## CSE110A: Compilers

April 18, 2022

## Topics:

- Top down parsing
- Dealing with left recursion
- Lookahead sets



## Announcements

- HW 1 is due today
- No guaranteed help after business hours (e.g. after class at 5 PM)
- HW 2 is scheduled for release today by midnight
- you have two weeks to do it.
- due on May 2 at midnight
- you have what you need for part 1 today
- you should have what you need for part 2 on Wednesday
- you should have what you need for part 3 on Friday
- Plenty of time for help for HW 2!


## Announcements

- Homework clarification: token actions
- You can use lists, functions, variables etc in tokens.py as token actions
- These components get bound to the tokens array
- You should only use the token array in your scanners, and you should be prepared to accept as input any token arrays
- Your token array should be an array of tuples:

```
(TOKEN_ID : string,
TOKEN_REGEX : string,
TOKEN_ACTION : lexeme }->\mathrm{ lexeme)
```


## Quiz

Unfortunately Monday's lecture put us behind and we weren't able to get through all the material we needed for the quiz again

To make up for it, I will make Friday's quiz due on Wednesday so that you can answer the extra questions with enough background

## Quiz

Which of the following can be sources of ambiguity in grammars?operator associativity not being specifiedincorrect parenthesis matchingoperator precedence not being specifiedoperator commutativity not being specified

## Quiz

Which of the following can be sources of ambiguity in grammars?operator associativity not being specifiedincorrect parenthesis matchingoperator precedence not being specifiedoperator commutativity not being specified

## What about for a different operator?


input: 2-3-4


Which one is right?

## Associativity for a single operator

input: 2-3-4


No longer allowed

## Quiz

Which of the following can be sources of ambiguity in grammars?operator associativity not being specifiedincorrect parenthesis matchingoperator precedence not being specifiedoperator commutativity not being specified

## Quiz

Which of the following can be sources of ambiguity in grammars?operator associativity not being specifiedincorrect parenthesis matchingoperator precedence not being specifiedoperator commutativity not being specified

Not really a cause of ambiguous grammars

## Quiz

Which of the following can be sources of ambiguity in grammars?operator associativity not being specifiedincorrect parenthesis matchingoperator precedence not being specifiedoperator commutativity not being specified

## Ambiguous grammars

-input: 1 + 5 * 6

```
expr ::= NUM
    | expr PLUS expr
    | expr TIMES expr
    | LPAREN expr RPAREN
```

Evaluations are different!


## Avoiding Ambiguity

- new production rules
- One non-terminal for each level of precedence
- lowest precedence at the top
- highest precedence at the bottom

| Operator | Name | Productions |
| :---: | :---: | :---: |
| +,- | expr | ```: expr PLUS expr expr MINUS expr term``` |
| * | term | ```: term TIMES term``` |
| $\wedge$ | pow | $\begin{aligned} & \text { : pow }{ }^{\wedge} \text { pow } \\ & \mid \text { factor } \end{aligned}$ |
| () | factor | : LPAREN expr RPAREN \| NUM |

## Quiz

Which of the following can be sources of ambiguity in grammars?operator associativity not being specifiedincorrect parenthesis matchingoperator precedence not being specified
operator commutativity not being specified

What is commutativity?

## Quiz

Which of the following can be sources of ambiguity in grammars?operator associativity not being specifiedincorrect parenthesis matchingoperator precedence not being specified
operator commutativity not being specified

What is commutativity? $\mathrm{a}+\mathrm{b}==\mathrm{b}+\mathrm{a}$

## Quiz

Which of the following can be sources of ambiguity in grammars?operator associativity not being specifiedincorrect parenthesis matchingoperator precedence not being specified
operator commutativity not being specified

What is commutativity? $\mathrm{a}+\mathrm{b}==\mathrm{b}+\mathrm{a}$

Parsing doesn't really consider commutativity, but optimizations will

## Quiz

We're doing this a little out of order

It is only possible to write a top-down parser if you can determine exactly which production rule to apply at each step.TrueFalse

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
    if (focus is a nonterminal)
        pick next rule (A ::= B1,B2,B3...BN);
        push(BN... B3, B2);
        focus = B1
    else if (focus == to_match)
        to_match = s.token()
        focus = pop()
    else if (to_match == None and focus == None)
        Accept
```


## Variable

## Value

| focus | Expr |
| :--- | :--- |
| to_match | 'a' |
| s.istring | $"+b){ }^{*} c^{\prime \prime}$ |
| stack | Op Unit ) Op Unit None |

Currently we assume this is magic and picks the right rule every time

