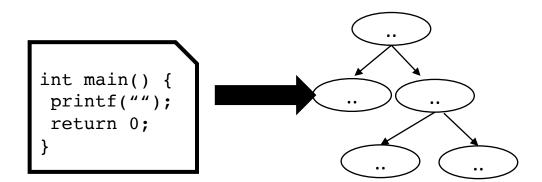
CSE110A: Compilers

April 18, 2022

Topics:

- Top down parsing
 - Dealing with left recursion
 - Lookahead sets



Announcements

- HW 1 is due today
 - No guaranteed help after business hours (e.g. after class at 5 PM)
- HW 2 is scheduled for release today by midnight
 - you have two weeks to do it.
 - due on May 2 at midnight
 - you have what you need for part 1 today
 - you should have what you need for part 2 on Wednesday
 - you should have what you need for part 3 on Friday
- Plenty of time for help for HW 2!

Announcements

- Homework clarification: token actions
 - You can use lists, functions, variables etc in tokens.py as token actions
 - These components get bound to the tokens array
 - You should only use the token array in your scanners, and you should be prepared to accept as input any token arrays
 - Your token array should be an array of tuples:

(TOKEN_	ID	:	string,
TOKEN_	REGEX	•	string,
TOKEN	ACTION	:	lexeme \rightarrow lexeme)

Unfortunately Monday's lecture put us behind and we weren't able to get through all the material we needed for the quiz again

To make up for it, I will make Friday's quiz due on Wednesday so that you can answer the extra questions with enough background

Which of the following can be sources of ambiguity in grammars?

operator associativity not being specified

incorrect parenthesis matching

operator precedence not being specified

operator commutativity not being specified

Which of the following can be sources of ambiguity in grammars?

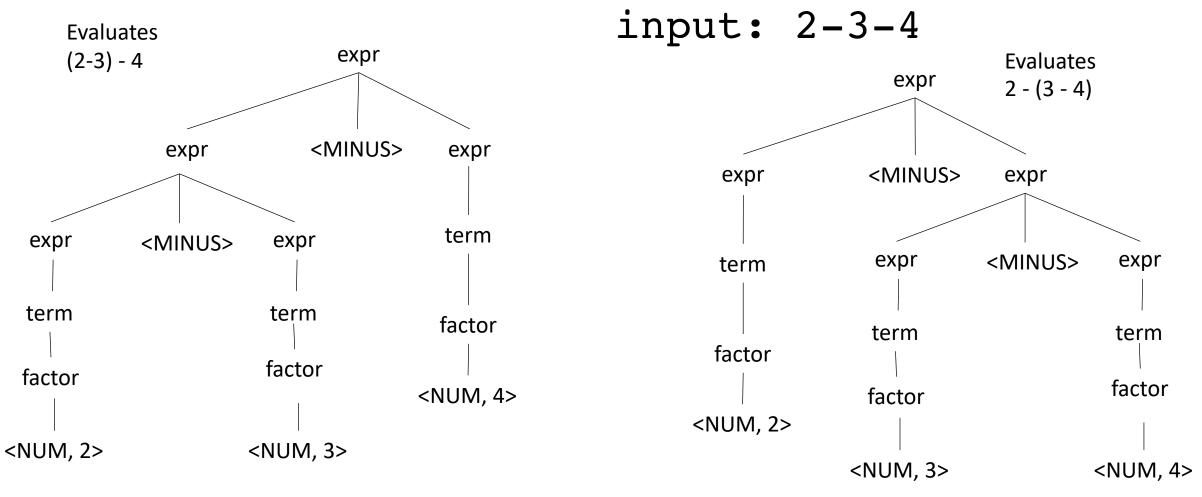
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incorrect parenthesis matching

operator precedence not being specified

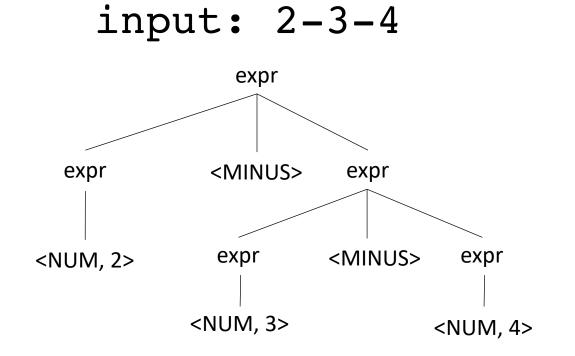
operator commutativity not being specified

What about for a different operator?



