We'll revisit a few of the questions from the last quiz

### Quiz

To prepare a grammar for a top-down parser, you must ensure that there is no recursion, except in the right-most element of any production rule.

True

False

What is the issue with left recursion?

```
root = start symbol;
focus = root;
push(None);
                                   What could a demonic
to match = s.token();
                                   choice do?
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push(BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
    Variable
                         Value
    focus
    to_match
    s.istring
```

stack

1:	Expr	::=	Expr	<b>'</b> + <b>'</b>	ID
2:			ID		

#### Can we derive the string a

Expanded Rule	Sentential Form
start	Expr

```
root = start symbol;
focus = root;
push(None);
                                   What could a demonic
to match = s.token();
                                   choice do?
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push(BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
    Variable
                         Value
    focus
    to_match
```

s.istring

stack

```
1: Expr := Expr '+' ID
2: | ID
```

#### Can we derive the string a

Expanded Rule	Sentential Form
start	Expr
1	Expr + ID
1	Expr + ID + ID
1	Expr + ID + ID + ID

infinite recursion

### Eliminating direct left recursion

A and B can be any sequence of non-terminals and terminals

### Eliminating direct left recursion

Lets do this one as an example:

```
Fee ::= B Fee2

| B | Fee2 ::= B Fee2
| Fee2 ::= A Fee2
| ""
```

#### Eliminating direct left recursion

A = Op Unit B = Unit

Lets do this one as an example:

```
Fee ::= B Fee2

| B | Fee2 ::= B Fee2
| Fee2 ::= A Fee2
| ""
```

#### How about indirect left recursion?

Identify indirect left left recursion

 $Expr\_base \rightarrow_{lhs} Expr\_op \rightarrow_{lhs} Expr\_base$ 

#### How about indirect left recursion?

*Identify indirect left left recursion* 

$$Expr\_base \rightarrow_{lhs} Expr\_op \rightarrow_{lhs} Expr\_base$$

inline indirect non-terminal

It is always possible to eliminate left recursion

### Quiz

It is only possible to write a top-down parser if you can determine exactly which production rule to apply at each step.

○ True

○ False

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
                                        Keep track of what
while (true):
                                        choices we've done
  if (focus is a nonterminal)
    cache state();
    pick next rule (A ::= B1, B2, B3...BN);
    if B1 == "": focus=pop(); continue;
    push(BN... B3, B2);
    focus = B1
 else if (to match == None and focus == None)
    Accept
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (we have a cached state)
     backtrack();
  else
    parser error()
```

1:	Expr	::=	ID	Expr2
2:	Expr2	::=	<b>′</b> + <b>′</b>	Expr2
			""	

Can we match: "a"?

Expanded Rule	Sentential Form
start	Expr

### Backtracking gets complicated...

- Do we need to backtrack?
  - In the general case, yes
  - In many useful cases, no

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    if B1 == "": focus=pop(); continue;
    push(BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
 Variable
                      Value
 focus
                      Expr2
                      None
 to_match
                      w
 s.istring
```

None

stack

1:	Expr	::=	ID	Expr2
2:	Expr2	::=	<b>′</b> + <b>′</b>	Expr2
3:			<i>" "</i>	

Could we make a smarter choice here?

Can we match: "a"?

Expanded Rule	Sentential Form
start	Expr
1	ID <mark>Expr2</mark>

#### The First Set

For each production choice, find the set of tokens that each production can start with

```
First sets:
1: Expr ::= Unit Expr2
                                      1: {}
2: Expr2 ::= Op Unit Expr2
                                      2: {}
3:
                                      3: {}
4: Unit ::= '(' Expr ')'
                                      4: {}
                                      5: {}
5:
               ID
                                      6: {}
6: Op
              1 * 1
                                      7: {}
7:
```

#### The First Set

For each production choice, find the set of tokens that each production can start with

```
First sets:
1: {'(', ID}
2: {'+', '*'}
3: {""}
4: {'(')
5: {ID}
6: {'+'}
7: {'*'}
```

We can use first sets to decide which rule to pick!

```
root = start symbol;
focus = root;
push(None);
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push(BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
```

#### **Variable**

#### **Value**

focus	
to_match	
s.istring	
stack	

```
1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
3: ""
4: Unit ::= '(' Expr ')'
5:
             ID
6: Op ::= '+'
            1 * 1
7:
First sets:
1: {'(', ID}
2: {'+', '*'}
3: {""}
4: {'('}
5: {ID}
6: {'+'}
7: {'*'}
```

We simply use to\_match and compare it to the first sets for each choice

For example, Op and Unit

#### Quiz

In many cases, a top-down parser requires the grammar to be re-written. Write a few sentences about why this might be an issue when developing a compiler and how the issues might be addressed.