```
\begin{tabular}{|l|l|}
\hline Expanded Rule & Sentential Form \\
\hline start & Expr \\
\hline 1 & Expr Op Unit \\
\hline 2 & Unit Op Unit \\
\hline 3 & ( Expr ) Op Unit \\
\hline 1 & ( Expr Op Unit) Op Unit \\
\hline 2 & ( Unit Op Unit) Op Unit \\
\hline & \\
\hline
\end{tabular}
```


## Quiz

## We're doing this a little out of order

It is only possible to write a top-down parser if you can determine exactly which production rule to apply at each step.TrueFalse

## Answer:

- true with what we've seen so far
- true if you want an efficient parser
- false in general


## Quiz

We will answer these ones today in class

To prepare a grammar for a top-down parser, you must ensure that there is no recursion, except in the right-most element of any production rule.TrueFalse

In many cases, a top-down parser requires the grammar to be re-written. Write a few sentences about why this might be an issue when developing a compiler and how the issues might be addressed.

## Review

- Let's do a few more examples of top down parsing

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
```

Currently we assume this is magic and picks
the right rule every time

```
while (true):
    if (focus is a nonterminal)
        pick next rule (A ::= B1,B2,B3...BN);
        push(BN... B3, B2);
        focus = B1
    else if (focus == to_match)
        to_match = s.token()
        focus = pop()
    else if (to_match == None and focus == None)
        Accept
\begin{tabular}{|l|l|}
\hline Variable & Value \\
\hline focus & Expr \\
\hline to_match & \\
\hline s.istring & \\
\hline stack & \\
\hline
\end{tabular}
\begin{tabular}{|l|l|}
\hline Expanded Rule & Sentential Form \\
\hline start & Expr \\
\hline & \\
\hline & \\
\hline & \\
\hline & \\
\hline & \\
\hline & \\
\hline
\end{tabular}
```

One more example


## New material

- We are going to zoom in on:
pick next rule (A ::= B1,B2,B3...BN);

So far this rule has been magic. Let's start by turning that magic off

## New material

- We are going to zoom in on:
pick next rule (A ::= B1,B2,B3...BN);

So far this rule has been
magic. Let's start
turning that magic off
what could the most demonic choice do...

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
```

What could a demonic choice do?

```
while (true):
    if (focus is a nonterminal)
        pick next rule (A ::= B1,B2,B3...BN);
        push(BN... B3, B2);
        focus = B1
    else if (focus == to_match)
        to_match = s.token()
        focus = pop()
    else if (to_match == None and focus == None)
        Accept
```


## Variable

## Value

```
\begin{tabular}{|l|l|}
\hline focus & \\
\hline to_match & \\
\hline s.istring & \\
\hline stack & \\
\hline
\end{tabular}
\begin{tabular}{|l|l|}
\hline Expanded Rule & Sentential Form \\
\hline start & Expr \\
\hline & \\
\hline & \\
\hline & \\
\hline & \\
\hline & \\
\hline & \\
\hline
\end{tabular}
```

root $=$ start symbol;
focus = root; push(None); to_match $=$ s.token();

## while (true):

if (focus is a nonterminal) pick next rule (A : : = B1, B2,B3...BN); push(BN... B3, B2); focus = B1
else if (focus == to_match)
to_match = s.token()
focus $=$ pop()
else if (to_match $==$ None and focus $==$ None)
What could a demonic choice do?

Accept

## Variable

## Value

| focus |  |
| :--- | :--- |
| to_match |  |
| s.istring |  |
| stack |  |

1: Expr ::= Expr '+' ID 2 :

ID

Can we derive the string a

| Expanded Rule | Sentential Form |
| :---: | :---: |
| start | Expr |
| 1 | Expr ' + ' ID |
| 1 | Expr '+' ID '+' ID |
| 1 | Expr ' ${ }^{\prime}$ ID '+' ID '+' ID |
| 1 | .... |
| 1 | .... |
| 1 | .... |

Infinite recursion!

## Top down parsing does not handle left recursion