Which one is right?

Associativity for a single operator



Operator	Name	Productions
-	expr	: expr MINUS <mark>NUM</mark> NUM

No longer allowed

Which of the following can be sources of ambiguity in grammars?

operator associativity not being specified

incorrect parenthesis matching

operator precedence not being specified

operator commutativity not being specified

Which of the following can be sources of ambiguity in grammars?

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Not really a cause of ambiguous grammars

Which of the following can be sources of ambiguity in grammars?

operator associativity not being specified

incorrect parenthesis matching

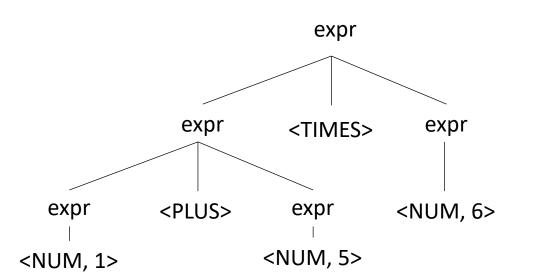
operator precedence not being specified

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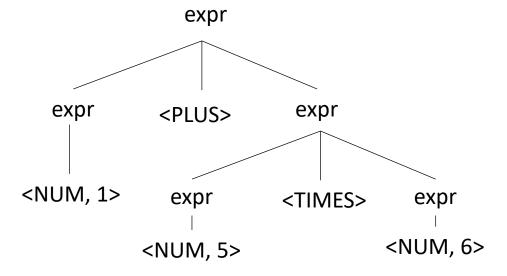
Ambiguous grammars

expr ::= NUM | expr PLUS expr | expr TIMES expr | LPAREN expr RPAREN

Evaluations are different!



• input: 1 + 5 * 6



Avoiding Ambiguity

Precedence increases going down

- new production rules
 - One non-terminal for each level of precedence
 - lowest precedence at the top
 - highest precedence at the bottom

Operator	Name	Productions
+,-	expr	: expr PLUS expr expr MINUS expr term
*	term	: term TIMES term pow
۸	pow	: pow ^ pow factor
()	factor	: LPAREN expr RPAREN NUM

Which of the following can be sources of ambiguity in grammars?

operator associativity not being specified

incorrect parenthesis matching

operator precedence not being specified

operator commutativity not being specified

What is commutativity?

Which of the following can be sources of ambiguity in grammars?

operator associativity not being specified

□ incorrect parenthesis matching

operator precedence not being specified

operator commutativity not being specified

What is commutativity? a + b == b + a

Which of the following can be sources of ambiguity in grammars?

operator associativity not being specified

□ incorrect parenthesis matching

operator precedence not being specified

operator commutativity not being specified

What is commutativity? a + b == b + a

Parsing doesn't really consider commutativity, but optimizations will

We're doing this a little out of order

It is only possible to write a top-down parser if you can determine exactly which production rule to apply at each step.

⊖ True

 \bigcirc False

```
root = start symbol;
focus = root;
push(None);
to match = s.token();
                                  is magic and picks
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push(BN... B3, B2);
```

focus = B1

```
else if (focus == to match)
  to match = s.token()
  focus = pop()
```

```
else if (to match == None and focus == None)
 Accept
```

Currently we assume this

the right rule every time

Variable	Value
focus	Expr
to_match	'a'
s.istring	"+b)*c"
stack	Op Unit) Op Unit None

1:	Expr	::= Expr Op Unit
2:		Unit
3:	Unit	::= '(' Expr ')'
4:		ID
5 :	Op	::= '+'
6 :		/ * /

Can we derive the string (a+b)*c

Expanded Rule	Sentential Form
start	Expr
1	Expr Op Unit
2	Unit Op Unit
3	(Expr) Op Unit
1	(Expr Op Unit) Op Unit
2	(Unit Op Unit) Op Unit

We're doing this a little out of order

It is only possible to write a top-down parser if you can determine exactly which production rule to apply at each step.