Class discussion

#### New material

- The Follow set
- The First+ set
- Recursive descent parser

#### The Follow Set

Rules with "" in their First set need special attention

We need to find the tokens that any string that follows the production can start with.

#### The Follow Set

Rules with "" in their First set need special attention

We need to find the tokens that any string that follows the production can start with.

#### The First+ Set

The First+ set is the combination of First and Follow sets

```
First sets: Follow sets: First+ sets:
1: Expr ::= Unit Expr2 1: {'(', ID} 1: NA 1: {'(', ID}
                    2: {'+', '*'} 2: NA 2: {'+', '*'}
2: Expr2 ::= Op Unit Expr2
                    3: {""} 3: {None, ')'} 3: {None, ')'}
3:
                                    4: {'('}
4: Unit ::= '(' Expr ')' 4: {'('} 4: NA
5:
              5: {ID} 5: NA 5: {ID}
          ID
6: Op ::= '+' 6: {'+'} 6: NA 6: {'+'}
        1 * 1
                    7: {'*'} 7: NA
7:
                                        7: {'*'}
```

### Do we need backtracking?

The First+ set is the combination of First and Follow sets

For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

### Do we need backtracking?

The First+ set is the combination of First and Follow sets

For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

### Do we need backtracking?

The First+ set is the combination of First and Follow sets

```
::= Unit Expr2
1: Expr
2: Expr2 ::= Op Unit Expr2
4: Unit
         ::= '(' Expr ')'
5:
6: Op
              1 * 1
                                 7: { '*'}
```

```
First+ sets:
   {None, ')'}
```

These grammars are called LL(1)

- L scanning the input left to right
- L left derivation
- 1 how many look ahead symbols

They are also called predictive grammars

Many programming languages are LL(1)

For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

We cannot select the next rule based on a single look ahead token!

We can refactor

We can refactor

```
First
1: Factor ::= ID
                                      1: {ID}
2:
                                      2: {ID}
               ID '[' Args ']'
                                      3: {ID}
3:
               ID '(' Args ')'
```

It is not always possible to rewrite grammars into a predictive form, but many programming languages can be.

We can refactor

```
First
                ::= ID Option_args
1: Factor
2: Option_args ::= '[' Args ']'
3:
                     '(' Args ')'
4:
                     11 11
```

// We will need to compute the follow set

# We now have a full top-down parsing algorithm!

```
root = start symbol;
focus = root;
push(None);
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push(BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
```

```
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'('}
5: {ID}
6: {'+'}
7: { '*'}
```

```
1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
          11 11
3:
4: Unit ::= '(' Expr ')'
5:
              ID
         ::= '+'
6: Op
7:
             1 * 1
```

First+ sets for each production rule

input grammar, refactored to remove *left recursion* 

else if (to match == None and focus == None) Accept

> To pick the next rule, compare to match with the possible first+ sets. Pick the rule whose first+ set contains to match.

If there is no such rule then it is a parsing error.

# Moving on to a simpler implementation:

Recursive Descent Parser

How do we parse an Expr?

How do we parse an Expr?
We parse a Unit followed by an Expr2

```
How do we parse an Expr?
We parse a Unit followed by an Expr2
```

We can just write exactly that!

```
def parse_Expr(self):
          self.parse_Unit();
          self.parse_Expr2();
          return
```

How do we parse an Expr2?