```
1: Expr 
```

direct left recursion

## Top down parsing does not handle left recursion


direct left recursion

indirect left recursion

## Top down parsing does not handle left recursion

## Luckily

- In general, any CFG can be re-written without left recursion


## Eliminating direct left recursion

```
Fee ::= Fee "a"
```

What does this grammar describe?

## Eliminating direct left recursion

The grammar can be rewritten as

$$
\begin{aligned}
& \text { Fee : : = Fee "a" } \\
& \text { Fee : : = "b" Fee2 } \\
& \text { Fee2 }::=" a " \text { Fee2 }
\end{aligned}
$$

## Eliminating direct left recursion

$A$ and $B$ can be any sequence of non-terminals and terminals


## Eliminating direct left recursion



Lets do this one as an example:
Fee : : = Fee A

$$
\begin{array}{cc}
\text { Fee }::=\text { B Fee2 } \\
\text { Fee2 }::=\text { A Fee2 } \\
& \left\lvert\, \begin{array}{c|c} 
\\
\text { " }
\end{array}\right.
\end{array}
$$

## Eliminating direct left recursion



| : Expr | :: = Unit Expr2 |
| :---: | :---: |
| 2: Expr2 | : : = Op Unit Expr2 |
| 3 : | \| " " |
| 4: Unit | : : = ' ( Expr ')' |
| 5 : | \| ID |
| 6: Op | $::=1+\prime$ |
| 7 : | '*' |

Lets do this one as an example:

| Fee | $::=$ B Fee2 |
| :--- | :--- |
| Fee2 $::=$ A Fee2 |  |
|  | "" " |

## Eliminating direct left recursion

```
1: Expr ::= Expr '+' ID
```

Lets do this one as an example:
Fee : : = Fee A

$$
\begin{array}{cl}
\hline \text { Fee }::=\text { B Fee2 } \\
\text { Fee2 }::=\text { A Fee2 } \\
& \text { "" " }
\end{array}
$$

## Eliminating direct left recursion

$$
\begin{aligned}
& A=1+I D \\
& B=I D
\end{aligned}
$$

```
1: Expr ::= Expr '+' ID 
```



Lets do this one as an example:

$$
\text { Fee }::=\text { Fee } A
$$

$$
\begin{array}{cl}
\text { Fee }::=\text { B Fee2 } \\
\text { Fee2 }::=\text { A Fee2 } \\
& \text { """ }
\end{array}
$$

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
    if (focus is a nonterminal)
        pick next rule (A : := B1,B2,B3...BN);
        push(BN... B3, B2);
        focus = B1
    else if (focus == to_match)
        to_match = s.token()
        focus = pop()
    else if (to_match == None and focus == None)
        Accept
```


## Variable

```
Value
\begin{tabular}{|l|l|}
\hline focus & \\
\hline to_match & \\
\hline s.istring & \\
\hline stack & \\
\hline
\end{tabular}
```

| Expanded Rule | Sentential Form |
| :--- | :--- |
| start | Expr |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

```
root = start symbol;
focus = root; How to handle
push(None);
to_match = s.token();
while (true):
    if (focus is a nonterminal)
        pick next rule (A ::= B1,B2,B3...BN);
        push(BN... B3, B2);
        focus = B1
    else if (focus == to_match)
        to_match = s.token()
        focus = pop()
    else if (to_match == None and focus == None)
        Accept
```


## Variable

```
Value
\begin{tabular}{|l|l|}
\hline focus & Expr2 \\
\hline to_match & None \\
\hline s.istring & «" \\
\hline stack & None \\
\hline
\end{tabular}
```

| Expanded Rule | Sentential Form |
| :--- | :--- |
| start | Expr |
| 1 | ID Expr2 |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

```
root = start symbol;
focus = root;
push(None); How to handle
to_match = s.token();
while (true):
    if (focus is a nonterminal)
        pick next rule (A ::= B1,B2,B3...BN);
        if B1 == "": focus=pop(); continue;
        push(BN... B3, B2);
        focus = B1
    else if (focus == to_match)
        to_match = s.token()
        focus = pop()
    else if (to_match == None and focus == None)
        Accept
```