○ True

 \bigcirc False

Answer:

- true with what we've seen so far
- true if you want an efficient parser
- false in general

We will answer these ones today in class

To prepare a grammar for a top-down parser, you must ensure that there is no recursion, except in the right-most element of any production rule.

⊖ True

 \bigcirc False

In many cases, a top-down parser requires the grammar to be re-written. Write a few sentences about why this might be an issue when developing a compiler and how the issues might be addressed.

Review

• Let's do a few more examples of top down parsing

```
root = start symbol;
focus = root;
push(None);
                                    Currently we assume this
to match = s.token();
                                    is magic and picks
                                    the right rule every time
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push(BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
```

```
else if (to_match == None and focus == None)
Accept
```

Variable	Value
focus	Expr
to_match	
s.istring	
stack	

1: Expr ::= Expr '+' ID 2: | ID

Can we derive the string a

Expanded Rule	Sentential Form
start	Expr

One more example

```
root = start symbol;
focus = root;
push(None);
                                    Currently we assume this
to match = s.token();
                                    is magic and picks
                                    the right rule every time
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push(BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
```

```
else if (to_match == None and focus == None)
Accept
```

Variable	Value
focus	Expr

```
1: Expr ::= Expr '+' ID
2: | ID
```

Can we derive the string a+b

Expanded Rule	Sentential Form
start	Expr

New material

• We are going to zoom in on:

pick next rule (A ::= B1,B2,B3...BN);

So far this rule has been magic. Let's start by turning that magic off

New material

• We are going to zoom in on:

pick next rule (A ::= B1,B2,B3...BN);

So far this rule has been magic. Let's start turning that magic off

what could the most demonic choice do...

```
root = start symbol;
focus = root;
push(None);
                                  What could a demonic
to match = s.token();
                                  choice do?
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push(BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
```

```
else if (to_match == None and focus == None)
Accept
```

Variable	Value
focus	
to_match	
s.istring	
stack	

```
1: Expr ::= Expr '+' ID
2: | ID
```

Can we derive the string a

Expanded Rule	Sentential Form
start	Expr

```
root = start symbol;
focus = root;
push(None);
                                  What could a demonic
to match = s.token();
                                  choice do?
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push(BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
```

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else if (to_match == None and focus == None)
Accept
```

Variable	Value
focus	
to_match	
s.istring	
stack	

1: Expr ::= Expr '+' ID 2: | ID

Can we derive the string a

Expanded Rule	Sentential Form
start	Expr
1	Expr '+' ID
1	Expr '+' ID '+' ID
1	Expr '+' ID '+' ID '+' ID
1	
1	
1	

Infinite recursion!

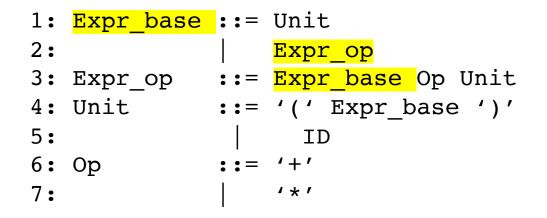
Top down parsing does not handle left recursion

1: Expr ::= Expr Op Unit 2: | Unit 3: Unit ::= '(' Expr ')' 4: | ID 5: Op ::= '+' 6: | '*'

direct left recursion

Top down parsing does not handle left recursion

```
1: Expr ::= Expr Op Unit
2: | Unit
3: Unit ::= '(' Expr ')'
4: | ID
5: Op ::= '+'
6: | '*'
```



direct left recursion

indirect left recursion

Top down parsing cannot handle either

Top down parsing does not handle left recursion

Luckily

• In general, any CFG can be re-written without left recursion

Fee ::= Fee "a" | "b"

What does this grammar describe?

The grammar can be rewritten as

A and B can be any sequence of non-terminals and terminals