How do we parse an Expr2?

```
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'('}
5: {ID}
6: {'+'}
7: {'*'}
```

```
1: Expr ::= Unit Expr2
  Expr2 ::= Op Unit Expr2
3:
4: Unit
         ::= '(' Expr ')'
5:
               ID
6: Op
7:
              1 * 1
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'('}
5: {ID}
6: {'+'}
7: { '*'}
```

```
How do we parse an Expr2?
def parse_Expr2(self):
   token_id = get_token_id(self.to_match)
   # Expr2 ::= Op Unit Expr2
    if token_id in ["PLUS", "MULT"]:
       self.parse Op()
       self.parse_Unit()
       self.parse_Expr2()
        return
       # Expr2 ::= ""
    if token_id in [None, "RPAR"]:
        return
    raise ParserException(-1,
                                                     # line number (for you to do)
                         self.to_match,
                                                     # observed token
                         ["PLUS", "MULT", "RPAR"]) # expected token
```

```
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'('}
5: {ID}
6: {'+'}
7: {'*'}
```

```
1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
3:
            11 11
4: Unit
         ::= '(' Expr ')'
5:
6: Op
7:
              1 * 1
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'('}
5: {ID}
6: {'+'}
7: { '*'}
```

```
def parse_Unit(self):
    token_id = get_token_id(self.to_match)
    # Unit ::= '(' Expr ')'
    if token id == "LPAR":
        self.eat("LPAR")
        self.parse_Expr()
        self.eat("RPAR")
        return
    # Unit :: = ID
    if token_id == "ID":
        self.eat("ID")
        return
    raise ParserException(-1,
                                           # line number (for you to do)
                          self.to match, # observed token
                          ["LPAR", "ID"]) # expected token
```

```
1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
3:
            11 11
4: Unit
          ::= '(' Expr ')'
5:
6: Op
7:
              1 * 1
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'('}
5: {ID}
6: {'+'}
7: { '*'}
```

```
def parse_Unit(self):
    token_id = get_token_id(self.to_match)
    # Unit ::= '(' Expr ')'
    if token_id == "LPAR":
                                   ensure that to_match has token ID of "LPAREN"
        self.eat("LPAR")
                                   and get the next token
        self.parse_Expr()
        self.eat("RPAR")
        return
    # Unit :: = ID
    if token_id == "ID":
        self.eat("ID")
        return
    raise ParserException(-1,
                                             # line number (for you to do)
                           self.to match, # observed token
                           ["LPAR", "ID"]) # expected token
```

```
1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
3:
            11 11
4: Unit
          ::= '(' Expr ')'
5:
6: Op
7:
              1 * 1
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'('}
5: {ID}
6: {'+'}
7: { '*'}
```

```
def parse_Unit(self):
    token_id = get_token_id(self.to_match)
    # Unit ::= '(' Expr ')'
    if token_id == "LPAR":
                                   ensure that to_match has token ID of "LPAREN"
        self.eat("LPAR")
                                   and get the next token
        self.parse_Expr()
        self.eat("RPAR")
        return
    # Unit :: = ID
    if token_id == "ID":
        self.eat("ID")
        return
    raise ParserException(-1,
                                             # line number (for you to do)
                           self.to match, # observed token
                           ["LPAR", "ID"]) # expected token
```

How do we parse an Op?

```
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'('}
5: {ID}
6: {'+'}
7: {'*'}
```

```
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'('}
5: {ID}
6: {'+'}
7: {'*'}
```

How do we parse an Op?

Moving on: Scope

#### Scope

What is scope?

 Can it be determined at compile time? Can it be determined at runtime?

• C vs. Python

Anyone have any interesting scoping rules they know of?

## One consideration: Scope

Lexical scope example

```
int x = 0;
int y = 0;
{
  int y = 0;
  x+=1;
  y+=1;
}
x+=1;
y+=1;
What are the final values in x and y?
```

Symbol table object

- two methods:
  - lookup(id): lookup an id in the symbol table.
    Returns None if the id is not in the symbol table.
  - insert(id,info): insert a new id (or overwrite an existing id) into the symbol table along with a set of information about the id.