## Variable

```
Value
\begin{tabular}{|l|l|}
\hline focus & Expr2 \\
\hline to_match & None \\
\hline s.istring & ""' \\
\hline stack & None \\
\hline
\end{tabular}
\begin{tabular}{|l|l|}
\hline Expanded Rule & Sentential Form \\
\hline start & Expr \\
\hline 1 & ID Expr2 \\
\hline & \\
\hline & \\
\hline & \\
\hline & \\
\hline & \\
\hline
\end{tabular}
```

```
root = start symbol;
focus = root;
push(None); How to handle
to_match = s.token();
while (true):
    if (focus is a nonterminal)
        pick next rule (A ::= B1,B2,B3...BN);
        if B1 == "": focus=pop(); continue;
        push(BN... B3, B2);
        focus = B1
    else if (focus == to_match)
        to_match = s.token()
        focus = pop()
    else if (to_match == None and focus == None)
        Accept
```


## Variable

```
Value
\begin{tabular}{|l|l|}
\hline focus & Expr2 \\
\hline to_match & None \\
\hline s.istring & ""' \\
\hline stack & None \\
\hline
\end{tabular}
\begin{tabular}{|l|l|}
\hline Expanded Rule & Sentential Form \\
\hline start & Expr \\
\hline 1 & ID Expr2 \\
\hline 3 & ID \\
\hline & \\
\hline & \\
\hline & \\
\hline & \\
\hline
\end{tabular}
```


## How about indirect left recursion?


direct left recursion

indirect left recursion

## How about indirect left recursion?



Identify indirect left left recursion

Expr_base $\rightarrow_{\text {lhs }}$ Expr_op $\rightarrow_{\text {lhs }}$ Expr_base

## How about indirect left recursion?



Identify indirect left left recursion

Expr_base $\rightarrow_{l h s}$ Expr_op $\rightarrow_{l h s}$ Expr_base

Substitute indirect non-terminal closer to initial non-terminal

## How about indirect left recursion?



| 1: Expr_base | : := Unit |
| :---: | :---: |
| 2 : | Expr_base Op Unit |
| 3: Expr_op | ::= Expr_base Op Unit |
| 4: Unit | : : = '( Expr_base ')' |
| 5 : | ID |
| 6: Op | : $:=1+1$ |
| 7 : | '*' |

Identify indirect left left recursion
What to do with production rule 3?

Expr_base $\rightarrow_{\text {lhs }}$ Expr_op $\rightarrow_{\text {lhs }}$ Expr_base

Substitute indirect non-terminal closer to initial non-terminal

## How about indirect left recursion?



What to do with production rule 3?
Identify indirect left left recursion


It may need to stay if another production rule references it!

$$
\text { Expr_base } \rightarrow_{l h s} \text { Expr_op } \rightarrow_{l h s} \text { Expr_base }
$$

Substitute indirect non-terminal closer to initial non-terminal

## What else do we need to do

```
pick next rule (A ::= B1,B2,B3...BN);
```

We cannot have infinite recursion.
What is next?

## What else do we need to do

```
pick next rule (A ::= B1,B2,B3...BN);
```

We cannot have infinite recursion.
What is next?

We need to deal with incorrect choices

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
    if (focus is a nonterminal)
        pick next rule (A ::= B1,B2,B3...BN);
        if B1 == "": focus=pop(); continue;
        push(BN... B3, B2);
        focus = B1
    else if (focus == to_match)
        to_match = s.token()
        focus = pop()
    else if (to_match == None and focus == None)
        Accept
```


## Variable

```
Value
\begin{tabular}{|l|l|}
\hline focus & Expr2 \\
\hline to_match & None \\
\hline s.istring & u" \\
\hline stack & None \\
\hline
\end{tabular}
```

    1: Expr ::= ID Expr2
    2: Expr2 : : = '+' Expr2
" "

| Expanded Rule | Sentential Form |
| :--- | :--- |
| start | Expr |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
    if (focus is a nonterminal)
        pick next rule (A ::= B1,B2,B3...BN);
        if B1 == "": focus=pop(); continue;
        push(BN... B3, B2);
        focus = B1
    else if (focus == to_match)
        to_match = s.token()
        focus = pop()
    else if (to_match == None and focus == None)
        Accept
```


## Variable

```
Value
\begin{tabular}{|l|l|}
\hline focus & \('+'\) \\
\hline to_match & None \\
\hline s.istring & "'' \\
\hline stack & Expr2 \\
\hline
\end{tabular}
```

1: Expr ::= ID Expr2
2: Expr2 : : = $\begin{gathered}\text { +' } \\ \prime \prime\end{gathered}$
Can we match: "a"?