```
1: Expr ::= Expr Op Unit
2:  | Unit
3: Unit ::= '(' Expr ')'
4:  | ID
5: Op ::= '+'
6:  | '*'
```

Lets do this one as an example:

```
A = Op Unit
B = Unit
```

1:	Expr	::=	Expr Op Unit
2:			Unit
3:	Unit	::=	'(' Expr ')'
4:			ID
5:	Op	::=	'+'
6:			· * /

Lets do this one as an example:

Eliminating direct left recursion

1: Expr ::= Expr '+' ID 2: | ID

Lets do this one as an example:

Eliminating direct left recursion B = ID

1: Expr ::= Expr '+' ID 2: | ID 1: Expr ::= ID Expr2 2: Expr2 ::= '+' Expr2 3: | ""

Lets do this one as an example:

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
    if (focus is a nonterminal)
       pick next rule (A ::= B1,B2,B3...BN);
       push(BN... B3, B2);
       focus = B1
```

```
else if (focus == to_match)
  to_match = s.token()
  focus = pop()
```

```
else if (to_match == None and focus == None)
Accept
```

Variable	Value
focus	
to_match	
s.istring	
stack	

```
1: Expr ::= ID Expr2
2: Expr2 ::= '+' Expr2
3: | ""
```

Expanded Rule	Sentential Form
start	Expr

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
    if (focus is a nonterminal)
       pick next rule (A ::= B1,B2,B3...BN);
       push(BN... B3, B2);
       focus = B1
```

```
else if (focus == to_match)
  to_match = s.token()
  focus = pop()
```

```
else if (to_match == None and focus == None)
Accept
```

Variable	Value
focus	Expr2
to_match	None
s.istring	um
stack	None

```
How to handle this case?
```

1:	Expr	::=	ID E	lxpr2
2:	Expr2	::=	'+'	Expr2
3:			,,,,,	

Expanded Rule	Sentential Form
start	Expr
1	ID Expr2

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
    if (focus is a nonterminal)
       pick next rule (A ::= B1,B2,B3...BN);
       if B1 == "": focus=pop(); continue;
       push(BN... B3, B2);
       focus = B1
```

```
else if (focus == to_match)
  to_match = s.token()
  focus = pop()
```

```
else if (to_match == None and focus == None)
Accept
```

Variable	Value
focus	Expr2
to_match	None
s.istring	<i>um</i>
stack	None

1: Expr ::= ID Expr2 2: Expr2 ::= '+' Expr2 3: ""

Can we match: "a"?

How to handle

this case?

Expanded Rule	Sentential Form
start	Expr
1	ID Expr2

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
    if (focus is a nonterminal)
       pick next rule (A ::= B1,B2,B3...BN);
       if B1 == "": focus=pop(); continue;
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       focus = B1
```

```
else if (focus == to_match)
  to_match = s.token()
  focus = pop()
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Variable	Value
focus	Expr2
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s.istring	<i>um</i>
stack	None

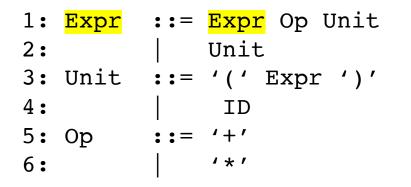
1: Expr ::= ID Expr2 2: Expr2 ::= '+' Expr2 3: ""

Can we match: "a"?

How to handle

this case?

Expanded Rule	Sentential Form
start	Expr
1	ID Expr2
3	ID

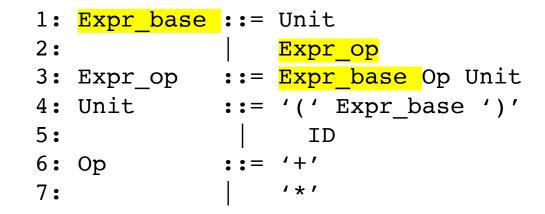


1:	Expr_base	:: =	Unit
2:			<mark>Expr_op</mark>
3:	Expr_op	::=	Expr_base Op Unit
4:	Unit	::=	<pre>'(' Expr_base ')'</pre>
5:			ID
6 :	Op	::=	'+'
7:			/ * /