## a very simple programming language

```
ID = [a-z]+
INCREMENT = "\+\+"
INTYPE = "int"
LBRAC = "{"
RBRAC = "}"
SEMI = ";"
int x;
x++;
int y;
y++;
```

statements are either a declaration or an increment

### a very simple programming language

```
ID = [a-z]+
INCREMENT = "\+\+"

TYPE = "int"

LBRAC = "{"

RBRAC = "}"

SEMI = ";"
int x;

{
    int y;
    x++;
    y++;
}

y++;
```

statements are either a declaration or an increment

## a very simple programming language

```
ID = [a-z]+
INCREMENT = "\+\+"

TYPE = "int"

LBRAC = "{"

RBRAC = "}"

SEMI = ";"

int x;

{
    int y;
    int y;
```

statements are either a declaration or an increment

• SymbolTable ST;

Say we are matched the statement: int x;

```
declare_statement ::= TYPE ID SEMI
{ }
```

lookup(id) : lookup an id in the symbol table. Returns None if the
id is not in the symbol table.

insert(id,info) : insert a new id (or overwrite an existing id) into
the symbol table along with a set of information about the id.

```
Say we are matched the statement:
• SymbolTable ST;
                                int x;
declare statement ::= TYPE ID SEMI
  self.eat(TYPE)
  variable name = self.to match[1] # lexeme value
  self.eat(ID)
  ST.insert(variable name, None)
  self.eat(SEMI)
```

• SymbolTable ST;

Say we are matched string: x++;

```
inc_statement ::= ID INCREMENT SEMI
{ }
```

lookup(id) : lookup an id in the symbol table. Returns None if the
id is not in the symbol table.

insert(id,info) : insert a new id (or overwrite an existing id) into
the symbol table along with a set of information about the id.

```
• SymbolTable ST;
inc_statement ::= ID INCREMENT SEMI
  variable name = self.to match[1] # lexeme value
  if ST.lookup(variable name) is None:
      raise SymbolTableException(variable name)
  self.eat(ID)
  self.eat(INCREMENT)
  self.eat(SEMI)
```

Say we are matched string: x++;

• SymbolTable ST;

statement : LBRAC statement\_list RBRAC

```
int x;
{
    int y;
    x++;
    y++;
}
```

• SymbolTable ST;

statement : LBRAC statement\_list RBRAC

```
int x;
{
   int y;
   x++;
   y++;
}
```

start a new scope S

remove the scope S

- Symbol table
- four methods:
  - lookup(id): lookup an id in the symbol table.
    Returns None if the id is not in the symbol table.
  - insert(id, info): insert a new id into the symbol table along with a set of information about the id.
  - push\_scope() : push a new scope to the symbol table
  - pop\_scope() : pop a scope from the symbol table

• SymbolTable ST;

statement : LBRAC statement\_list RBRAC

You will be adding the functions to push and pop scopes in your homework

- Thoughts? What data structures are good at mapping strings?
- Symbol table
- four methods:
  - lookup(id): lookup an id in the symbol table.
    Returns None if the id is not in the symbol table.
  - insert(id, info): insert a new id into the symbol table along with a set of information about the id.
  - push\_scope() : push a new scope to the symbol table
  - pop\_scope() : pop a scope from the symbol table

Many ways to implement:

A good way is a stack of hash tables:

base scope

HT 0

Many ways to implement:

A good way is a stack of hash tables:

push\_scope()HT 0

Many ways to implement:

A good way is a stack of hash tables:

adds a new Hash Table to the top of the stack

HT 1

push\_scope()

HT 0

Many ways to implement:

A good way is a stack of hash tables:

HT 1

insert(id,data)

HT 0

Many ways to implement:

A good way is a stack of hash tables:

insert(id,data)

insert (id -> data) at
top hash table

HT 1

HT 0

Many ways to implement:

A good way is a stack of hash tables:

HT 1

lookup(id)

Stack of hash tables

HT 0

Many ways to implement:

A good way is a stack of hash tables:

check here first HT 1

lookup(id)

Stack of hash tables

HT 0

Many ways to implement:

A good way is a stack of hash tables:

lookup(id)
then check
here

HT 0

Many ways to implement:

A good way is a stack of hash tables:

HT 1

pop\_scope()

HT 0

Many ways to implement:

A good way is a stack of hash tables:

HT 0

• Example

```
int x = 0;
int y = 0;
{
  int y = 0;
  x++;
  y++;
}
x++;
y++;
```

HT 0

# See you on Friday!

We will discuss parser generators