| Expanded Rule | Sentential Form |
| :--- | :--- |
| start | Expr |
| 1 | ID Expr2 |
| 2 | ID '+' Expr2 |
|  |  |
|  |  |
|  |  |
|  |  |

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
    1: Expr ::= ID Expr2
2: Expr2 ::= '+' Expr2
Keep track of what
while (true):
    if (focus is a nonterminal)
        cache_state();
        pick next rule (A ::= B1,B2,B3...BN);
        if B1 == "": focus=pop(); continue;
        push(BN... B3, B2);
        focus = B1
    else if (focus == to_match)
        to_match = s.token()
        focus = pop()
    else if (to_match == None and focus == None)
        Accept
    else if (we have a cached state)
        backtrack();
    else
\begin{tabular}{|l|l|}
\hline Expanded Rule & Sentential Form \\
\hline start & Expr \\
\hline 1 & ID Expr2 \\
\hline 2 & ID ' \(^{\prime}\) ' Expr2 \\
\hline & \\
\hline & \\
\hline & \\
\hline & \\
\hline
\end{tabular}
```

        parser_error()
    
## Backtracking gets complicated...

- Do we need to backtrack?
- In the general case, yes
- In many useful cases, no

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
    1: Expr ::= ID Expr2
2: Expr2 ::= '+' Expr2
Could we make a smarter choice here?
while (true):
    if (focus is a nonterminal)
        pick next rule (A ::= B1,B2,B3...BN);
        if B1 == "": focus=pop(); continue;
        push(BN... B3, B2);
        focus = B1
    else if (focus == to_match)
        to_match = s.token()
        focus = pop()
    else if (to_match == None and focus == None)
        Accept
```


## Variable

```
Value
\begin{tabular}{|l|l|}
\hline focus & Expr2 \\
\hline to_match & None \\
\hline s.istring & u" \\
\hline stack & None \\
\hline
\end{tabular}
\begin{tabular}{|l|l|}
\hline Expanded Rule & Sentential Form \\
\hline start & Expr \\
\hline 1 & ID Expr2 \\
\hline & \\
\hline & \\
\hline & \\
\hline & \\
\hline & \\
\hline
\end{tabular}
```


## The First Set

For each production choice, find the set of tokens that each production can start with

```
1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
3:
4: Unit ::= '(' Expr ')'
5:
6: Op
7:
::= '+'
```


## The First Set

For each production choice, find the set of tokens that each production can start with

```
1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
3:
4: Unit ::= '(' Expr ')'
4: Unit ::= '(' Expr ')'
6: Op
7:
::= ' +',
Op
```

First sets:
1: \{\}
2: \{\}
3: \{\}
4: \{\}
5: \{\}
6: \{\}
$7:\{ \}$

## The First Set

For each production choice, find the set of tokens that each production can start with

```
First sets:
1: {'(`, ID}
2: {'+', '*'}
3: {}
4: {`(`}
5: {ID}
6: {'+'}
7: {'*'}
```

We can use first sets to decide which rule to pick!

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
    if (focus is a nonterminal)
        pick next rule (A : := B1,B2,B3...BN);
        push(BN... B3, B2);
        focus = B1
    else if (focus == to_match)
        to_match = s.token()
        focus = pop()
    else if (to_match == None and focus == None)
        Accept
Variable
Value
```


## focus

```
to_match
s.istring
stack
```



First sets:
1: \{'(", ID\}
2: $\left\{{ }^{\prime}+\prime,{ }^{\prime}{ }^{\prime \prime}\right\}$
3: \{\}
4: \{‘(‘\}
$5:\{$ ID $\}$
6: \{' ${ }^{\prime}$ '\}
7: \{‘*'\}

We simply use to_match and compare it to the first sets for each choice

For example, OP, and UNIT

## The First Set

Rules with "" in their First set need special attention

|  |  | First sets: | Follow sets: |
| :---: | :---: | :---: | :---: |
| 1: Expr | : : = Unit Expr2 | 1: \{'(', ID | 1: NA |
| 2: Expr2 | : := Op Unit Expr2 | 2: \{'+', '*'\} | 2: NA |
| 3: | \| "" | 3: \{""\} | 3: \{ \} |
| 4: Unit | ::= ( ${ }^{\text {c Expr }}$ ')' | 4: \{' (' $\}$ | 4: NA |
| 5: | ID | 5: \{ID \} | 5: NA |
| 6: Op | : : = '+' | 6: $\left\{{ }^{+\prime}{ }^{\prime}\right\}$ | 6: NA |
| 7: | '*' | 7: \{**'\} | 7: NA |

## The First Set

Rules with "" in their First set need special attention