direct left recursion

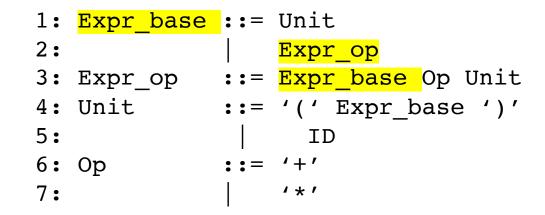
indirect left recursion

Top down parsing cannot handle either



Identify indirect left left recursion

$$Expr_base \rightarrow_{lhs} Expr_op \rightarrow_{lhs} Expr_base$$



Identify indirect left left recursion

 $Expr_base \rightarrow_{lhs} Expr_op \rightarrow_{lhs} Expr_base$

Substitute indirect non-terminal closer to initial non-terminal

What to do with production rule 3?

Identify indirect left left recursion

 $Expr_base \rightarrow_{lhs} Expr_op \rightarrow_{lhs} Expr_base$

Substitute indirect non-terminal closer to initial non-terminal

Identify indirect left left recursion

What to do with production rule 3? It may need to stay if another production rule references it!

 $Expr_base \rightarrow_{lhs} Expr_op \rightarrow_{lhs} Expr_base$

Substitute indirect non-terminal closer to initial non-terminal

What else do we need to do

pick next rule (A ::= B1,B2,B3...BN);

We cannot have infinite recursion.

What is next?

What else do we need to do

pick next rule (A ::= B1,B2,B3...BN);

We cannot have infinite recursion.

What is next?

We need to deal with incorrect choices

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
    if (focus is a nonterminal)
       pick next rule (A ::= B1,B2,B3...BN);
       if B1 == "": focus=pop(); continue;
       push(BN...B3, B2);
       focus = B1
```

```
else if (focus == to_match)
  to_match = s.token()
  focus = pop()
```

```
else if (to_match == None and focus == None)
Accept
```

Variable	Value
focus	Expr2
to_match	None
s.istring	""
stack	None

1: Expr ::= ID Expr2 2: Expr2 ::= '+' Expr2 | ""

Expanded Rule	Sentential Form
start	Expr

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
    if (focus is a nonterminal)
       pick next rule (A ::= B1,B2,B3...BN);
       if B1 == "": focus=pop(); continue;
       push(BN...B3, B2);
       focus = B1
```

```
else if (focus == to_match)
  to_match = s.token()
  focus = pop()
```

```
else if (to_match == None and focus == None)
Accept
```

Variable	Value
focus	'+'
to_match	None
s.istring	""
stack	Expr2

1: Expr ::= ID Expr2 2: Expr2 ::= '+' Expr2 | ""

Expanded Rule	Sentential Form
start	Expr
1	ID Expr2
2	ID '+' Expr2

```
else if (focus == to_match)
  to_match = s.token()
  focus = pop()
```

```
else if (to_match == None and focus == None)
Accept
```

else if (we have a cached state)
 backtrack();

else

parser_error()

```
1: Expr ::= ID Expr2
2: Expr2 ::= '+' Expr2
| ""
```

Expanded Rule	Sentential Form
start	Expr
1	ID Expr2
2	ID '+' Expr2

Backtracking gets complicated...

- Do we need to backtrack?
 - In the general case, **yes**
 - In many useful cases, no

```
root = start symbol;
focus = root;
push(None);
to_match = s.token();
while (true):
    if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    if B1 == "": focus=pop(); continue;
    push(BN... B3, B2);
    focus = B1
```

```
else if (focus == to_match)
  to_match = s.token()
  focus = pop()
```

```
else if (to_match == None and focus == None)
Accept
```

Variable	Value
focus	Expr2
to_match	None
s.istring	<i>um</i>
stack	None

```
1: Expr ::= ID Expr2
2: Expr2 ::= '+' Expr2
| ""
```

Expanded Rule	Sentential Form
start	Expr
1	ID <mark>Expr2</mark>

For each production choice, find the set of tokens that each production can start with

For each production choice, find the set of tokens that each production can start with