```
1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
3:
4: Unit ::= '(' Expr ')'
5:
```

| First sets: | Follow |
| :---: | :---: |
| 1: \{ ' (', ID | 1: NA |
| 2: $\mathbf{\prime}^{\prime}+^{\prime}$, '*' $\}$ | 2: NA |
| $3: ~\left\{" \prime_{\prime \prime}\right\}$ | 3: \{ \} |
| 4: \{ ' ${ }^{\prime}$ \} | 4: NA |
| 5: \{ID \} | 5: NA |
| 6: ${ }^{\prime}{ }^{+\prime}$ \} | 6: NA |
| $7:\left\{{ }^{\prime \prime}\right.$ \} | 7: NA |

We need to find the tokens that any string that follows the production can start with.

## The First Set

Rules with "" in their First set need special attention


```
1: Expr }l::=\mathrm{ Unit Expr2 
3:
1: Expr }l::=\mathrm{ Unit Expr2 
5:
```


Follow sets:
1: NA
2: NA
3: \{None, ' )' $\}$
4: NA
5: NA
$6:$ NA
7 : NA

We need to find the tokens that any string that follows the production can start with.

## The First Set

The First+ set is the combination of First and Follow sets

|  |  | First sets: | Follow sets: | First+ sets: |
| :---: | :---: | :---: | :---: | :---: |
| 1: Expr | : : = Unit Expr2 | 1: \{ ' ( ', ID $\}$ | 1: NA | 1: \{ ' ( ', ID |
| 2: Expr2 | ::= Op Unit Expr2 | 2: $\left\{^{\prime \prime}{ }^{\prime}\right.$, '*' $\}$ | 2: NA | 2: ${ }^{\prime \prime}{ }^{\prime}$, '*' $\}$ |
| 3 : | " " | 3: ${ }^{\prime \prime \prime \prime}$ \} | 3: \{None, ')'\} | 3: \{None, ')'\} |
| 4: Unit | : : = ' ( Expr ')' | 4: \{ ' ${ }^{\prime}$ \} | 4: NA | 4: \{ ' ( ${ }^{\text {c }}$ |
| 5 : | ID | 5: \{ID\} | 5: NA | 5: \{ID\} |
| 6: Op | $::=1+\prime$ | $6:\left\{{ }^{\prime}+{ }^{\prime}\right\}$ | 6: NA | 6: $\left.{ }^{\prime}{ }^{\prime}{ }^{\prime}\right\}$ |
| 7 : | '*' | 7: \{ **' | 7: NA | 7: '**' $^{\prime}$ |

## The First Set

The First+ set is the combination of First and Follow sets

```
First+ sets:
3:
5:
6: Op
7:
```

```
1: Expr ::= Unit Expr2
```

1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
2: Expr2 ::= Op Unit Expr2
4: Unit ::= '(' Expr ')'
4: Unit ::= '(' Expr ')'

```
    ""
```

    ""
    Op
Op
::= '+'
::= '+'
1: {'(`, ID} 2: {'+', '*'} 3: {None, ')'} 4: {'(`}
5: {ID}
6: {'+'}
7: {'*'}

```

For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

\section*{The First Set}

The First+ set is the combination of First and Follow sets
\begin{tabular}{|c|c|c|}
\hline & & First+ sets: \\
\hline 1: Expr & : : = Unit Expr2 & 1: \{ ' \({ }^{\prime}\), ID \(\}\) \\
\hline 2: Expr2 & : : = Op Unit Expr2 & 2: \(\left\{{ }^{\prime}+\prime, 1{ }^{\prime \prime}\right.\) ' \\
\hline 3 : & | " " & 3: \{None, ')'\} \\
\hline 4 : Unit & : : = ' ( Expr ')' & 4: \{ ' \(\left.{ }^{\prime}\right\}\) \\
\hline 5 : & ID & 5: \{ID \\
\hline 6: Op & \(::=1+\prime\) & \(6:\left\{{ }^{\prime}+{ }^{\prime}\right\}\) \\
\hline 7 : & '*' & \(7:\) \{ *' \(\}\) \\
\hline
\end{tabular}