		First sets:
1: Expr	::= Unit Expr2	1: {}
2: Expr2	::= Op Unit Expr2	2: {}
3:		3: {}
4: Unit	::= '(' Expr ')'	4: {}
5:	ID	5: {}
6: Op	::= '+'	6: {}
7:	/*/	7: {}

For each production choice, find the set of tokens that each production can start with

```
First sets:
1: {'(', ID}
2: {'+', '*'}
3: {}
4: {'('}
5: {ID}
6: {'+'}
7: {'*'}
```

We can use first sets to decide which rule to pick!

```
root = start symbol;
focus = root;
push(None);
to match = s.token();
```

while (true):

```
if (focus is a nonterminal)
  pick next rule (A ::= B1,B2,B3...BN);
  push(BN... B3, B2);
  focus = B1
```

```
else if (focus == to_match)
  to_match = s.token()
  focus = pop()
```

```
else if (to_match == None and focus == None)
Accept
```

Variable	Value
focus	
to_match	
s.istring	
stack	

```
First sets:
1: { '(', ID}
2: { '+', '*'}
3: { }
4: { '('}
5: { ID}
6: { '+' }
7: { '*' }
```

We simply use to_match and compare it to the first sets for each choice

For example, OP, and UNIT

Rules with "" in their First set need special attention

		First sets:	Follow sets:
1: Expr	::= Unit Expr2	1: {'(', ID}	1: NA
2: Expr2	::= Op Unit Expr2	2: { '+', '*' }	2: NA
3:	<i>и п</i>	3: { " " }	3: {}
4: Unit	::= '(' Expr ')'	4: { ' (' }	4: NA
5:	ID	5: {ID}	5: NA
6: Op	::= '+'	6: { '+' }	6: NA
7:	/*/	7: { ' * ' }	7: NA

Rules with "" in their First set need special attention

		First sets:	Follow sets:
1: Expr	::= Unit Expr2	1: {'(', ID}	1: NA
2: Expr2	::= Op Unit Expr2	2: { '+', '*' }	2: NA
3:		3: {""}	3: {}
4: Unit	::= '(' Expr ')'	4: { ' (' }	4: NA
5:	ID	5: {ID}	5: NA
6: Op	::= '+'	6: { '+' }	6: NA
7:	/*/	7: { '* ' }	7: NA

We need to find the tokens that any string that follows the production can start with.

Rules with "" in their First set need special attention

		First sets:	Follow sets:
1: Expr	::= Unit Expr2	1: {'(', ID}	1: NA
2: Expr2	::= Op Unit Expr2	2: { '+', '*' }	2: NA
3:	<i>11 11</i>	3: {""}	3: {None, ')'}
4: Unit	::= '(' Expr ')'	4: { ' (' }	4: NA
5:	ID	5: {ID}	5: NA
6: Op	::= '+'	6: { '+' }	6: NA
7:	/ * /	7: { ' * ' }	7: NA

We need to find the tokens that any string that follows the production can start with.

The First+ set is the combination of First and Follow sets

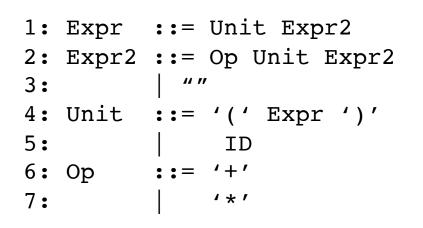
		First sets:	Follow sets:	First+ sets:
1: Expr	::= Unit Expr2	1: { ((, ID}	1: NA	1: { ((, ID}
2: Expr2	::= Op Unit Expr2	2: { '+', '*' }	2: NA	2: { '+', '*' }
3:		3: {""}	3: {None, ')'}	3: {None, ')'}
4: Unit	::= '(' Expr ')'	4: { ' (' }	4: NA	4: { (' }
5:	ID	5: {ID}	5: NA	5: {ID}
6: Op	::= '+'	6: { '+' }	6: NA	6: { '+' }
7:	/*/	7: { ' * ' }	7: NA	7: { ' * ' }

The First+ set is the combination of First and Follow sets

```
First+ sets:
1: Expr ::= Unit Expr2
                                  1: { '(', ID}
                                  2: { '+', '*' }
2: Expr2 ::= Op Unit Expr2
                                  3: {None, ')'}
3:
            11 11
4: Unit ::= '(' Expr ')'
                                4: { ' ( ' }
5:
                                  5: {ID}
               ID
6: Op ::= '+'
                                  6: { '+' }
                                  7: { '*' }
7:
              1 * 1
```

For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

The First+ set is the combination of First and Follow sets





For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

The First+ set is the combination of First and Follow sets



These grammars are called LL(1)

- L scanning the input left to right
- L left derivation
- 1 how many look ahead symbols

They are also called predictive grammars

Many programming languages are LL(1)

For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

1: Factor ::= ID 2: | ID '[' Args ']' 3: | ID '(' Args ')'

		First
1: Factor :	:= ID	1: {}
2:	ID '[' Args ']'	2: {}
3:	ID '(' Args ')'	3: {}
• • •		• • •

1:	Factor	::=	ID				
2:			ID	'['	Args	']′	
3:			ID	'('	Args	')′	
• • •	•						

Fiı	First					
1:	{ID}					
<mark>2:</mark>	{ID}					
<mark>3:</mark>	{ID}					
	•					

We cannot select the next rule based on a single look ahead token!

T 1 - - - - 1

• • •		• • •
3:	ID '(' Args ')'	3: {ID}
2:	ID '[' Args ']'	2: {ID}
1: Factor ::=	ID	1: {ID}
		First

We can refactor

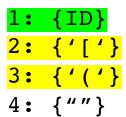
		First
1: Factor	<pre>::= ID Option_args</pre>	1: {}
2: Option_args	::= '[' Args ']'	2: {}
3:	'(' Args ')'	3: {}
4:	11 11	4: {}

		First
1: Factor ::=	ID	1: {ID}
2:	ID '[' Args ']'	2: {ID}
3:	ID '(' Args ')'	3: {ID}
• • •		• • •

We can refactor

1:	Factor	::=	ID (Optior	n_args
2:	Option_args	::=	'['	Args	']′
3:			'('	Args	')′
4:			<i>II 11</i>		

First



// We will need to compute the follow set

Firet

				LTPC
1: Factor ::=	ID			1: {ID}
2:	ID '['	Args	']′	2: {ID}
3:	ID '('	Args	')′	3: {ID}

It is not always possible to rewrite grammars into a predictive form, but many programming languages can be.

We can refactor

1:	Factor	::=	ID	Optior	n_args
2:	Option_args	::=	'['	Args	']′
3:			'('	Args	')′
4:					

First					
1:	{ID}				
<mark>2:</mark>	{ ' [' }				
3:	{ ' (' }				
4:	{ " " }				

// We will need to compute the follow set

We now have a full top-down parsing algorithm!

```
root = start symbol;
focus = root;
push(None);
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push(BN... B3, B2);
    focus = B1
```

else if (focus == to match)

to match = s.token()

focus = pop()

```
First+ sets:
1: {'(', ID}
                   1: Expr := Unit Expr2
2: { '+', '*' }
                   2: Expr2 ::= Op Unit Expr2
3: {None, ')'}
                   3:
                   4: Unit ::= '(' Expr ')'
4: { ' ( ' }
5: {ID}
                   5:
                            ::= '+'
6: \{'+'\}
                   6: Op
7: { '*' }
                   7:
```

First+ sets for each production rule

input grammar, refactored to remove *left recursion*

11 11

ID

1 * 1

```
else if (to match == None and focus == None)
 Accept
```

To pick the next rule, compare to match with the possible first+ sets. Pick the rule whose first+ set contains to match.

If there is no such rule then it is a parsing error.

Quiz

To prepare a grammar for a top-down parser, you must ensure that there is no recursion, except in the right-most element of any production rule.

O True

 \bigcirc False

In many cases, a top-down parser requires the grammar to be re-written. Write a few sentences about why this might be an issue when developing a compiler and how the issues might be addressed.

Next time: recursive descent parser

• Simpler implementation of a top down parser