For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

\section*{The First Set}

The First+ set is the combination of First and Follow sets
```

First+ sets:

```

```

|  | , | ID\} |
| :---: | :---: | :---: |
|  | \{'+', | '*'\} |
|  | \{None | ')'\} |
|  | \{ ' ' $\}$ |  |
|  | \{ID\} |  |
|  | \{'+'\} |  |
|  | \{'*'\} |  |

```

These grammars are called \(\mathrm{LL}(1)\)
- L-scanning the input left to right
- L- left derivation
- 1 - how many look ahead symbols

They are also called predictive grammars
Many programming languages are \(\operatorname{LL}(1)\)

For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

\section*{Sometimes the grammar needs to be refactored}
```

1: Factor ::= ID
2: | ID '[`Args ']'

```

\section*{Sometimes the grammar needs to be refactored}


\section*{Sometimes the grammar needs to be refactored}
```

First
1: Factor ::=
2: | ID '['Args ']'
1: {ID}
2: {ID}
3: {ID}

```

We cannot select the next rule based on a single look ahead token!

\section*{Sometimes the grammar needs to be refactored}


We can refactor
\begin{tabular}{|c|c|c|}
\hline & & First \\
\hline 1: Factor & ::= ID Option_args & 1: \{ \} \\
\hline 2: Option_args & : : = '[، Args ']' & 2: \{ \} \\
\hline 3 : & '('Args ')' & 3: \{ \} \\
\hline 4 : & " " & 4: \{ \} \\
\hline
\end{tabular}

\section*{Sometimes the grammar needs to be refactored}
```

First
1: Factor ::= ID
2: \: ID '[`Args ']'
1: {ID}
2: {ID}
3: {ID}

```

We can refactor
```

First
1: {ID}
2: {'['}
3: {`(`}
4: {""} // We will need to compute the follow set

```
1: Factor \(::=\) ID Option_args
2: Option_args \(::=\) "['Args ']'
2: Option_args \(::=\) "['Args ']'
4 :
    " "

\section*{Sometimes the grammar needs to be refactored}
```

1: Factor ::= ID
2: | ID '['Args ']'

```
First
1: \{ID\}
2: \{ID\}
3: \{ID\}

It is not always possible to rewrite grammars into a predictive form, but many programming languages can be.

We can refactor
```

First
1: {ID}
2: {'['}
3: {`(`}
4: {""} // We will need to compute the follow set

```
```

1: Factor ::= ID Option_args
2: Option_args ::= "[` Args ']'
3:
4:

```

\section*{We now have a full top-down parsing algorithm!}
```

root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
if (focus is a nonterminal)
pick next rule (A ::= B1,B2,B3...BN);
push(BN... B3, B2);
focus = B1
else if (focus == to_match)
to_match = s.token()
focus = pop()
else if (to_match == None and focus == None)
Accept

```
First+ sets:
```

First+ sets:
1: {'(`, ID} 1: {'(`, ID}
2: {'+', '*'}
2: {'+', '*'}
3: {None, ')'}
3: {None, ')'}
4: {'(`} 4: {'(`}
5: {ID}
5: {ID}
6: {'+'}
6: {'+'}
7: {'*'}

```
```

7: {'*'}

```
```

First+ sets for each production rule

1: Expr ::= Unit Expr2

```
2: Expr2 ::= Op Unit Expr2
3:
4: Unit ::= '(' Expr ')'
5:
6: Op
7:
```

| 2: Expr2 | :: = Op Unit Expr2 |
| :---: | :---: |
| 3: | "" |
| 4: Unit | :: = (' Expr ')' |
| 5: | ID |
| 6: Op | : : = '+' |
| 7: | ،*' |

input grammar, refactored to remove
left recursion

To pick the next rule, compare to_match with the possible first+ sets. Pick the rule whose first+ set contains to_match.

If there is no such rule then it is a parsing error.

## Quiz

To prepare a grammar for a top-down parser, you must ensure that there is no recursion, except in the right-most element of any production rule.TrueFalse

In many cases, a top-down parser requires the grammar to be re-written. Write a few sentences about why this might be an issue when developing a compiler and how the issues might be addressed.

Next time: recursive descent parser

- Simpler implementation of a top down parser

